

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

EPAS ID: PAT5274737

SUBMISSION TYPE:	CORRECTIVE ASSIGNMENT										
NATURE OF CONVEYANCE:	Corrective Assignment to correct the ASSIGNEE ADDRESS previously recorded on Reel 041835 Frame 0227. Assignor(s) hereby confirms the ASSIGNMENT OF ASSIGNORS INTEREST.										
SEQUENCE:	2										
CONVEYING PARTY DATA											
<table border="1"><thead><tr><th>Name</th><th>Execution Date</th></tr></thead><tbody><tr><td>ANU WALLECHA</td><td>01/13/2017</td></tr><tr><td>ROBERT PETIT</td><td>01/13/2017</td></tr></tbody></table>	Name	Execution Date	ANU WALLECHA	01/13/2017	ROBERT PETIT	01/13/2017					
Name	Execution Date										
ANU WALLECHA	01/13/2017										
ROBERT PETIT	01/13/2017										
RECEIVING PARTY DATA											
<table border="1"><tr><td>Name:</td><td>ADVAXIS, INC.</td></tr><tr><td>Street Address:</td><td>305 COLLEGE ROAD EAST</td></tr><tr><td>City:</td><td>PRINCETON</td></tr><tr><td>State/Country:</td><td>NEW JERSEY</td></tr><tr><td>Postal Code:</td><td>08540</td></tr></table>	Name:	ADVAXIS, INC.	Street Address:	305 COLLEGE ROAD EAST	City:	PRINCETON	State/Country:	NEW JERSEY	Postal Code:	08540	
Name:	ADVAXIS, INC.										
Street Address:	305 COLLEGE ROAD EAST										
City:	PRINCETON										
State/Country:	NEW JERSEY										
Postal Code:	08540										
PROPERTY NUMBERS Total: 1											
<table border="1"><thead><tr><th>Property Type</th><th>Number</th></tr></thead><tbody><tr><td>Application Number:</td><td>15306289</td></tr></tbody></table>	Property Type	Number	Application Number:	15306289							
Property Type	Number										
Application Number:	15306289										
CORRESPONDENCE DATA											
Fax Number: (650)838-2001											
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>											
Phone: 650-838-2000											
Email: tami.tucker@alston.com											
Correspondent Name: CINDY CHANG											
Address Line 1: ALSTON & BIRD LLP											
Address Line 2: 101 SOUTH TRYON STREET, SUITE 4000											
Address Line 4: CHARLOTTE, NORTH CAROLINA 28280-4000											
ATTORNEY DOCKET NUMBER:	062384/486546										
NAME OF SUBMITTER:	CINDY CHANG										
SIGNATURE:	/Cindy Chang/										
DATE SIGNED:	12/10/2018										
Total Attachments: 4											
source=486546CorrectiveAssign#page1.tif											

source=486546CorrectiveAssign#page2.tif

source=486546CorrectiveAssign#page3.tif

source=486546CorrectiveAssign#page4.tif

PATENT

REEL: 047762 FRAME: 0748



UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

APRIL 4, 2017

PTAS

ALSTON & BIRD LLP
BANK OF AMERICA PLAZA
101 SOUTH TRYON STREET, SUITE 4000
CHARLOTTE, NC 28280-4000

504304062

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT RECORDATION BRANCH OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE ASSIGNMENT RECORDATION BRANCH AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT RECORDATION BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 04/03/2017

REEL/FRAME: 041835/0227
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

DOCKET NUMBER: 062384/486546

ASSIGNOR:
WALLECHA, ANU

DOC DATE: 01/13/2017

ASSIGNOR:
PETIT, ROBERT

DOC DATE: 01/13/2017

ASSIGNEE:
ADVAKIS, INC.
305 COLLEGE ROAD
EAST PRINCETON, NEW JERSEY 08540

APPLICATION NUMBER: 15306289

FILING DATE: 10/24/2016

PATENT NUMBER:

ISSUE DATE:

TITLE: RECOMBINANT LISTERIA VACCINE STRAINS AND METHODS OF PRODUCING
THE SAME

ASSIGNMENT RECORDATION BRANCH
PUBLIC RECORDS DIVISION

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT4350743

SUBMISSION TYPE:	NEW ASSIGNMENT	
NATURE OF CONVEYANCE:	ASSIGNMENT	
CONVEYING PARTY DATA		
	Name	Execution Date
	ANU WALLECHA	01/13/2017
	ROBERT PETIT	01/13/2017
RECEIVING PARTY DATA		
Name:	ADVAXIS, INC.	
Street Address:	305 COLLEGE ROAD	
City:	EAST PRINCETON	
State/Country:	NEW JERSEY	
Postal Code:	08540	
PROPERTY NUMBERS Total: 1		
	Property Type	Number
	Application Number:	15306289
CORRESPONDENCE DATA		
Fax Number:	(704)444-1111	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>		
Phone:	6508382000	
Email:	raquel.west@alston.com	
Correspondent Name:	ALSTON & BIRD LLP	
Address Line 1:	BANK OF AMERICA PLAZA	
Address Line 2:	101 SOUTH TRYON STREET, SUITE 4000	
Address Line 4:	CHARLOTTE, NORTH CAROLINA 28280-4000	
ATTORNEY DOCKET NUMBER:	062384/486546	
NAME OF SUBMITTER:	CINDY CHANG	
SIGNATURE:	/Cindy Chang/	
DATE SIGNED:	04/03/2017	
Total Attachments: 2		
source=486546_asg#page1.tif		
source=486546_asg#page2.tif		

ASSIGNMENT - WORLDWIDE

For good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, each undersigned inventor has sold and assigned, and by these presents hereby sells and assigns, unto

ADVAXIS, INC.
305 College Road East
Princeton, New Jersey 08540

its successors and assigns, the entire right, title and interest, so far as concerns the United States and the Territories and Possessions thereof and all foreign countries in and to the invention in

"RECOMBINANT LISTERIA VACCINE STRAINS AND METHODS OF PRODUCING THE SAME"

as set forth in this United States Patent Application

- ☐ executed concurrently herewith
- ☐ executed on _____
- ☒ Application No. 15/306,289; filed October 24, 2016
- ☒ Application is a US National Stage of PCT/US2015/025690 filed April 14, 2015, which claims priority to US Provisional Application No. 61/983,732 filed April 24, 2014, all applications listed above being hereinafter referred to as the "application(s)";

(We hereby authorize and request the Assignee and its attorney (Alston & Bird LLP) to insert above the application number and filing date of said application when known.)

said application for United States Letters Patent, including all divisional, renewal, substitute, continuation, nonprovisionals, continuation-in-parts, and Convention applications based in whole or in part upon said inventions or upon said applications, and any and all Letters Patent and reissues, reexaminations, and extensions of Letters Patent granted for said inventions or upon said applications and every priority right that is or may be predicated upon or arise from said inventions, said applications, and said Letters Patent; said Assignee being hereby authorized to file patent applications in any or all countries on any or all said inventions in the name of the undersigned or in the name of said Assignee or otherwise as said Assignee may deem advisable, under the International Convention or otherwise; the Commissioner of Patents and Trademarks of the United States of America being hereby authorized to issue or transfer all said Letters Patent to said Assignee in accordance herewith; this assignment being under covenant, not only that full power to make the same is had by the undersigned, but also that such assigned right is not encumbered by any grant, license, or other right theretofore given, and that the undersigned will do all acts reasonably serving to ensure that the said inventions, patent applications and Letters Patent shall be held and enjoyed by said Assignee as fully and entirely as the same could have been held and enjoyed by the undersigned if this assignment had not been made, and particularly to execute and deliver to said Assignee all lawful documents including petitions, specifications, oaths, assignments, invention disclaimers, declarations, and lawful affidavits in

PATENT

REEL: 0475 FRAME: 0228
REEL: 047762 FRAME: 0751

form and substance which may be requested by said Assignee, to furnish said Assignee with all facts relating to said inventions or the history thereof and any and all documents, photographs, models, samples or other physical exhibits which may embody said inventions, and to testify in any proceedings relating to said inventions, patent applications, and/or Letters Patent.

The undersigned hereby grant(s) an authorized representative of Assignee the power to insert in this Assignment any further identification that may be necessary or desirable to comply with the rules of the U.S. Patent and Trademark Office for recordation of this Assignment.

This Assignment is deemed to be effective at least as early as the earliest priority date.

1/13/2017
Date

Anu Wallecha
Anu Wallecha

Bryan Li
Witness Name

[Signature]
Witness Signature

1/13/2017
Date

Robert Petit
Robert Petit

Bryan Li
Witness Name

[Signature]
Witness Signature

Attorney Docket No. 062384/486546