

<b>PATENT ASSIGNMENT COVER SHEET</b>
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Electronic Version v1.1  
 Stylesheet Version v1.2

EPAS ID: PAT5269203

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	FIRST AMENDMENT TO SECURED OPTION AGREEMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
ENOVIX CORPORATION	07/31/2018
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	YORK DISTRESSED ASSET FUND III, L.P.
<b>Street Address:</b>	767 FIFTH AVENUE, 17TH FLOOR
<b>Internal Address:</b>	ATTENTION: JEREMY BLANK
<b>City:</b>	NEW YORK
<b>State/Country:</b>	NEW YORK
<b>Postal Code:</b>	10153
<b>Name:</b>	RODGERS MASSEY REVOCABLE LIVING TRUST DTD 4/4/11, THURMAN JOHN RODGERS, TRUSTEE
<b>Street Address:</b>	575 EASTVIEW WAY
<b>City:</b>	WOODSIDE
<b>State/Country:</b>	CALIFORNIA
<b>Postal Code:</b>	94062
<b>Name:</b>	MICHAEL PETRICK
<b>Street Address:</b>	511 INDIAN FIELD ROAD
<b>City:</b>	GREENWICH
<b>State/Country:</b>	CONNECTICUT
<b>Postal Code:</b>	06830
<b>Name:</b>	EDDY ZERVIGON REVOCABLE TRUST U/A DATED FEBRUARY 16, 2010 -EDDY ZERVIGON AS TRUSTEE
<b>Street Address:</b>	100 BLISS ROAD
<b>City:</b>	MENDHAM
<b>State/Country:</b>	NEW JERSEY
<b>Postal Code:</b>	07945
<b>Name:</b>	EDGAR SABOUNGHI
<b>Street Address:</b>	15 MERCER STREET
<b>City:</b>	NEW YORK
<b>State/Country:</b>	NEW YORK
<b>Postal Code:</b>	10013
<b>Name:</b>	GCH INVESTMENTS, LLC

PATENT

<b>Street Address:</b>	101 CALIFORNIA STREET, 5TH FLOOR
<b>Internal Address:</b>	ATTN: JIM KINDLER
<b>City:</b>	SAN FRANCISCO
<b>State/Country:</b>	CALIFORNIA
<b>Postal Code:</b>	94111
<b>Name:</b>	DR. JOEL SHERMAN
<b>Street Address:</b>	1424 PROSPECT AVENUE
<b>City:</b>	PLAINFIELD
<b>State/Country:</b>	NEW JERSEY
<b>Postal Code:</b>	07060
<b>Name:</b>	THE OBERST FAMILY TRUST, DATED 12/7/2005
<b>Street Address:</b>	1808 HORSEMAN LANE
<b>Internal Address:</b>	ATTN: ANDY OBERST AND MICHELLE OBERST
<b>City:</b>	RANCHO SANTA FE
<b>State/Country:</b>	CALIFORNIA
<b>Postal Code:</b>	92091
<b>Name:</b>	MARC GREENBERGER
<b>Street Address:</b>	40 LAKE SHORE DRIVE
<b>City:</b>	WEST WINDSOR
<b>State/Country:</b>	NEW JERSEY
<b>Postal Code:</b>	08550
<b>Name:</b>	JEROME INVESTMENTS, LLC
<b>Street Address:</b>	2 E. MAIN STREET, UNIT #130
<b>Internal Address:</b>	C/O PAUL SAUCHELLI
<b>City:</b>	BROOKSIDE
<b>State/Country:</b>	NEW JERSEY
<b>Postal Code:</b>	07926
<b>Name:</b>	BRIAN MCGOVERN
<b>Street Address:</b>	604 MOUNTAIN AVENUE
<b>City:</b>	BERKELEY HEIGHTS
<b>State/Country:</b>	NEW JERSEY
<b>Postal Code:</b>	07922
<b>Name:</b>	DPIP PROJECT LION SERIES
<b>Street Address:</b>	401 SOUTH TRYON STREET
<b>Internal Address:</b>	C/O WELLS FARGO INVESTMENT INSTITUTE, INC.
<b>City:</b>	CHARLOTTE
<b>State/Country:</b>	NORTH CAROLINA
<b>Postal Code:</b>	28202
<b>Name:</b>	TUNE HOUSE CAPITAL I LLC

**PATENT**

**REEL: 047733 FRAME: 0686**

<b>Street Address:</b>	55 ROSE AVE., SUITE 6
<b>City:</b>	VENICE
<b>State/Country:</b>	CALIFORNIA
<b>Postal Code:</b>	90291

**PROPERTY NUMBERS Total: 37**

<b>Property Type</b>	<b>Number</b>
Patent Number:	8999558
Patent Number:	9761901
Patent Number:	8865345
Patent Number:	9166230
Patent Number:	8663730
Patent Number:	8475957
Patent Number:	8192788
Patent Number:	8133613
Patent Number:	8524395
Patent Number:	8367244
Patent Number:	9105905
Patent Number:	9608263
Patent Number:	8691450
Patent Number:	10014517
Patent Number:	8216712
Patent Number:	8900331
Patent Number:	8119269
Patent Number:	9843027
Patent Number:	8841030
Patent Number:	9362553
Patent Number:	9806331
Patent Number:	9356271
Patent Number:	10020514
Patent Number:	9991490
Patent Number:	9660292
Application Number:	15699383
Application Number:	14858113
Application Number:	16019134
Application Number:	15785549
Application Number:	15489432
Application Number:	15572191
Application Number:	15572722

Property Type	Number
Application Number:	62422958
Application Number:	15889338
Application Number:	62422983
Application Number:	62586737
Application Number:	15889396

**CORRESPONDENCE DATA**

**Fax Number:** (800)494-7512  
**Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.**  
**Phone:** 202-370-4756  
**Email:** ipteam@cogencyglobal.com  
**Correspondent Name:** JAY DASILVA  
**Address Line 1:** 1025 VERMONT AVE NW, SUITE 1130  
**Address Line 2:** COGENCY GLOBAL INC.  
**Address Line 4:** WASHINGTON, D.C. 20005

<b>ATTORNEY DOCKET NUMBER:</b>	1023109 PAT
<b>NAME OF SUBMITTER:</b>	SONYA JACKMAN
<b>SIGNATURE:</b>	/Sonya Jackman/
<b>DATE SIGNED:</b>	12/06/2018

**Total Attachments: 31**  
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**FIRST AMENDMENT TO  
SECURED OPTION AGREEMENT**

This First Amendment (this “**Amendment**”) to the Secured Option Agreement dated as of July 31, 2018 (the “**Agreement**”) by and among Enovix Corporation, a Delaware corporation (“**Enovix**”) and the Secured Parties (as defined in the Agreement) is made effective as of November 21, 2018, by and between Enovix and the the parties listed on Exhibit A attached hereto (together, the “**Parties**”). Capitalized terms used herein but not otherwise defined shall have the respective meanings given to them in the Agreement.

