

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

EPAS ID: PAT5288793

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
AUSTRALIAN NATIONAL UNIVERSITY OF ACTON	11/15/2018
RECEIVING PARTY DATA	
Name:	KONAN MEDICAL USA INC
Street Address:	15770 LAGUNA CANYON RD. STE 150
City:	IRVINE
State/Country:	CALIFORNIA
Postal Code:	92618
PROPERTY NUMBERS Total: 4	
Property Type	Number
Patent Number:	9848771
Patent Number:	10064548
Patent Number:	8807753
Patent Number:	8583223
CORRESPONDENCE DATA	
Fax Number:	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Email:	national@labattlaw.com
Correspondent Name:	JOHN W LABATT
Address Line 1:	PO BOX 630
Address Line 4:	VALATIE, NEW YORK 12184
ATTORNEY DOCKET NUMBER:	KONA-GEN
NAME OF SUBMITTER:	JOHN W. LABATT
SIGNATURE:	/John W. LaBatt/
DATE SIGNED:	12/18/2018
Total Attachments: 6	
source=ConfirmationDeedofAssignmentPatent_FullyExecuted#page1.tif	
source=ConfirmationDeedofAssignmentPatent_FullyExecuted#page2.tif	
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CONFIRMATION DEED OF ASSIGNMENT

CONFIRMATION DEED OF ASSIGNMENT

THIS CONFIRMATION DEED is made on the 15th day of November 2018

PARTIES Australian National University, of Acton, Australian Capital Territory 2601, Australia, an institution pursuant to the Australian National University Act 1991 (Cth) (**UNIVERSITY**)

AND Konan Medical USA Inc of 15770 Laguna Canyon Rd. STE 150, Irvine CA 92618 USA (**KONAN**)

RECITALS

- A. UNIVERSITY agreed to assign and assigned to KONAN by a deed of assignment made on the 30th of September 2018 all UNIVERSITY's right title and interest in and to certain Inventions and its rights under certain Patents and Applications identified in the deed of assignment, a copy of which is attached hereto as Exhibit A.
- B. The parties agree and desire that UNIVERSITY assign the right title and interest to all Patents and Applications claiming priority to one of the following PCT Applications to KONAN:

Application No.	Country of Filing	Title
WO2013AU01358	PCT	Clustered Volley method and apparatus
WO2009AU01560	PCT	Pupillary assessment method and apparatus
WO2008AU01663	PCT	Method and apparatus for sensory field assessment
WO2004AU01656	PCT	Assessment of neural function

- C. By mistake of the parties, the identifications of the Applications and Patents included in the deed of assignment included some errors. These errors including:
 1. Canadian Patent No. 2704109 was misidentified as a Japanese application;
 2. European Patent No. 2217133 was misidentified as European Patent No. 22171333; and
 3. European Patent No. 1694206 was misidentified by the corresponding European Patent Application No. 4797098.3.
- D. To prevent future difficulties, the parties agree to execute this confirmation deed of assignment that reflects the true agreement of the parties by correcting the errors included in the previously executed deed of assignment.
- E. Now, therefore, the parties recite that:
- F. Prior to execution of the deed of assignment attached hereto as Exhibit A, UNIVERSITY was the applicant of the following patent applications ("**Applications**") for inventions entitled 1) Clustered Volley method and apparatus; 2) Pupillary Assessment Method and Apparatus; 3) Method and apparatus for sensory field assessment; and 4) Assessment of neural function ("**Inventions**").

Application No.	Country of Filing	Title
2015-543214	JP	Clustered Volley method and apparatus
2013350326	AU	Clustered Volley method and apparatus
2888423	CA	Clustered Volley method and apparatus
13857361.3	EP	Clustered Volley method and apparatus
09829879.7	EP	Pupillary assessment method and apparatus

CONFIRMATION DEED OF ASSIGNMENT

G. Prior to execution of the deed of assignment attached hereto as Exhibit A, UNIVERSITY was the exclusive owner of the following patents ("Patents") for the Inventions.

Patent No.	Country of Patent	Title
9,848,771	US	Clustered Volley method and apparatus
ZL201380070192.7	CN	Clustered Volley method and apparatus
10,064,548	US	Method and apparatus for sensory field assessment
2217133	EP (IT, DE, FR, GB)	Method and apparatus for visual sensory field assessment
5832747	JP	Method and apparatus for sensory field assessment
2008324705	AU	Method and apparatus for sensory field assessment
2704109	CA	Method and apparatus for sensory field assessment
8,807,753	US	Pupillary assessment method and apparatus
2009322076	AU	Pupillary assessment method and apparatus
2745486	CA	Pupillary assessment method and apparatus
5763544	JP	Pupillary assessment method and apparatus
2004292339	AU	Assessment of neural function
2547017	CA	Assessment of neural function
1694206	EP (IT, DE, FR, GB)	Assessment of neural function
8,583,223	US	Assessment of neural function
4764349	JP	Assessment of neural function

H. UNIVERSITY has agreed to assign to KONAN all UNIVERSITY's right, title and interest in and to the Inventions and its rights under the Patents and Applications.

IT IS AGREED:

1. Assignment

In consideration of good and valuable consideration receipt of which is hereby acknowledged by UNIVERSITY, UNIVERSITY hereby confirms the deed of assignment attached hereto as Exhibit A and, to the extent the deed of assignment attached hereto as Exhibit A is insufficient, assigns to KONAN all UNIVERSITY's right title and interest in and to the Inventions and its rights under the Patents and Applications, including the right to sue for damages and all other remedies in respect of infringement of any Patent and any patent to issue from the Applications which may have occurred before the date of the deed of assignment attached hereto as Exhibit A.

