## 505250483 12/21/2018

## **PATENT ASSIGNMENT COVER SHEET**

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT5297264

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
VELLO SYSTEMS, INC.	08/10/2014
VENTURE LENDING & LEASING VI, INC.	08/10/2014
VENTURE LENDING & LEASING VII, INC.	08/10/2014

## **RECEIVING PARTY DATA**

Name:	AUTONOMA, INC.	
Street Address:	2191 CAMINO DE LOS ROBLES	
City:	MENLO PARK	
State/Country:	CALIFORNIA	
Postal Code:	94025	

## **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	16157025

## **CORRESPONDENCE DATA**

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using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

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Correspondent Name: GREGORY D. CALDWELL

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Address Line 2: SUITE 1500

Address Line 4: PORTLAND, OREGON 97205

ATTORNEY DOCKET NUMBER:	37560.601C3
NAME OF SUBMITTER:	GREGORY D. CALDWELL
SIGNATURE:	/Gregory D. Caldwell/
DATE SIGNED:	12/21/2018

#### **Total Attachments: 8**

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PATENT 505250483 REEL: 047844 FRAME: 0451

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## PATENT TRANSFER STATEMENT

(under Uniform Commercial Code Section 9-619)

WHEREAS, Vello Systems, Inc., a Delaware corporation ("Vello"), is the registered owner of certain patents and patents applications registered and pending in the United States Patent and Trademark Office and elsewhere throughout the world (hereinafter collectively referred to as the "Patents" and "Applications"), all of which are set forth on the Schedule attached hereto:

WHEREAS, Vello previously granted to each of Venture Lending & Leasing VI, Inc. ("VLL6") and Venture Lending & Leasing VII, Inc. ("VLL7" and sometimes being referred to herein together with VLL6 as "Secured Parties" and individually as a "Secured Party") security interests in all of Vello's right, title and interest in and to all general intangibles and other personal property owned by Vello, including the Patents and Applications, as security for certain loans which are in default:

WHEREAS, Vello has defaulted in connection with its secured obligations to Secured Parties;

WHEREAS, each Secured Party has exercised its post-default rights of foreclosure of its security interests in and to the Patents and Applications; and

WHEREAS, by reason of the exercise of such post-default remedies, all rights of Vello in and to the Patents and Applications have been acquired by Autonoma, Inc., a Delaware corporation ("<u>Transferee</u>").

NOW, THEREFORE, in accordance with Section 9619(b) of the California Commercial Code, Transferee is entitled to a transfer of record of all rights of Vello in the Patents and Applications, and request is hereby made that the Commissioner of Patents and Trademarks and the United States Patent and Trademark Office accept the foregoing transfer statement and promptly amend its records to reflect the aforesaid transfer to Transferee.

The mailing address of Vello, Secured Parties and the Transferee are as follows:

Vello:

Vello Systems, Inc. 1530 O'Brien Drive Menlo Park, CA 94025

Secured Parties:

Venture Lending & Leasing VI, Inc. Venture Lending & Leasing VII, Inc.

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104 La Mesa Drive, Suite 102 Portola Valley, CA 94028 Attn: Chief Financial Officer

Transferee:

Autonoma, Inc. 2191 Camino De Los Robles Menlo Park, CA 94025 Attention: CEO

This instrument may be executed in two or more counterparts, each of which shall be deemed an original, but all of which together shall constitute one and the same instrument. Any party may execute this instrument by facsimile signature or scanned signature in PDF format, and any such facsimile signature or scanned signature, if identified, legible and complete, shall be deemed an original signature and each of the parties is hereby authorized to rely thereon.

[Signature page follows]

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> PATENT REEL: 034844 FRAME: 0462

IN WITNESS e signed by , 2014	a duly a	, each Se uthorized	cured Party and representative	l Trans this	sferee has <u>/o<sup>t</sup>H</u>	caused day	its of
		SECUR	ED PARTIES:				
		VENTU	IRE LENDING	& LEA	SING VI,	INC.	
		By: Name:_ Title:					
		By:	JRE LENDING				
		Name:_					
		TRANS	FEREE:				
		ا الموسيدي	JOMA, INC.				
		By: <u> </u>	EF MAY)				

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> PATENT REEL: 0**3787891**

name to be signed by a d	ERBOF, each Secured Party and Transferee has caused its uly authorized representative this 10th day of
August , 2014.	
	SECURED PARTINS:
	VENTURE LENDING & LEASING VI, INC.
	By:
	Title: Vice President
	VENTURE KÉNDING & LÉÁSING VII, INC. By:
	Name: David Wanek
	Title: Vice President
	TRANSFEREE;
	AUTONOMA, INC.
	By.
	Name:
	220 at 1

Signature Page to Patent Transfer Statement

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# Schedule of Patents and Applications