W I T N E S S E T H:

WHEREAS, Enovix and the Secured Parties (the “**Original Parties**”) are parties to the Agreement, pursuant to which the Secured Parties purchased the Option; and

WHEREAS, the Original Parties desire to amend the Agreement as set forth herein in order to join an additional Secured Party and to amend and restate Exhibit A to the Agreement to reflect such joinder.

NOW, THEREFORE, in consideration of the foregoing and for other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged by the Parties, the Parties hereby agree as follows:

1. By its execution of this Amendment, Tune House Capital I LLC hereby is and becomes a party to the Agreement as Secured Party thereunder effective as of the date hereof.
2. Effective as of the date hereof, the definition of “Threshold Amount” contained in Section 1 of the Agreement is hereby amended by deleting the text of such definition in its entirety and replacing it with the following:

**“Threshold Amount”** means \$22,160,000.
3. Effective as of the date hereof, Exhibit A of the Agreement is hereby amended, restated and replaced in its entirety with Exhibit A of this Amendment.
4. Except as expressly set forth above, all other provisions of the Agreement shall remain in full force and effect unamended, and effective as of the date hereof all references in the Agreement to the Agreement shall be and become references to the Agreement as amended by this Amendment.
5. This Amendment, together with the Agreement, contains the entire agreement of the Parties and supersedes any prior or contemporaneous written or oral agreements between them concerning the subject matter of this Amendment.

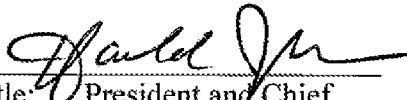
6. This Amendment may be executed in counterparts, each of which shall be deemed an original and all of which together shall constitute one and the same instrument. Counterparts may be delivered via facsimile, electronic mail (including pdf or any electronic signature complying with the U.S. federal ESIGN Act of 2000, Uniform Electronic Transactions Act or other applicable law) or other transmission method and any counterpart so delivered shall be deemed to have been duly and validly delivered and be valid and effective for all purposes.

7 This Amendment and the rights and obligations of the Parties under this Amendment shall be governed by and construed in accordance with the laws of the State of New York, without regard to the conflicts of law rules of such state.

*[Remainder of this page left intentionally blank.]*

IN WITNESS WHEREOF, the Parties have caused this Amendment to be executed and delivered by their duly authorized representatives as of the date first herein written.

ENOVIX CORPORATION

By:   
Title: President and Chief  
Executive Officer

YORK DISTRESSED ASSET  
FUND III, L.P.

By: \_\_\_\_\_  
Title:

RODGERS MASSEY  
REVOCABLE LIVING TRUST  
DTD 4/4/11, THURMAN JOHN  
RODGERS, TRUSTEE

By: \_\_\_\_\_  
Title:

\_\_\_\_\_  
MICHAEL PETRICK

EDDY ZERVIGON REVOCABLE  
TRUST U/A DATED FEBRUARY  
16, 2010 - EDDY ZERVIGON AS  
TRUSTEE

By: \_\_\_\_\_  
Title:

[Signature Page to Secured Option Agreement]

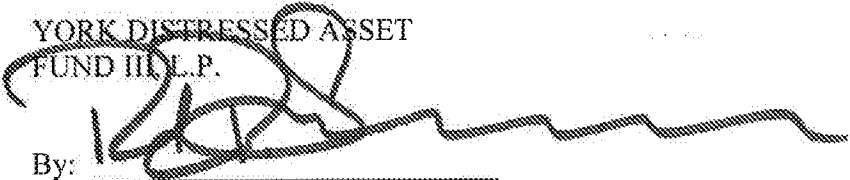


IN WITNESS WHEREOF, the Parties have caused this Amendment to be executed and delivered by their duly authorized representatives as of the date first herein written.

ENOVIX CORPORATION

By: \_\_\_\_\_  
Title: President and Chief  
Executive Officer

YORK DISTRESSED ASSET  
FUND III, L.P.

By:   
Title: Richard P. Swanson  
General Counsel

RODGERS MASSEY  
REVOCABLE LIVING TRUST  
DTD 4/4/11, THURMAN JOHN  
RODGERS, TRUSTEE

By: \_\_\_\_\_  
Title:

\_\_\_\_\_  
MICHAEL PETRICK

EDDY ZERVIGON REVOCABLE  
TRUST U/A DATED FEBRUARY  
16, 2010 - EDDY ZERVIGON AS  
TRUSTEE

By: \_\_\_\_\_  
Title:

*[Signature Page to Secured Option Agreement]*

IN WITNESS WHEREOF, the Parties have caused this Amendment to be executed and delivered by their duly authorized representatives as of the date first herein written.

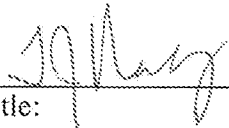
ENOVIX CORPORATION

By: \_\_\_\_\_  
Title: President and Chief  
Executive Officer

YORK DISTRESSED ASSET  
FUND III, L.P.

By: \_\_\_\_\_  
Title:

RODGERS MASSEY  
REVOCABLE LIVING TRUST  
DTD 4/4/11, THURMAN JOHN  
RODGERS, TRUSTEE

By:  \_\_\_\_\_  
Title:

\_\_\_\_\_  
MICHAEL PETRICK

EDDY ZERVIGON REVOCABLE  
TRUST U/A DATED FEBRUARY  
16, 2010 - EDDY ZERVIGON AS  
TRUSTEE

By: \_\_\_\_\_  
Title:

*[Signature Page to Secured Option Agreement]*

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ENOVIX CORPORATION


By: \_\_\_\_\_  
Title: President and Chief  
Executive Officer

YORK DISTRESSED ASSET  
FUND III, L.P.

