

PATENT ASSIGNMENT COVER SHEET

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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
SIENA BIOTECH S.P.A.	07/13/2017
RECEIVING PARTY DATA	
Name:	AOP ORPHAN PHARMACEUTICALS AG
Street Address:	WILHELMINENSTRASSE
City:	VIENNA
State/Country:	AUSTRIA
Postal Code:	1160
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	15134028
CORRESPONDENCE DATA	
Fax Number:	(202)857-6395
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	(202) 587-6000
Email:	patentdocket@arentfox.com
Correspondent Name:	ARENT FOX LLP
Address Line 1:	1717 K STREET, NW
Address Line 4:	WASHINGTON, D.C. 20006
ATTORNEY DOCKET NUMBER:	039178.00001
NAME OF SUBMITTER:	NICOLE CLARKE
SIGNATURE:	/Nicole Clarke/
DATE SIGNED:	12/04/2018
Total Attachments: 2	
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ASSIGNMENT

WHEREAS, the following entity ("Assignor") is the owner of certain patent rights:

Name: Siena Biotech S.p.A.
Address: Via Banchi di Sotto 34, 53100 Siena, Italy
Registration No. SI - 114438 (Company Register of Siena)
VAT No.: 01024210526

WHEREAS, Assignor is the owner of the following patent applications ("Applications"):

Title: PROCESS FOR THE PREPARATION OF 6-CHLORO-2,3,4,9-TETRAHYDRO-1H-CARBAZOLE-1-CARBOXAMIDE AND INTERMEDIATES THEREOF
Filed: April 20, 2016
US Patent Application No. 15/134,028 (Patent Publication No. 2016/0304455)

Title: PROCESS FOR THE PREPARATION OF 6-CHLORO-2,3,4,9-TETRAHYDRO-1H-CARBAZOLE-1-CARBOXAMIDE AND INTERMEDIATES THEREOF
Filed: October 19, 2012
International Patent Application No. PCT/EP2012/070769

WHEREAS, Assignor has been declared bankrupt by the Court of Siena, Italy pursuant to Judgment No. 27/2015, filed in the Company Register on April 2, 2015, and has filed for bankruptcy protection pursuant to Bankruptcy No. 22/2015.

WHEREAS, AOP Orphan Pharmaceuticals AG, having a place of business at Wilhelminenstraße 91/II f, 1160 Vienna, Austria ("Assignee"), wants to acquire the entire right, title and interest in and to the Applications and any patents which may be granted thereon.

NOW, THEREFORE, for US\$1.00 or its equivalent and other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, the Assignor has sold, assigned, transferred and set over, and does hereby sell, assign, transfer and set over to Assignee its entire right, title and interest in and to the Applications, including: all priority rights under the International Convention for the Protection of Industrial Property for every member country based on the Applications; all applications for patents (including related rights such as utility-model registrations and the like) heretofore or hereafter filed in any foreign countries claiming priority to the Applications; all divisions, substitutions, extensions, continuations and continuations-in-part of the Applications; and all patents which may be granted thereon, including all reissues, reexaminations, and extensions thereof. The Assignor hereby authorizes and requests the United States Commissioner of Patents and Trademarks, and any officials of foreign countries whose duty it is to issue patents on applications as aforesaid, to issue all such patents to Assignee in accordance with the terms of this assignment.

The Assignor, or the trustee in bankruptcy or other party authorized to transfer the rights described above ("Authorized Representative"), hereby covenants that it has the full right to convey the entire interest herein assigned, and that it has not executed, and will not execute, an agreement in conflict herewith. The Assignor or Authorized Representative hereby further covenants and agrees that it will sign all lawful papers necessary for Assignee to acquire patent

protection for the invention described in the Applications.

IN WITNESS WHEREOF, the parties hereto have executed this agreement.

I declare (or certify, verify, or state) under penalty of perjury under the laws of the United States of America that the foregoing is true and correct.

Assignor (or Authorized Representative)

Executed on:

Date

13/07/2017

Name: Silvana CARLONE
Title: Trustee in bankruptcy

Assignee:

Executed on:

Date

29.08.2017

Name: Rudolf Widmann
Title: CEO

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