505225652 12/07/2018

# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT5272421

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
UNIVERSITY OF SOUTHAMPTON	08/31/2018

#### **RECEIVING PARTY DATA**

Name:	SLOAN WATER TECHNOLOGY LIMITED	
Street Address:	4 COLEMAN STREET, 6TH FLOOR	
City:	LONDON	
State/Country:	UNITED KINGDOM	
Postal Code:	EC2R 5AR	

### **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	13392135

#### **CORRESPONDENCE DATA**

**Fax Number:** (312)827-8185

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

**Phone:** 312-372-1121

**Email:** chicago.patents@klgates.com

**Correspondent Name:** K&L GATES LLP **Address Line 1:** P.O. BOX 1135

Address Line 4: CHICAGO, ILLINOIS 60690-1135

ATTORNEY DOCKET NUMBER:	1203839.00034
NAME OF SUBMITTER:	MATTHEW S. DICKE
SIGNATURE:	/Matthew S. Dicke/
DATE SIGNED:	12/07/2018

## **Total Attachments: 2**

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PATENT 505225652 REEL: 047709 FRAME: 0224

## ASSIGNMENT

THIS ASSIGNMENT ("Assignment") is made by and between by and between University of Southampton, a public university with its principal place of business at University Road, Highfield, Southampton SO17 1BJ, United Kingdom ("UOS"), on behalf of itself and as representative and agent for its Affiliates (collectively, "Assignors"), and Sloan Water Technology Limited, a private limited company registered in England under number 11222452, with its registered office at 4 Coleman Street, 6th Floor, London, UK EC2R 5AR ("Assignee").

WHEREAS, each of the Assignors owns the right, title and interest in and to the United States Patent Application No. 13392135, "Cleaning Apparatus and Method, and Monitoring Thereof" filed 26th August 2010 (the "Patents");

WHEREAS, each of the Assignors has agreed to assign all of its rights, title, and interest in, to and under each of the Patents to Assignee subject to the prior Encumbrances notified by the Assignor to Assignee prior to entering into this Assignment as further provided in that certain Patent and Technology Assignment and Transfer Agreement dated as of 31st August 2018 ("Transfer Agreement").

NOW, THEREFORE, in consideration of the foregoing premises and the mutual promises hereunder and for other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged:

Effective from 31<sup>st</sup> August 2018, each of the Assignors agrees to sell, assign, transfer, convey, grant and set over, and hereby sells, assigns, transfers, conveys, grants and sets over to Assignee, Assignor's entire right, title, and interest in, to, and under the Patents, and all patents, patent applications, foreign patents, foreign patent applications, continuations, continuations—in-part, divisionals, extensions, substitutions, renewals, reissues and re-examination certificates that may issue thereon and claim priority to the Patents, including without limitation, all rights to claim priority on the basis thereof, all rights to sue for past, present and future infringement, including the right to collect and receive any damages, royalties, or settlements for the infringements, all rights to sue for injunctive or other equitable relief, and any and all causes of action relating to any of the inventions thereof.

Each of the Assignors authorizes and requests the United States Patent and Trademark Office and/or head of any foreign patent office to issue all patents which may issue on an application for any patent representing the Patents, as the case may be, to Assignee, its successors and assigns, in accordance with this Assignment.

The Parties hereby agree that all other matters, rights and obligations in relation to the subject matter of this Assignment including any warranties, covenants, and liabilities together with the management of any dispute or claim relating to the same shall be governed by the Transfer Agreement.

PATENT REEL: 047709 FRAME: 0225

IN WITNESS WHEREOF, UOS on behalf of itself and as representative and agent for its Affiliates, has caused this assignment and transfer to be executed by its duly authorized officer, representative or agent as of this 31 day of Maghania , 2018.

## University of Southampton

Diana Galpin, LLB, LLM Head Technology Transfer & Impact Programme: Research & Imposition Services

University of Southampton

Date Signed: 3154

ACCEPTED AND AGREED by:

Sloan Water Technology Limited

Name: JAMES C ALLEW

Title: <u>DIFFCTOK</u>

**PATENT REEL: 047709 FRAME: 0226** 

**RECORDED: 12/07/2018**