By: \_\_\_\_\_  
Title:

RODGERS MASSEY  
REVOCABLE LIVING TRUST  
DTD 4/4/11, THURMAN JOHN  
RODGERS, TRUSTEE

By: \_\_\_\_\_  
Title:

  
\_\_\_\_\_  
MICHAEL PETRICK

EDDY ZERVIGON REVOCABLE  
TRUST U/A DATED FEBRUARY  
16, 2010 - EDDY ZERVIGON AS  
TRUSTEE

By: \_\_\_\_\_  
Title:

*[Signature Page to Secured Option Agreement]*

IN WITNESS WHEREOF, the Parties have caused this Amendment to be executed and delivered by their duly authorized representatives as of the date first herein written.

ENOVIX CORPORATION

By: \_\_\_\_\_  
Title: President and Chief  
Executive Officer

YORK DISTRESSED ASSET  
FUND III, L.P.


By: \_\_\_\_\_  
Title:

RODGERS MASSEY  
REVOCABLE LIVING TRUST  
DTD 4/4/11, THURMAN JOHN  
RODGERS, TRUSTEE

By: \_\_\_\_\_  
Title:

\_\_\_\_\_  
MICHAEL PETRICK

EDDY ZERVIGON REVOCABLE  
TRUST U/A DATED FEBRUARY  
16, 2010 - EDDY ZERVIGON AS  
TRUSTEE

By:   
Title:

*[Signature Page to Secured Option Agreement]*



\_\_\_\_\_  
EDGAR SABOUNGHI

\_\_\_\_\_  
DR. JOEL SHERMAN

GCH INVESTMENTS, LLC

By: \_\_\_\_\_  
Title:

THE OBERST FAMILY TRUST,  
DATED 12/7/2005

By: \_\_\_\_\_  
Title:

\_\_\_\_\_  
MARC GREENBERGER

JEROME INVESTMENTS

By: \_\_\_\_\_  
Title:

\_\_\_\_\_  
BRIAN MCGOVERN

*[Signature Page to Secured Option Agreement]*

\_\_\_\_\_  
EDGAR SABOUNGHI

  
\_\_\_\_\_  
DR. JOEL SHERMAN

GCH INVESTMENTS, LLC

By: \_\_\_\_\_  
Title:

THE OBERST FAMILY TRUST,  
DATED 12/7/2005

By: \_\_\_\_\_  
Title:

\_\_\_\_\_  
MARC GREENBERGER

JEROME INVESTMENTS

By: \_\_\_\_\_  
Title:

\_\_\_\_\_  
BRIAN MCGOVERN

*{Signature Page to Secured Option Agreement}*



EDGAR SABOUNGHI

DR. JOEL SHERMAN

GCH INVESTMENTS, LLC

By: \_\_\_\_\_  
Title:

THE OBERST FAMILY TRUST,  
DATED 12/7/2005

By: Andy Oberst  
Title: Trustee

MARC GREENBERGER

JEROME INVESTMENTS

By: \_\_\_\_\_  
Title:

BRIAN MCGOVERN

*[Signature Page to Secured Option Agreement]*



EDGAR SABOUNGHI

DR. JOEL SHERMAN

GCH INVESTMENTS, LLC

By: \_\_\_\_\_  
Title:

THE OBERST FAMILY TRUST,  
DATED 12/7/2005

By: \_\_\_\_\_  
Title:

  
MARC GREENBERGER

JEROME INVESTMENTS

By: \_\_\_\_\_  
Title:

BRIAN MCGOVERN

*[Signature Page to Secured Option Agreement]*

\_\_\_\_\_  
EDGAR SABOUNGHI

\_\_\_\_\_  
DR. JOEL SHERMAN

GCH INVESTMENTS, LLC

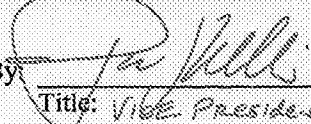
By: \_\_\_\_\_  
Title:

THE OBERST FAMILY TRUST,  
DATED 12/7/2005

By: \_\_\_\_\_  
Title:

\_\_\_\_\_  
MARC GREENBERGER

JEROME INVESTMENTS

By:   
Title: *Vice President*

\_\_\_\_\_  
BRIAN MCGOVERN

*[Signature Page to Secured Option Agreement]*

\_\_\_\_\_  
EDGAR SABOUNGHI

\_\_\_\_\_  
DR. JOEL SHERMAN

GCH INVESTMENTS, LLC

By: \_\_\_\_\_  
Title:


THE OBERST FAMILY TRUST,  
DATED 12/7/2005

By: \_\_\_\_\_  
Title:

\_\_\_\_\_  
MARC GREENBERGER

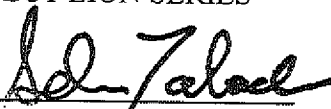
JEROME INVESTMENTS

By: \_\_\_\_\_  
Title:

  
\_\_\_\_\_  
BRIAN MCGOVERN

*[Signature Page to Secured Option Agreement]*

DPIP PROJECT LION SERIES

By: 

Title: Adam Taback  
Head of Global Alternative  
Investments

TUNE HOUSE CAPITAL I LLC

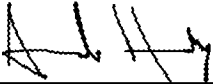
By: \_\_\_\_\_  
Title:

*[Signature Page to Secured Option Agreement]*

DPIP PROJECT LION SERIES

By: \_\_\_\_\_  
Title:

