

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

EPAS ID: PAT5274701

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
REZA SHARIFI SEDEH	11/09/2016
YUGANG JIA	05/01/2018
DANIEL ROBERT ELGORT	12/10/2018
RECEIVING PARTY DATA	
Name:	KONINKLIJKE PHILIPS N.V.
Street Address:	HIGH TECH CAMPUS 5
City:	EINDHOVEN
State/Country:	NETHERLANDS
Postal Code:	5656 AE
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	15771726
CORRESPONDENCE DATA	
Fax Number:	(914)495-9540
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	914-495-9516
Email:	katelyn.mulroy@Philips.com
Correspondent Name:	PHILIPS INTELLECTUAL PROPERTY & STANDARD
Address Line 1:	465 COLUMBUS AVENUE
Address Line 2:	SUITE 340
Address Line 4:	VALHALLA, NEW YORK 10595
ATTORNEY DOCKET NUMBER:	2015P01110WOUS
NAME OF SUBMITTER:	TIMOTHY NATHAN
SIGNATURE:	/Timothy Nathan/
DATE SIGNED:	12/10/2018
Total Attachments: 5	
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source=2015P01110WOUS_Assignment_signed#page5.tif

For good and valuable consideration, of which I/we acknowledge receipt, I/we as a below-named Assignor(s), hereby sell, and assign, and transfer the entire and exclusive right, title, and interest in the following to Koninklijke Philips N.V., having a place of business at High Tech Campus 5,5656 AE, Eindhoven, the Netherlands, its successors, assigns, and legal representatives, including any nominees (collectively "the Assignee") my/our invention for which an application:


- is executed concurrently herewith
- was executed by me/us on
- was filed on, having a Serial No.
- was filed on. 17 Oct 2016, having an International Application No. PCT/IB2016/056201,

and all rights in and to said application, and all other United States, foreign and international patent applications associated therewith, based thereon, or claiming priority therefrom including, but not limited to, any and all provisionals, non-provisionals divisions, continuations, continuations-in-part, re-examinations, reissues, and extensions thereof, and the right to claim priority thereto, and the entire and exclusive right, title, and interest in and to any and all patents granted on these applications.

I/We authorize and request that the Patent Office officials in the United States and in any and all foreign countries to issue any and all Letters Patent when granted, solely to the Assignee(s), for its sole use, and that of its successors, assigns, and legal representatives.

I/We will provide my/our cooperation to enable the Assignee to enjoy the foregoing right, title, and interest to the fullest extent. Upon request at the expense of the Assignee, I/we agree to execute all papers, take all rightful oaths, testify in all legal proceedings including patent prosecution actions and infringement actions, and do all other such acts which may be necessary, desirable, or convenient for securing and maintaining patents on the foregoing invention or for perfecting title thereto in the Assignee.

I/We certify that I/we have the full right to convey the above rights.

11/09/2016	(sign name here)		
Date	(print name here)	Reza SHARIFI SEDEH	, Assignor
.....	(print name here)	Yugang JIA	, Assignor
.....	(print name here)	Daniel Robert ELGORT	, Assignor

For good and valuable consideration, of which I/we acknowledge receipt, I/we as a below-named Assignor(s), hereby sell, and assign, and transfer the entire and exclusive right, title, and interest in the following to Koninklijke Philips N.V., having a place of business at High Tech Campus 5,5656 AE, Eindhoven, the Netherlands, its successors, assigns, and legal representatives, including any nominees (collectively "the Assignee") my/our invention for which an application:

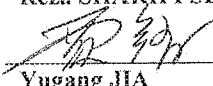
- is executed concurrently herewith
- was executed by me/us on
- was filed on., having a Serial No.
- was filed on. **17 Oct 2016**, having an International Application No. **PCT/IB2016/056201**,

and all rights in and to said application, and all other United States, foreign and international patent applications associated therewith, based thereon, or claiming priority therefrom including, but not limited to, any and all provisionals, non-provisionals divisions, continuations, continuations-in-part, re-examinations, reissues, and extensions thereof, and the right to claim priority thereto, and the entire and exclusive right, title, and interest in and to any and all patents granted on these applications.

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I/We will provide my/our cooperation to enable the Assignee to enjoy the foregoing right, title, and interest to the fullest extent. Upon request at the expense of the Assignee, I/we agree to execute all papers, take all rightful oaths, testify in all legal proceedings including patent prosecution actions and infringement actions, and do all other such acts which may be necessary, desirable, or convenient for securing and maintaining patents on the foregoing invention or for perfecting title thereto in the Assignee.

