

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
 Stylesheet Version v1.2

EPAS ID: PAT5282696

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
HANS PETER GRIMM	01/10/2017
BENJAMIN RIBBA	01/10/2017
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	F. HOFFMANN-LA ROCHE AG
<b>Street Address:</b>	GRENZACHERSTRASSE 124
<b>City:</b>	BASEL
<b>State/Country:</b>	SWITZERLAND
<b>Postal Code:</b>	4070
<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
<b>Application Number:</b>	16196883
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	(650)952-9881
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
<b>Phone:</b>	650-225-2497
<b>Email:</b>	nikkin@gene.com
<b>Correspondent Name:</b>	NIKKI NOMURA
<b>Address Line 1:</b>	1 DNA WAY, MS-49
<b>Address Line 2:</b>	C/O GENENTECH, INC.
<b>Address Line 4:</b>	SOUTH SAN FRANCISCO, CALIFORNIA 94080
<b>ATTORNEY DOCKET NUMBER:</b>	P33663-US
<b>NAME OF SUBMITTER:</b>	NIKKI NOMURA
<b>SIGNATURE:</b>	/Nikki NOMURA/
<b>DATE SIGNED:</b>	12/13/2018
<b>Total Attachments: 3</b>	
source=P33663-US - Assignment 1 (FHLR inv to FHLR)#page1.tif	
source=P33663-US - Assignment 1 (FHLR inv to FHLR)#page2.tif	
source=P33663-US - Assignment 1 (FHLR inv to FHLR)#page3.tif	

**ASSIGNMENT AGREEMENT**

I/We, the undersigned

**Inventor(s)**

Hans Peter Grimm, a Swiss citizen  
c/o F. Hoffmann-La Roche AG  
Grenzacherstrasse 124, 4070 Basel, Switzerland

Benjamin Ribba, a French citizen  
c/o F. Hoffmann-La Roche AG  
Grenzacherstrasse 124, 4070 Basel, Switzerland

**Employed by:**

F. Hoffmann-La Roche AG

F. Hoffmann-La Roche AG

hereinafter ASSIGNOR(S), herewith declare that I am/we are joint inventor(s) together with

**Inventor(s)**

Volker Teichgräber, a German citizen  
c/o Roche Glycart AG  
Wagistrasse 18, 8952 Schlieren, Switzerland

**Employed by:**

Roche Glycart AG

hereinafter CO-INVENTOR(S)

of the invention entitled:

**Materials and Methods relating to Dosage Regimen Design**

for which (a) priority application(s) was (were) filed with the

**European Patent Office**

as outlined below:

**Case No.**  
P33663-EP

**Country**  
Europe

**Filing Date**  
May 25, 2016

**Serial No.**  
16171263.3

ASSIGNOR(S) acknowledge(s) the previous transfer to

F. Hoffmann-La Roche AG  
Grenzacherstrasse 124  
4070 Basel  
Switzerland

hereinafter ASSIGNEE, of all right, title, and interest in, to, and deriving from the inventions disclosed in the priority application(s) set forth above by operation of law, contract, or otherwise.

As far as right, title, and interest of the ASSIGNOR(S) in, to, and deriving from the inventions disclosed in the patent application(s) set forth above may not have been transferred to ASSIGNEE, ASSIGNOR(S) herewith assign(s) to ASSIGNEE all right, title, and interest in, to, and deriving from the invention and to the above priority application(s), including the right to claim priority.

The assignment of the rights of the CO-INVENTOR(S) is subject to a separate assignment agreement. ASSIGNOR(S) explicitly approve(s) that assignment.

ASSIGNEE by virtue of this assignment thereof shall have the exclusive right and is fully entitled to claim priority of the priority application(s) set forth above, to apply for, obtain, and own patents worldwide in its own name and at its own costs that claim priority to and are based in whole or in part on the priority application(s), to maintain any patent granted thereupon and to discontinue any patents or patent applications as it deems appropriate.

ASSIGNEE and ASSIGNOR hereby acknowledge, confirm, and agree that ASSIGNOR has been fully and adequately compensated for all rights granted or assigned to ASSIGNEE hereunder.

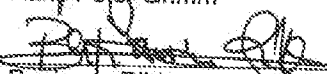
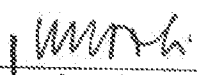
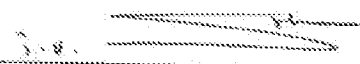
This agreement shall be governed and construed in accordance with substantive laws of Switzerland, with the exclusion of the Vienna Convention on the International Sale of Goods dated April 11, 1980. The competent courts of Basel City, Switzerland shall have exclusive jurisdiction.

**ASSIGNOR(S)**

Basel, January 10, 2017

  
Hans Peter Grimm

Basel, January 10, 2017

  
Benjamin Ribba**ASSIGNEE****F. Hoffmann-La Roche AG**Basel, *January 23, 2017.*  
Place, Date  
Antonio Natoli  
Holder of procuration  
Denise Stirebel  
Mandatory

## Legalization

The undersigned Notary Public of the Canton of Basel-Stadt (Switzerland), Dr. Christoph Nertz, herewith legalizes the foregoing signatures of

Mister **Hans Peter Grimm**, born on August 31<sup>st</sup>, 1968, citizen of Hinwil ZH (Switzerland), residing in Basel (Switzerland), and

Mister **Benjamin Ribba**, born on May 9<sup>th</sup>, 1978, French citizen, residing in Basel (Switzerland).

He certifies that the named persons are in the employ of F. Hoffmann-La Roche AG, a stock company with legal domicile in Basel (Switzerland).

He also legalizes the foregoing signature of

**F. Hoffmann-La Roche AG**, a stock company with legal domicile in Basel (Switzerland), rendered by

Mister Antonio Natoli, citizen of and residing in Basel (Switzerland), and


Miss Denise Strebel, citizen of and residing in Basel (Switzerland),

both duly entitled to sign documents wherein property of the company is alienated.

All signers and signatures personally known.

**B a s e l** (Switzerland), this 8<sup>th</sup> (eighth) day of February 2017 (two thousand and seventeen).

BR 171/2017



PATENT