TUNE HOUSE CAPITAL I LLC

By:  \_\_\_\_\_  
Title: Amanda Hendy, Managing Director

*[Signature Page to Secured Option Agreement]*

**Exhibit B**  
**Enovix IP**

OWNER	ENX_NUM	TITLE	COUNTRY	REFERENCE #	TYPE	FILED	SERIAL #	ISSUED	PATENT #	STATUS
ENOVIX CORPORATION	ENX-0005	THREE DIMENSIONAL BATTERIES AND METHODS OF MANUFACTURE USING BACKBONE STRUCTURE	CHINA	ENX-0005-CN (0328334)	DCA	1/11/2008	200880001678	7/10/2013	ZL200880001678	ISSUED
ENOVIX CORPORATION	ENX-0005	THREE DIMENSIONAL BATTERIES AND METHODS OF MANUFACTURING THE SAME	UNITED STATES	ENX-0005-P (0323846)	DCA	1/11/2008	12/013,388	4/7/2015	8,999,558	ISSUED
ENOVIX CORPORATION	ENX-0005	THREE DIMENSIONAL BATTERIES AND METHODS OF MANUFACTURING THE SAME	UNITED STATES	ENX-0005-USC1 (0323846)	CON	3/4/2015	14/638,410	9/12/2017	9761901	ISSUED
ENOVIX CORPORATION	ENX-0005	THREE DIMENSIONAL LITHIUM BATTERY SEPARATOR ARCHITECTURES	WIPO	ENX-0005-PCT	CEW	5/12/2009	PCT/US09/43662			NAT PHASE
ENOVIX CORPORATION	ENX-0005	THREE DIMENSIONAL BATTERIES AND METHODS OF MANUFACTURING THE SAME	UNITED STATES	ENX-0005-USC2 (0323846)	CON	3/4/2015	15/699,383			PENDING
ENOVIX CORPORATION	ENX-0006	ELECTRODES FOR THREE-DIMENSIONAL LITHIUM BATTERIES AND METHODS OF MANUFACTURING THEREOF	UNITED STATES	ENX-0006-P (0323847)	DCA	1/11/2008	12/013,394	10/21/2014	8,865,345	ISSUED

OWNER	ENX- NUM	TITLE	COUNTRY	REFERENCE #	TYPE	FILED	SERIAL #	ISSUED	PATENT #	STATUS
ENOVIX CORPORATION	ENX- 0007	THREE-DIMENSIONAL BATTERY HAVING CURRENT-REDUCING DEVICES CORRESPONDING TO ELECTRODES	UNITED STATES	ENX-0007-P (0323848)	FCA	1/11/2008	12/013,397	10/20/2015	9166230	ISSUED
ENOVIX CORPORATION	ENX- 0007	THREE-DIMENSIONAL BATTERY HAVING CURRENT-REDUCING DEVICES CORRESPONDING TO ELECTRODES	UNITED STATES	ENX-0007-uscl (0323848)	CON	9/8/2015	14/858113			PUBLISHED
ENOVIX CORPORATION	ENX- 0008	METHOD TO FABRICATE A THREE DIMENSIONAL BATTERY WITH A POROUS DIELECTRIC SEPARATOR	UNITED STATES	ENX-0008-P (0324626)	FCA	1/11/2008	12/013,398	3/4/2014	8,663,730	ISSUED
ENOVIX CORPORATION	ENX- 0009	THREE DIMENSIONAL BATTERIES AND METHODS OF MANUFACTURE USING BACKBONE STRUCTURE	HONG KONG	ENX-0009.HK (0328551)	OTH	1/11/2008	10104837.7	2/21/2014	1138110	ISSUED
ENOVIX CORPORATION	ENX- 0009	NEGATIVE ELECTRODE STRUCTURE FOR NON- AQUEOUS LITHIUM SECONDARY BATTERY	UNITED STATES	ENX-0009-P (0323849)	FCA	4/17/2009	12/426,118	7/2/2013	8,475,957	ISSUED
ENOVIX CORPORATION	ENX- 0012	SINGLE STEP CURRENT COLLECTOR DEPOSITION PROCESS FOR ENERGY STORAGE DEVICES	UNITED STATES	ENX-0012-P (0323851)	FCA	4/17/2009	12/426,084	6/5/2012	8,192,788	ISSUED

OWNER	ENX- NUM	TITLE	COUNTRY	REFERENCE #	TYPE	FILED	SERIAL #	ISSUED	PATENT #	STATUS
ENOVIX CORPORATION	ENX-0014	NONAQUEOUS ELECTROLYTE SECONDARY BATTERY ANODE MATERIAL WITH A UNIFORM METAL-SEMICONDUCTOR ALLOY LAYER	UNITED STATES	ENX-0014-P (0323852)	OTH	4/17/2008	12/105,090	3/13/2012	8,133,613	ISSUED
ENOVIX CORPORATION	ENX-0014	NONAQUEOUS ELECTROLYTE SECONDARY BATTERY ANODE MATERIAL WITH A UNIFORM METAL-SEMICONDUCTOR ALLOY LAYER	UNITED STATES	ENX-0014 US2 (0333288)	DIV	2/15/2012	13/396,958	9/3/2013	8,524,395	ISSUED
ENOVIX CORPORATION	ENX-0015	ANODE MATERIAL HAVING A UNIFORM METAL-SEMICONDUCTOR ALLOY LAYER	CHINA	ENX-0015.CN (0328333)	DCA	4/17/2009	200980113838.9	3/12/2014	102007625 B	ISSUED
ENOVIX CORPORATION	ENX-0015	ANODE MATERIAL HAVING A UNIFORM METAL-SEMICONDUCTOR ALLOY LAYER	CHINA	ENX-0015.CN-DIV (0330401)	DIV	4/17/2009	201110462713.6	7/15/2015	ZL 201110462713.6	ISSUED
ENOVIX CORPORATION	ENX-0015	ANODE MATERIAL HAVING A UNIFORM METAL-SEMICONDUCTOR ALLOY LAYER	EUROPEAN PATENT CO	ENX-0015.EP (0328552)	DCA	4/17/2009	9732201	10/21/2015	2277214	NAT PHASE
ENOVIX CORPORATION	ENX-0015	ANODE MATERIAL HAVING A UNIFORM METAL-SEMICONDUCTOR ALLOY LAYER	UNITED STATES	ENX-0015-P (0323853)	CIP	4/17/2009	12/426,069	2/5/2013	8,367,244	ISSUED
ENOVIX CORPORATION	ENX-0015	ANODE MATERIAL HAVING A UNIFORM METAL-SEMICONDUCTOR ALLOY LAYER	HONG KONG	ENX-0015.CN-DIV-HK	CEQ	11/16/2012	12111711.1	6/24/2016	HK1171113	ISSUED