I/We certify that I/we have the full right to convey the above rights.

_____	(sign name here)	_____	_____
Date	(print name here)	Reza SHARIFI SEDEH	, Assignor
<u>5/11/2018</u>	<u>Yugang JIA</u>		_____
Date	(print name here)	Yugang JIA	, Assignor
_____	(print name here)	_____	_____
Date	(print name here)	Daniel Robert ELGORT	, Assignor

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**SUBSTITUTE STATEMENT IN LIEU OF AN OATH OR DECLARATION FOR UTILITY
OR DESIGN PATENT APPLICATION (35 U.S.C. 115(d) AND 37 CFR 1.64)**

Title of Invention	HOSPITAL MATCHING OF DE-IDENTIFIED HEALTHCARE DATABASES WITHOUT OBVIOUS QUASI-IDENTIFIERS						
This statement is directed to:							
<input type="checkbox"/> The attached application,							
OR							
<input checked="" type="checkbox"/> United States application or PCT international application number <u>15/771,726</u> filed on <u>2018-04-27</u> .							
LEGAL NAME of inventor to whom this substitute statement applies:							
(E.g., Given Name (first and middle (if any)) and Family Name or Surname)							
Daniel Robert ELGORT							
Residence (except for a deceased or legally incapacitated inventor):							
City	New York	State	NY	Country	US		
Mailing Address (except for a deceased or legally incapacitated inventor):							
320 E 46th St, Apt 6G							
City	New York	State	NY	Zip	10017	Country	US
I believe the above-named inventor or joint inventor to be the original inventor or an original joint inventor of a claimed invention in the application.							
The above-identified application was made or authorized to be made by me.							
I hereby acknowledge that any willful false statement made in this statement is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.							
Relationship to the inventor to whom this substitute statement applies:							
<input type="checkbox"/> Legal Representative (for deceased or legally incapacitated inventor only),							
<input checked="" type="checkbox"/> Assignee,							
<input type="checkbox"/> Person to whom the inventor is under an obligation to assign,							
<input type="checkbox"/> Person who otherwise shows a sufficient proprietary interest in the matter (petition under 37 CFR 1.46 is required), or							
<input type="checkbox"/> Joint Inventor.							

[Page 1 of 2]

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 1 minute to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

**PATENT
REEL: 047727 FRAME: 0931**

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

SUBSTITUTE STATEMENT

Circumstances permitting execution of this substitute statement:

- Inventor is deceased,
- Inventor is under legal incapacity,
- Inventor cannot be found or reached after diligent effort, or
- Inventor has refused to execute the oath or declaration under 37 CFR 1.63.

If there are joint inventors, please check the appropriate box below:

- An application data sheet under 37 CFR 1.76 (PTO/AIA/14 or equivalent) naming the entire inventive entity has been or is currently submitted.

OR

- An application data sheet under 37 CFR 1.76 (PTO/AIA/14 or equivalent) has not been submitted. Thus, a Substitute Statement Supplemental Sheet (PTO/AIA/11 or equivalent) naming the entire inventive entity and providing inventor information is attached. See 37 CFR 1.64(b).

WARNING:

Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioners/applicants should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available.

PERSON EXECUTING THIS SUBSTITUTE STATEMENT:

Name: **David Lawrence Schreiber** Date (Optional): 2018-12-10

Signature: **/David Lawrence Schreiber/****APPLICANT NAME AND TITLE OF PERSON EXECUTING THIS SUBSTITUTE STATEMENT:**

If the applicant is a juristic entity, list the applicant name and the title of the signer:

KONINKLIJKE PHILIPS N.V.

Applicant Name:

Title of Person Executing
This Substitute Statement: **Authorized Signatory of Koninklijke Philips N.V.**

The signer, whose title is supplied above, is authorized to act on behalf of the applicant.

Residence of the signer (unless provided in an application data sheet, PTO/AIA/14 or equivalent):

City **Valhalla** State **NY** Country **US**

Mailing Address of the signer (unless provided in an application data sheet, PTO/AIA/14 or equivalent)

465 Columbus Avenue
Suite 340

City **Valhalla** State **NY** Zip **10595** Country **US**

Note: Use an additional PTO/AIA/02 form for each inventor who is deceased, legally incapacitated, cannot be found or reached after diligent effort, or has refused to execute the oath or declaration under 37 CFR 1.63.

Privacy Act Statement

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (*i.e.*, GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.