

## PATENT ASSIGNMENT COVER SHEET

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Stylesheet Version v1.2

EPAS ID: PAT5296726

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
DAVID R. ANDERSON	12/15/2018
ROBERT A. VOLKMANN	12/11/2018
FRANK S. MENNITI	12/19/2018
CHRISTOPHER FANGER	12/03/2018
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	CADENT THERAPEUTICS, INC.
<b>Street Address:</b>	60 HAMILTON STREET
<b>City:</b>	CAMBRIDGE
<b>State/Country:</b>	MASSACHUSETTS
<b>Postal Code:</b>	02139
<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
<b>Application Number:</b>	16060056
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	(617)607-9200
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<b>Phone:</b>	(617) 449-6580
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<b>Correspondent Name:</b>	MCCARTER & ENGLISH, LLP
<b>Address Line 1:</b>	265 FRANKLIN STREET
<b>Address Line 4:</b>	BOSTON, MASSACHUSETTS 02110
<b>ATTORNEY DOCKET NUMBER:</b>	124216-00702
<b>NAME OF SUBMITTER:</b>	MICHAEL J. DEGRAZIA, PH.D.
<b>SIGNATURE:</b>	/Michael J. DeGrazia, Ph.D./
<b>DATE SIGNED:</b>	12/21/2018
<b>Total Attachments: 6</b>	
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**ASSIGNMENT - WORLDWIDE**

For good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, each undersigned inventor (hereinafter referred to singly and collectively as "ASSIGNOR") has sold, assigned, and transferred, and by these presents hereby sells, assigns, and transfers, unto

**Cadent Therapeutics, Inc.**  
60 Hamilton Street  
Cambridge, Massachusetts 02139  
United States

(hereinafter referred to as "ASSIGNEE") its successors and assigns, the full and exclusive right, title and interest for the United States, its territories and possessions, and all foreign countries in and to this invention relating to

**HETEROAROMATIC NMDA RECEPTOR MODULATORS AND USES THEREOF**

as set forth in the following Patent Applications:

United States Patent Application No. 16/060,056, filed June 7, 2018

which claim the benefit of the following Applications:

International Application No. PCT/US2016/065852, filed December 9, 2016  
United States Provisional Application No. 62/265182, filed December 9, 2015

as well as in and to (a) all improvements and modifications of the above-identified invention or inventions, (b) the above-identified applications and all other applications for Letters Patent of the United States and countries foreign thereto for above-identified invention or inventions and all improvements and modifications thereof, (c) all Letters Patent which may issue from said applications in the United States and countries foreign thereto, (d) all divisions, continuations, reissues, and extensions of said applications and Letters Patent, and (e) the right to claim for any of said applications the full benefits and priority rights under the International Convention and any other international agreement to which the United States adheres; such right, title, and interest to be held and enjoyed by ASSIGNEE, its successors and assigns, to the full end of the term or terms for which any and all such Letters Patent may be granted as fully and entirely as would have been held and enjoyed by ASSIGNOR had this Assignment not been made.

ASSIGNOR HEREBY AUTHORIZES ASSIGNEE to file patent applications in any or all countries on the above-identified invention or inventions in the name of the undersigned or in the name of ASSIGNEE or otherwise as ASSIGNEE may deem advisable under the International Convention or otherwise.

ASSIGNOR HEREBY AUTHORIZES AND REQUESTS the Commissioner of Patents and Trademarks to issue said Letters Patent to ASSIGNEE as assignee of the entire interest, for the sole use and benefit of ASSIGNEE, its successors and assigns.

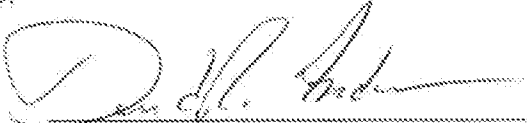
ASSIGNOR HEREBY AGREES (a) to communicate to ASSIGNEE, its successors and assigns, or their representative or agents, all facts and information known or available to ASSIGNOR respecting said invention or inventions, improvements, and modifications including evidence for interference, reexamination, reissue, opposition, revocation, extension, or infringement purposes or other legal, judicial, or administrative proceedings, whenever requested by ASSIGNEE; (b) to testify in person or by affidavit as required by ASSIGNEE, its successors and assigns, in any such proceeding in the United States or a country foreign thereto; (c) to execute and deliver, upon request by ASSIGNEE, all lawful papers including, but not limited to, original, divisional, continuation, and reissue applications, renewals, assignments, powers of attorney, oaths, affidavits, and declarations, depositions; and (d) to provide all reasonable assistance to ASSIGNEE, its successors and assigns, in obtaining and enforcing proper title in and protection for said invention or inventions, improvements, and modifications under the intellectual property laws of the United States and countries foreign thereto.

ASSIGNOR HEREBY REPRESENTS AND WARRANTS that ASSIGNOR has the full and unencumbered right to sell, assign, and transfer the interests sold, assigned, and transferred herein, and that ASSIGNOR has not executed and will not execute any document or instrument in conflict herewith.