OWNER	ENX- NUM	TITLE	COUNTRY	REFERENCE #	TYPE	FILED	SERIAL #	ISSUED	PATENT #	STATUS
ENOVIX CORPORATION	ENX-0015	ANODE MATERIAL HAVING A UNIFORM METAL-SEMICONDUCTOR ALLOY LAYER	UNITED STATES	ENX-0015_USD1 (034744)	DIV	1/31/2013	13/755,173	8/11/2015	9105905	ISSUED
ENOVIX CORPORATION	ENX-0015	ANODE MATERIAL HAVING A UNIFORM METAL-SEMICONDUCTOR ALLOY LAYER	FRANCE	ENX-0015.FR	DCA	4/17/2009	9732201	10/21/2015	2277214	ISSUED
ENOVIX CORPORATION	ENX-0015	ANODE MATERIAL HAVING A UNIFORM METAL-SEMICONDUCTOR ALLOY LAYER	GERMANY	ENX-0015.DE	DCA	4/17/2009	9732201	10/21/2015	2277214	ISSUED
ENOVIX CORPORATION	ENX-0015	ANODE MATERIAL HAVING A UNIFORM METAL-SEMICONDUCTOR ALLOY LAYER	UNITED KINGDOM	ENX-0015.GB	DCA	4/17/2009	9732201	10/21/2015	2277214	ISSUED
ENOVIX CORPORATION	ENX-0015	ANODE MATERIAL HAVING A UNIFORM METAL-SEMICONDUCTOR ALLOY LAYER	ITALY	ENX-0015.IT (0328552)	DCA	4/17/2009	9732201	10/21/2015	2277214	ISSUED
ENOVIX CORPORATION	ENX-0015	ANODE MATERIAL HAVING A UNIFORM METAL-SEMICONDUCTOR ALLOY LAYER	UNITED STATES	ENX-0015_USC2 (0387731)	DIV	7/28/2015	14/810,624	3/28/2017	9608263	ISSUED
ENOVIX CORPORATION	ENX-0016	THREE DIMENSIONAL BATTERIES AND METHODS OF MANUFACTURING THE SAME	UNITED STATES	ENX-0016 (0324887)	CIP	8/5/2009	12/536,154	4/8/2014	8,691,450	ISSUED
ENOVIX CORPORATION	ENX-0016	THREE DIMENSIONAL BATTERIES AND METHODS OF MANUFACTURING THE SAME	UNITED STATES	ENX-0016_USD1 (0375064)	DIV	2/21/2014	14/186,503	7/3/2018	10,014,517	ISSUED

OWNER	ENX- NUM	TITLE	COUNTRY	REFERENCE #	TYPE	FILED	SERIAL #	ISSUED	PATENT #	STATUS
ENOVIX CORPORATION	ENX-0016	THREE DIMENSIONAL BATTERIES AND METHODS OF MANUFACTURING THE SAME	UNITED STATES	ENX-0016.USC1 (0375064)	CON	6/26/2018	16/019,134			FILED
ENOVIX CORPORATION	ENX-0018	ANODIZED METALLIC BATTERY SEPARATOR HAVING THROUGH-PORES	UNITED STATES	ENX-0018-P (0323854)	CIP	12/19/2008	12/339,400	7/10/2012	8,216,712	ISSUED
ENOVIX CORPORATION	ENX-0018	PROCESS FOR MANUFACTURING A BATTERY INCORPORATING AN ANODIZED METALLIC BATTERY SEPARATOR HAVING THROUGH-PORES	UNITED STATES	ENX-0018.DIV (0336937)	DIV	6/6/2012	13/490,136	12/2/2014	8,900,331	ISSUED
ENOVIX CORPORATION	ENX-0019	SECONDARY BATTERY WITH AUXILIARY ELECTRODE	UNITED STATES	ENX-0019.US (0328339)	FCA	5/12/2008	12/119,369	2/21/2012	8,119,269	ISSUED
ENOVIX CORPORATION	ENX-0019	SECONDARY BATTERY WITH AUXILIARY ELECTRODE	CHINA	ENX-0019.CN (0328555)	DCA	5/12/2009	200980117587	9/11/2013	102084519 B	ISSUED
ENOVIX CORPORATION	ENX-0019	SECONDARY BATTERY WITH AUXILIARY ELECTRODE	EUROPEAN PATENT CO	ENX-0019.EP (0328553)	DCA	5/12/2009	9747384.7	1/11/2017	EP 2283531	NAT PHASE
ENOVIX CORPORATION	ENX-0019	SECONDARY BATTERY WITH AUXILIARY ELECTRODE	WIPO	ENX-0019.PCT	CEQ	5/12/2009	PCT/US2009/043662			NAT PHASE
ENOVIX CORPORATION	ENX-0019	SECONDARY BATTERY WITH AUXILIARY ELECTRODE	HONG KONG	ENX-0019.HK (0330402)	CEQ	11/23/2011	11112685.2	5/23/2014	HK1158369	ISSUED
ENOVIX CORPORATION	ENX-0019	SECONDARY BATTERY WITH AUXILIARY ELECTRODE	GERMANY	ENX-0019.DE (0328553)	DCA	5/12/2009	9747384.7	1/11/2017	2283531	ISSUED