2. Warranty

UNIVERSITY warrants that, to the extent that the deed of assignment attached hereto as Exhibit A is insufficient, it has good and valid title to the Patents, Applications, and the Inventions and has not licensed and is not obligated to license any rights to the Patents, Applications, and the Inventions to any third party.

3. Further Assurance

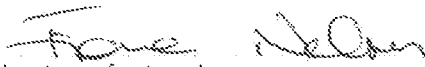
UNIVERSITY undertakes to do all acts and to execute and deliver all documents necessary for further assuring the right, title and interest of KONAN in and to the Patents and Applications or the Inventions and any patent or similar protection for the Inventions.

[Signature Page Follows]

CONFIRMATION DEED OF ASSIGNMENT

EXECUTED AS A DEED

Signed, sealed and delivered for and on behalf of
the Australian National University (ABN 52 234
063 906) by an authorised officer in the presence
of:


(Signature of witness)

FRANK NEWMAN
(Printed name of witness)



(Signature of authorised officer)

MICHAEL CADETT-HALL
(Printed name of authorised officer)

15th NOVEMBER 2018
Date

EXECUTED AS A DEED

Signed, sealed and delivered by KONAN MEDICAL USA
Inc by an authorised officer in the presence of

.....
Signature of Director/Secretary

.....
Name of Director/Secretary



.....
Signature of Director

CHARLES WM STEWART
Name of Director

EXHIBIT A

DEED OF ASSIGNMENT

THIS DEED made the 30th day of SEPTEMBER 2018

PARTIES Australian National University, of Acton, Australian Capital Territory 2601, Australia, an institution pursuant to the Australian National University Act 1991 (Cth) (**UNIVERSITY**)

AND Konan Medical USA Inc of 15770 Laguna Canyon Rd. STE 150, Irvine CA 92618 USA (**KONAN**)

RECITALS

- A. UNIVERSITY is the applicant of the following patent applications ("**Applications**") for inventions entitled 1) Clustered Volley method and apparatus; 2) Pupillary Assessment Method and Apparatus; 3) Method and apparatus for sensory field assessment; and 4) Assessment of neural function ("**Inventions**").

Application No.	Country of Filing	Title
2015-543214	JP	Clustered Volley method and apparatus
2013350326	AU	Clustered Volley method and apparatus
2888423	CA	Clustered Volley method and apparatus
13857361.3	EU	Clustered Volley method and apparatus
2704109	JP	Method and apparatus for visual sensory field assessment
9829879.7	EU	Pupillary assessment method and apparatus

- B. UNIVERSITY is the exclusive owner of the following patents ("**Patents**") for the Inventions.

Patent No.	Country of Patent	Title
9,848,771	US	Clustered Volley method and apparatus
ZL201380070192.7	CN	Clustered Volley method and apparatus
10,064,548	US	Method and apparatus for sensory field assessment
22171333	EU (IL, DR, FR, UK)	Method and apparatus for visual sensory field assessment
5832747	JP	An operating method of a device and a device for evaluating the function of a sensorial area
2008324705	AU	Method and apparatus for visual sensory field assessment
8,807,753	US	Pupillary assessment method and apparatus
2009322076	AU	Pupillary assessment method and apparatus
2745486	CA	Pupillary assessment method and apparatus
5763544	JP	A valuation method and an evaluation system of a pupil
2004292339	AU	Assessment of neural function
2547017	CA	Assessment of neural function
4797098.3	EU (IL, DR, FR, UK)	Assessment of neural function
8,583,223	US	Assessment of neural function
4764349	JP	A device for evaluating the stimulus presentation for evaluating the target sensory nerve system, a response measuring method, and the target sensory nerve system

- C. UNIVERSITY has agreed to assign to KONAN all UNIVERSITY's right, title and interest in and to the Inventions and its rights under the Patents and Applications.

IT IS AGREED:

1. Assignment

In consideration of good and valuable consideration receipt of which is hereby acknowledged by UNIVERSITY, UNIVERSITY assigns to KONAN all UNIVERSITY's right title and interest in and to the inventions and its rights under the Patents and Applications, including the right to sue for damages and all other remedies in respect of infringement of any Patent and any patent to issue from the Applications which may have occurred before the date of this Deed

2. Warranty

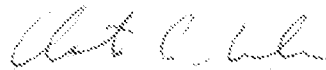
UNIVERSITY warrants that it has good and valid title to the Patents, Applications, and the inventions and has not licensed and is not obligated to license any rights to the Patents, Applications, and the inventions to any third party.

3. Further Assurance

UNIVERSITY undertakes to do all acts and to execute and deliver all documents necessary for further assuring the right, title and interest of KONAN in and to the Patents and Applications or the inventions and any patent or similar protection for the inventions

EXECUTED AS A DEED

Signed, sealed and delivered for and on behalf of the Australian National University (ABN 52 234 063 906) by an authorised officer in the presence of.



(Signature of authorised officer)

(Signature of witness)

KEVIN NEUMS

(Printed name of authorised officer)

(Printed name of witness)

2 Oct 2018

Date

EXECUTED AS A DEED

Signed, sealed and delivered by KONAN MEDICAL USA Inc by an authorised officer in the presence of

Signature of Director/Secretary

Signature of Director

Name of Director/Secretary

Name of Director