ASSIGNOR HEREBY GRANTS to the law firm of **McCarter & English, LLP** the power and authority to insert in this Assignment any further identification which may be necessary or desirable to comply with the rules of the U.S. Patent and Trademark Office for recordation of this Assignment.

ASSIGNOR UNDERSTANDS AND AGREES that the attorneys and agents of the law firm of **McCarter & English, LLP** do not personally represent ASSIGNOR OR ASSIGNOR's legal interests, but instead represent the interests of ASSIGNEE; since said attorneys and agents cannot provide legal advice to ASSIGNOR with respect to this Assignment, ASSIGNOR acknowledges its right to seek its own independent legal counsel.

Executed this 15<sup>th</sup> day of December, 2018.



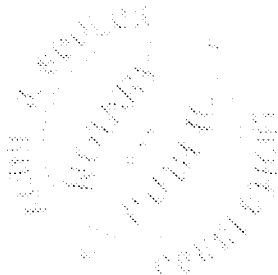
David R. ANDERSON

United States of America  
State of Connecticut  
County of New London

On this 15 day of December, 2018, before me,  
the undersigned notary public, personally appeared David R. ANDERSON  
(name of document signer), proved to me through satisfactory evidence of identification,  
which was CT Drivers License, to be the person whose name  
is signed on the preceding or attached document in my presence.

Aline J. Soulor (official signature and seal of notary)

My commission expires \_\_\_\_\_  
**ALINE J. SOULOR**  
Notary Public  
My Commission Expires 2/28/2021



Executed this 11<sup>th</sup> day of December, 2018.

*Robert A. Volkmann*

Robert A. VOLKMANN

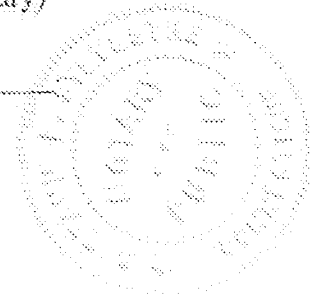
United States of America )  
State of Connecticut )  
County of New London )

On this 11<sup>th</sup> day of December, 2018, before me,  
the undersigned notary public, personally appeared Robert A. VOLKMANN  
(name of document signer), proved to me through satisfactory evidence of identification,  
which was GD, to be the person whose name  
is signed on the preceding or attached document in my presence.

*Stefanie L. Silverstre* (official signature and seal of notary)

My commission expires

**STEFANIE L. SYLVERSTRE**  
NOTARY PUBLIC  
MY COMMISSION EXPIRES  
FEBRUARY 29, 2020



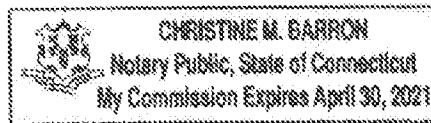
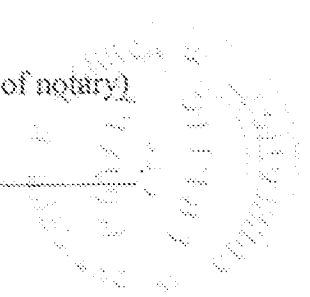
Executed this 19<sup>th</sup> day of December, 2018.

Frank S. Menniti  
Frank S. MENNITI

United States of America )  
State of CONNECTICUT )  
County of NEW LONDON )

On this 19<sup>th</sup> day of DECEMBER, 2018, before me,  
the undersigned notary public, personally appeared Frank S. MENNITI  
(name of document signer), proved to me through satisfactory evidence of identification,  
which was CT DRIVERS LICENSE, to be the person whose name  
is signed on the preceding or attached document in my presence.

Christine M. Barron (official signature and seal of notary)  
My commission expires April 30, 2021

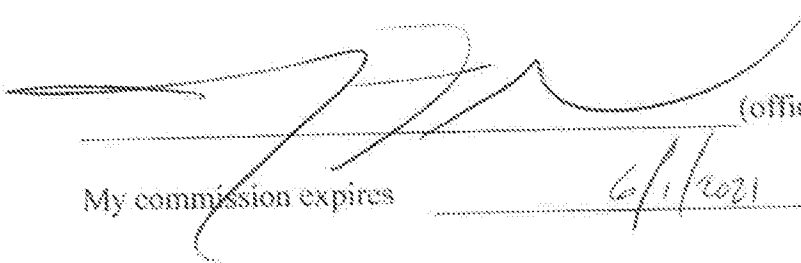


Executed this 3 day of December, 2018.

  
Christopher FANGER

United States of America )  
State of New Hampshire )  
County of Carroll )

On this 3<sup>rd</sup> day of December, 2018, before me,  
the undersigned notary public, personally appeared Christopher FANGER  
(name of document signer), proved to me through satisfactory evidence of identification,  
which was MA Doree Lussier, to be the person whose name  
is signed on the preceding or attached document in my presence.

  
\_\_\_\_\_  
(official signature and seal of Notary Public)  
My commission expires 6/1/2021