OWNER	ENX_NUM	TITLE	COUNTRY	REFERENCE #	TYPE	FILED	SERIAL #	ISSUED	PATENT #	STATUS
ENOVIX CORPORATION	ENX-0019	SECONDARY BATTERY WITH AUXILIARY ELECTRODE	FRANCE	ENX-0019.FR (0328553)	DCA	5/12/2009	9747384.7	1/11/2017	2283531	ISSUED
ENOVIX CORPORATION	ENX-0019	SECONDARY BATTERY WITH AUXILIARY ELECTRODE	UNITED KINGDOM	ENX-0019.GB (0328553)	DCA	5/12/2009	9747384.7	1/11/2017	2283531	ISSUED
ENOVIX CORPORATION	ENX-0019	SECONDARY BATTERY WITH AUXILIARY ELECTRODE	ITALY	ENX-0019.IT (0328553)	DCA	5/12/2009	9747384.7	1/11/2017	602009043705.4	ISSUED
ENOVIX CORPORATION	ENX-0020	BATTERY CELL HAVING PACKAGE ANODE PLATE IN CONTACT WITH A PLURALITY OF DIES	UNITED STATES	ENX-0020-P (0323734)	CON	10/12/2010	12,903,082	12/12/2017	9843027	ISSUED
ENOVIX CORPORATION	ENX-0021	MICROSTRUCTURED ELECTRODE STRUCTURES	UNITED STATES	ENX-0021.US (0333195)	NEW	1/24/2012	13/357,320	9/23/2014	8,841,030	ISSUED
ENOVIX CORPORATION	ENX-0021	MICROSTRUCTURED ELECTRODE STRUCTURES	TAIWAN	ENX-0021.TW (0337580)	GEQ	1/23/2013	102102528	7/11/2017	1591874	ISSUED
ENOVIX CORPORATION	ENX-0021	MICROSTRUCTURED ELECTRODE STRUCTURES	CHINA	ENX-0021.CN (0370611)	DCA	1/24/2013	201380006585.1	11/3/2017	CN104094451 (B)	ISSUED
ENOVIX CORPORATION	ENX-0021	MICROSTRUCTURED ELECTRODE STRUCTURES	EUROPEAN PATENT CO	ENX-0021.EP (0370612)	DCA	1/24/2013	13740825.8	10/18/2017	EP2807694	ISSUED
ENOVIX CORPORATION	ENX-0021	MICROSTRUCTURED ELECTRODE STRUCTURES	JAPAN	ENX-0021.JP (0370614)	DCA	1/24/2013	2014-553546			PUBLISHED
ENOVIX CORPORATION	ENX-0021	MICROSTRUCTURED ELECTRODE STRUCTURES	SOUTH KOREA	ENX-0021.KR (0370616)	DCA	1/24/2013	10-2014-7020881			PUBLISHED

OWNER	ENX- NUM	TITLE	COUNTRY	REFERENCE #	TYPE	FILED	SERIAL #	ISSUED	PATENT #	STATUS
ENOVIX CORPORATION	ENX-0021	MICROSTRUCTURED ELECTRODE STRUCTURES	WIPO	ENX-0021.WO (0347455)	CEQ	1/24/2013	PCT/US13/22868			NAT PHASE
ENOVIX CORPORATION	ENX-0021	MICROSTRUCTURED ELECTRODE STRUCTURES	UNITED STATES	ENX-0021.USC1 (0371921)	CON	7/18/2014	14/334,901	6/7/2016	9,362,553	ISSUED
ENOVIX CORPORATION	ENX-0021	MICROSTRUCTURED ELECTRODE STRUCTURES	HONG KONG	ENX-0021.HK	OTH	4/8/2015	15103424.3			PUBLISHED
ENOVIX CORPORATION	ENX-0021	MICROSTRUCTURED ELECTRODE STRUCTURES	UNITED STATES	ENX-0021.USC2	CON	7/18/2014	15/133,385	10/31/2017	9,806,331	ISSUED
ENOVIX CORPORATION	ENX-0021	MICROSTRUCTURED ELECTRODE STRUCTURES	GERMANY	ENX-0021.DE (0370612)	DCA	1/24/2013	13740825.8			PENDING
ENOVIX CORPORATION	ENX-0021	MICROSTRUCTURED ELECTRODE STRUCTURES	UNITED KINGDOM	ENX-0021.GB (0370612)	DCA	1/24/2013	13740825.8			PENDING
ENOVIX CORPORATION	ENX-0021	MICROSTRUCTURED ELECTRODE STRUCTURES	FRANCE	ENX-0021.FR (0370612)	DCA	1/24/2013	13740825.8			PENDING
ENOVIX CORPORATION	ENX-0021	MICROSTRUCTURED ELECTRODE STRUCTURES	ITALY	ENX-0021.IT (0370612)	DCA	1/24/2013	13740825.8			PENDING
ENOVIX CORPORATION	ENX-0021	ELECTRODE STRUCTURES	UNITED STATES	ENX-0021.USC3	CON	10/17/2017	15/785,549			PENDING
ENOVIX CORPORATION	ENX-0027	IONICALLY PERMEABLE STRUCTURES FOR ENERGY STORAGE DEVICES	CHINA	ENX-0027.CN (0371460)	DCA	1/24/2012	201280068025.4	2/22/2017	ZL201280068025.4	ISSUED
ENOVIX CORPORATION	ENX-0027	IONICALLY PERMEABLE STRUCTURES FOR ENERGY STORAGE DEVICES	EUROPEAN PATENT CO	ENX-0027.EP (0371459)	DCA	1/24/2012	12866772.2			ALLOWED

OWNER	ENX- NUM	TITLE	COUNTRY	REFERENCE #	TYPE	FILED	SERIAL #	ISSUED	PATENT #	STATUS
ENOVIX CORPORATION	ENX-0027	IONICALLY PERMEABLE STRUCTURES FOR ENERGY STORAGE DEVICES	WIPO	ENX-0027.PCT (0331496)	NEW	1/24/2012	PCT/US			NAT PHASE
ENOVIX CORPORATION	ENX-0027	IONICALLY PERMEABLE STRUCTURES FOR ENERGY STORAGE DEVICES	UNITED STATES	ENX-0027.US (0336936)	DCA	5/16/2012	13/510,070	5/31/2016	9356271	ISSUED
ENOVIX CORPORATION	ENX-0027	IONICALLY PERMEABLE STRUCTURES FOR ENERGY STORAGE DEVICES	UNITED STATES	ENX-0027.USC1 (0336936)	CON	5/18/2016	15/157,634	7/10/2018	10,020,514	ISSUED
ENOVIX CORPORATION	ENX-0027	IONICALLY PERMEABLE STRUCTURES FOR ENERGY STORAGE DEVICES	CHINA	ENX-0027.CND1 (0371460)	DIV	1/24/2012	201611197141.2			PENDING
ENOVIX CORPORATION	ENX-0028	SEPARATORS FOR THREE-DIMENSIONAL BATTERIES	UNITED STATES	ENX-0028.US (0364263)	FCA	3/13/2014	14/207,808	6/5/2018	9,991,490	ISSUED
ENOVIX CORPORATION	ENX-0028	SEPARATORS FOR THREE-DIMENSIONAL BATTERIES	WIPO	ENX-0028.WO (0364265)	CEQ	3/13/2014	PCT/US14/25200			NAT PHASE
ENOVIX CORPORATION	ENX-0028	SEPARATORS FOR THREE-DIMENSIONAL BATTERIES	TAIWAN	ENX-0028.TW (0364261)	CEQ	3/14/2014	103109289			PUBLISHED
ENOVIX CORPORATION	ENX-0028	SEPARATORS FOR THREE-DIMENSIONAL BATTERIES	JAPAN	ENX-0028.JP	DCA	3/13/2014	2016-501783			PUBLISHED
ENOVIX CORPORATION	ENX-0028	SEPARATORS FOR THREE-DIMENSIONAL BATTERIES	SOUTH KOREA	ENX-0028.JP	DCA	3/13/2014	10-2015-7029154			PENDING
ENOVIX CORPORATION	ENX-0028	SEPARATORS FOR THREE-DIMENSIONAL BATTERIES	HONG KONG	ENX-0028.HK		3/13/2014	16104146.7			PUBLISHED
ENOVIX CORPORATION	ENX-0028	SEPARATORS FOR THREE-DIMENSIONAL BATTERIES	EUROPEAN PATENT CO	ENX-0028.EP (0389099)	DCA	3/13/2014	14768734.7			PENDING

OWNER	ENX- NUM	TITLE	COUNTRY	REFERENCE #	TYPE	FILED	SERIAL #	ISSUED	PATENT #	STATUS
ENOVIX CORPORATION	ENX-0028	SEPARATORS FOR THREE-DIMENSIONAL BATTERIES	CHINA	ENX-0028.CN (0389099)	DCA	3/13/2014	201480027429.8			PUBLISHED
ENOVIX CORPORATION	ENX-0030	ELECTRODE STRUCTURES FOR THREE-DIMENSIONAL BATTERIES	CHINA	ENX-0030.CN (0379442)	DCA	8/1/2013	201380043408.0	9/29/2017	CN104662714B	ISSUED
ENOVIX CORPORATION	ENX-0030	ELECTRODE STRUCTURES FOR THREE-DIMENSIONAL BATTERIES	EUROPEAN PATENT CO	ENX-0030.EP (0379448)	DCA	8/1/2013	13829954.0	9/8/2017	EP2885830	ISSUED
ENOVIX CORPORATION	ENX-0030	ELECTRODE STRUCTURES FOR THREE-DIMENSIONAL BATTERIES	JAPAN	ENX-0030.JP (0379443)	DCA	8/1/2013	2015-527476			PUBLISHED
ENOVIX CORPORATION	ENX-0030	ELECTRODE STRUCTURES FOR THREE-DIMENSIONAL BATTERIES	SOUTH KOREA	ENX-0030.KR (0379828)	DCA	8/1/2013	10-2015-7005561			PENDING
ENOVIX CORPORATION	ENX-0030	ELECTRODE STRUCTURES FOR THREE-DIMENSIONAL BATTERIES	WIPO	ENX-0030.PCT (0356727)	CEQ	8/1/2013	PCT/US13/53235			NAT PHASE
ENOVIX CORPORATION	ENX-0030	ELECTRODE STRUCTURES FOR THREE-DIMENSIONAL BATTERIES	UNITED STATES	ENX-0030.US (0356729)	FCA	8/1/2013	13/957,088	5/23/2017	9660292	ISSUED
ENOVIX CORPORATION	ENX-0030	ELECTRODE STRUCTURES FOR THREE-DIMENSIONAL BATTERIES	TAIWAN	ENX-0030.TW (0356728)	CEQ	8/16/2013	102129550	1/11/2018	TW1611619B	ISSUED
ENOVIX CORPORATION	ENX-0030	ELECTRODE STRUCTURES FOR THREE-DIMENSIONAL BATTERIES	HONG KONG	ENX-0030.HK (0390061)	CEQ	10/20/2015	15110308.9			PUBLISHED
ENOVIX CORPORATION	ENX-0030	ELECTRODE STRUCTURES FOR THREE-DIMENSIONAL BATTERIES	UNITED STATES	ENX-0030.USC1 (0356729)	FCA	4/27/2017	15/489,432			ALLOWED
ENOVIX CORPORATION	ENX-0030	ELECTRODE STRUCTURES FOR THREE-DIMENSIONAL BATTERIES	GERMANY	ENX-0030.DE (0379448)	DCA	8/1/2013	13829954.0			PENDING

PATENT

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OWNER	ENX- NUM	TITLE	COUNTRY	REFERENCE #	TYPE	FILED	SERIAL #	ISSUED	PATENT #	STATUS
ENOVIX CORPORATION	ENX-0030	ELECTRODE STRUCTURES FOR THREE-DIMENSIONAL BATTERIES	FRANCE	ENX-0030.FR (0379448)	DCA	8/1/2013	13829954.0			PENDING
ENOVIX CORPORATION	ENX-0030	ELECTRODE STRUCTURES FOR THREE-DIMENSIONAL BATTERIES	UNITED KINGDOM	ENX-0030.GB (0379448)	DCA	8/1/2013	13829954.0			PENDING
ENOVIX CORPORATION	ENX-0030	ELECTRODE STRUCTURES FOR THREE-DIMENSIONAL BATTERIES	ITALY	ENX-0030.IT (0379448)	DCA	8/1/2013	13829954.0			PENDING
ENOVIX CORPORATION	ENX-0041	REPLENISHED NEGATIVE ELECTRODES FOR SECONDARY BATTERIES	WIPO	ENX-0041.WO	CEQ	5/6/2016	PCT/US16/31222			PUBLISHED
ENOVIX CORPORATION	ENX-0041	REPLENISHED NEGATIVE ELECTRODES FOR SECONDARY BATTERIES	TAIWAN	ENX-0041.TW	CEQ	5/6/2016	105114182			PUBLISHED
ENOVIX CORPORATION	ENX-0041	REPLENISHED NEGATIVE ELECTRODES FOR SECONDARY BATTERIES	UNITED STATES	ENX-0041.US	NEW	11/7/2017	15/572.191			PENDING
ENOVIX CORPORATION	ENX-0041	REPLENISHED NEGATIVE ELECTRODES FOR SECONDARY BATTERIES	JAPAN	ENX-0041.JP	NEW	5/6/2016	2017-558530			PENDING
ENOVIX CORPORATION	ENX-0041	REPLENISHED NEGATIVE ELECTRODES FOR SECONDARY BATTERIES	EUROPEAN PATENT CO	ENX-0041.EP	NEW	5/6/2016	16793258.1			PENDING
ENOVIX CORPORATION	ENX-0041	REPLENISHED NEGATIVE ELECTRODES FOR SECONDARY BATTERIES	SOUTH KOREA	ENX-0041.KR	NEW	5/6/2016	10-2017-7035234			PENDING
ENOVIX CORPORATION	ENX-0041	REPLENISHED NEGATIVE ELECTRODES FOR SECONDARY BATTERIES	CHINA	ENX-0041.CN	NEW	1/5/2018	201680039874.5			PUBLISHED
ENOVIX CORPORATION	ENX-0042	CONSTRAINTS FOR THREE-DIMENSIONAL BATTERIES	WIPO	ENX-0042.WO (0398373)	CEQ	5/13/2016	105115009			PUBLISHED

OWNER	ENX- NUM	TITLE	COUNTRY	REFERENCE #	TYPE	FILED	SERIAL #	ISSUED	PATENT #	STATUS
ENOVIX CORPORATION	ENX-0042	CONSTRAINTS FOR THREE-DIMENSIONAL BATTERIES	TAIWAN	ENX-0042.TW (0398374)	CEQ	5/13/2016	PCT/US16/32284			PUBLISHED
ENOVIX CORPORATION	ENX-0042	CONSTRAINTS FOR THREE-DIMENSIONAL BATTERIES	JAPAN	ENX-0042.JP	NEW	5/13/2016	2017-559420			PUBLISHED
ENOVIX CORPORATION	ENX-0042	CONSTRAINTS FOR THREE-DIMENSIONAL BATTERIES	EUROPEAN PATENT CO	ENX-0042.EP	NEW	5/13/2016	16793590.7			PUBLISHED
ENOVIX CORPORATION	ENX-0042	CONSTRAINTS FOR THREE-DIMENSIONAL BATTERIES	SOUTH KOREA	ENX-0042.KR	NEW	5/13/2016	10-2017-7036166			PUBLISHED
ENOVIX CORPORATION	ENX-0042	LONGITUDINAL CONSTRAINTS FOR ENERGY STORAGE DEVICES	UNITED STATES	ENX-0042.US (0366302)	NEW	2/5/2018	15/572.722			PENDING
ENOVIX CORPORATION	ENX-0042	CONSTRAINTS FOR THREE-DIMENSIONAL BATTERIES	CHINA	ENX-0042.CN (2386589)	NEW	2/5/2018	201680039194.3			PENDING
ENOVIX CORPORATION	ENX-0043	DIMENSIONAL CONSTRAINTS FOR THREE-DIMENSIONAL BATTERIES	UNITED STATES	ENX-0043.PR2(0386199)	NEW	11/16/2016	62/422.958			PENDING
ENOVIX CORPORATION	ENX-0043	DIMENSIONAL CONSTRAINTS FOR THREE-DIMENSIONAL BATTERIES	WIPO	ENX-0043.WO (0557648)	NEW	5/12/2017	PCT/US17/32355 WO/2017/197233			PUBLISHED
ENOVIX CORPORATION	ENX-0043	DIMENSIONAL CONSTRAINTS FOR THREE-DIMENSIONAL BATTERIES	TAIWAN	ENX-0043.TW (0557658)	NEW	5/12/2017	106115886			PUBLISHED
ENOVIX CORPORATION	ENX-0043	DIMENSIONAL CONSTRAINTS FOR THREE-DIMENSIONAL BATTERIES	UNITED STATES	ENX-0043.UST1 (238808)	NEW	2/6/2018	15/889.338			ALLOWED

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OWNER	ENX- NUM	TITLE	COUNTRY	REFERENCE #	TYPE	FILED	SERIAL #	ISSUED	PATENT #	STATUS
ENOVIX CORPORATION	ENX-0046	THREE-DIMENSIONAL BATTERIES WITH COMPRESSIBLE CATHODES	UNITED STATES	ENX-0046.PR (0323848)	NEW	11/16/2016	62/422,983			PENDING
ENOVIX CORPORATION	ENX-0046	THREE-DIMENSIONAL BATTERIES WITH COMPRESSIBLE CATHODES	WIPO	ENX-0046.PCT	NEW	11/16/2017	PCT/US17/61892			PENDING
ENOVIX CORPORATION	ENX-0046	THREE-DIMENSIONAL BATTERIES WITH COMPRESSIBLE CATHODES	TAIWAN	ENX-0046.TW	NEW	11/16/2017	106139728			PENDING
ENOVIX CORPORATION	ENX-0059	CONSTRAINED ELECTRODE STRUCTURES	UNITED STATES	ENX-0059.PR	NEW	11/16/2017	62/586,737			PENDING
ENOVIX CORPORATION	ENX-0059	CONSTRAINED ELECTRODE STRUCTURES	UNITED STATES	ENX-0059.UST1 (2388809)	NEW	2/6/2018	15/889,396			PENDING

OWNER	MARK	COUNTRY	APPLICATION/ REGISTRATION NO.	GOODS/SERVICES	HISTORY AND STATUS	DEADLINES
ENOVIX CORPORATION	ENOVIX	UNITED STATES	APPLICATION NO. 85001783 REGISTRATION NO. 4556153	CLASS 09: BATTERIES, BATTERY PACKS; BATTERY STORAGE DEVICES, NAMELY BATTERY CELLS FIRST USE: JAN-15-2014 / FIRST USE IN COMMERCE: JAN-15-2014	FILED MAR-30-2010 NOTICE OF ALLOWANCE APR-26-2011 REGISTERED JUN-24-2014	AFFIDAVIT OF USE DUE - DEADLINE JUN-24-2020 NEXT RENEWAL DATE JUN-24-2024

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