Case Number	Status	Application Serial Number, Patent No.	Title
VELLO-002US	Pending Filed on 2/23/2009	12/391,256	Spectrally Efficient Parallel Optical WDM Channels for Long-Haul MAN and WAN Optical NetworksVELLO- 002US
VELLO-004001	Issued Issued: 4/4/2006 Expires: 1/8/2021	09/755,136 7,024,112	IN-BAND WAVELENGTH CONVERSION WAVELENGTH BUFFERING AND MULTI- PROTOCOL LAMBDA SWITCHINGVELLO-004001
VELLO-005001	Issued Issued: 2/25/2003 Expires: 5/22/2020	09/575,811 6,525,857	METHOD AND APPARATUS FOR INTERLEAVED OPTICAL SINGLE SIDEBAND MODULATIONVELLO-005001
VELLO-005DE1	Issued Issued: 12/27/2006 Expires:	60125517.8-08 DE 601 25517	VERFAHREN UND VORRICHTUNG ZUR VERSCHACHTELTEN OPTISCHEN EINSEITENBANDMODULATIONV ELLO-005DE1
VELLO-005EP1	Issued Issued: 12/27/2006 Expires:	1913311.5 1264424	METHOD AND APPARATUS FOR INTERLEAVED OPTICAL SINGLE SIDEBAND MODULATIONVELLO-005EP1
VELLO-005FR1	lssued lssued: 12/27/2006 Expires:	1913311.5 1264424	PROCEDE ET APPAREIL DE MODULATION DE BANDE LATERALE OPTIQUE UNIQUE ENTRELACEEVELLO-005FRI
VELLO-005UK1	Issued Issued: 12/27/2006 Expires:	1913311.5 1264424	METHOD AND APPARATUS FOR INTERLEAVED OPTICAL SINGLE SIDEBAND MODULATIONVELLO-005UK I

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> PATENT REEL: 03/48/49 FRAME: 0463/

VELLO-006001	Issued Issued: 9/7/2004 Expires: 3/23/2021	09/814,929 6,788,899	DYNAMIC WAVELENGTH ADD/DROP MULTIPLEXER FOR UDWDM OPTICAL COMMUNICATION SYSTEMVELLO-006001
VELLO-008001	Issued Issued: 5/17/2005 Expires: 11/21/2021	09/990,196 6,895,184	INTERCONNECTED BROADCAST AND SELECT OPTICAL NETWORKS WITH SHARED WAVELENGTHSVELLO-008001
VELLO-009001	Issued Issued: 3/3/2009 Expires: 1/6/2023	10/338,088 7,499,647	FULLY PROTECTED BROADCAST AND SELECT ALL OPTICAL NETWORKVELLO- 009001
VELLO-010001	Issued Issued: 4/7/2009 Expires: 6/9/2026	11/450,136 7,515,833	OPTICAL DOUBLE SIDEBAND MODULATION TECHNIQUE WITH INCREASED SPECTRAL EFFICIENCYVELLO-010001
VELLO-011001	Issued Issued: 2/21/2006 Expires: 4/19/2021	09/839,693 7,003,231	Method and Apparatus for Interleaved Optical Single Sideband ModulationVELLO-011001
VELLO-011002	Issued Issued: 4/17/2007 Expires: 2/21/2026	11/358,990 7,206,520	METHOD AND APPARATUS FOR INTERLEAVED OPTICAL SINGLE SIDEBAND MODULATIONVELLO-011002
VELLO-012001	Issued Issued: 10/10/2006 Expires: 6/19/2022	10/178,071 7,120,359	BROADCAST AND SELECT ALL OPTICAL NETWORK VELLO- 012001
VELLO-014001	Issued Issued: 8/18/2009 Expires: 6/15/2025	11/154,930 7,577,367	OPTICAL COMMUNICATION USING DUOBINARY MODULATIONVELLO-014001
VELLO-017EP1	Pending Filed on 5/2/2006	06769992.6	MULTIPLE INTERCONNECTED BROADCAST AND SELECT OPTICAL RING NETWORKS WITH REVERTIBLE PROTECTION SWITCHVELLO-017EP1
VELLO-020001	Issued Issued: 3/20/2012 Expires: 12/13/2030	11/580,537 8,139,476	OPTICAL RING NETWORKS USING CIRCULATING OPTICAL PROBE IN PROTECTION SWITCHING WITH AUTOMATIC REVERSIONVELLO-020001

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> PATENT REEL: 0**37849** FRAME: 0468

VELLO-020EP1	Pending Filed on 10/13/2006	06836322.5	OPTICAL RING NETWORKS USING CIRCULATING OPTICAL PROBE IN PROTECTION SWITCHING WITH AUTOMATIC REVERSIONVELLO-020EP1
VELLO-022001US	Issued Issued: 8/10/2010 Expires: 6/5/2029	11/744,757 7,773,883	SINGLE-FIBER OPTICAL RING NETWORKS BASED ON OPTICAL DOUBLE SIDEBAND MODULATIONVELLO-022001US
VELLO-025001	Issued Issued: 5/8/2012 Expires: 9/8/2030	12/175,422 8,175,458	Optical Ring Networks Having Node- To-Node Optical Communication Channels For Carrying Data TrafficVELLO-025001
VELLO-025002	Pending Filed on 4/5/2012	13/440,976	OPTICAL RING NETWORKS HAVING NODE-TO-NODE OPTICAL COMMUNICATION CHANNELS FOR CARRYING DATA TRAFFICVELLO-025002
VELLO-026001	Pending Filed on 10/17/2008	12/253,908	Duobinary Signal Communications for Improved Spectral Efficiency and Signal-to-Noise RatioVELLO-026001
VELLO-032001	Pending Filed on 2/23/2009	12/391,256	Spectrally Efficient Parallel Optical WDM Channels for Long-Haul MAN and WAN Optical NetworksVELLO- 032001
VELLO-032EP1	Pending Filed on 9/22/2010	09712882.12415	SPECTRALLY EFFICIENT PARALLEL OPTICAL WDM CHANNELS FOR LONG-HAUL MAN AND WAN OPTICAL NETWORKSVELLO-032EPI
VELLO-032PCT	Pending Filed on 2/23/2009	PCT/US2009/001159	VELLO-032PCT
VELLO-034W01	Pending Filed on 8/18/2008	PCT/US2009/054238	AUTOMATIC PHASE SHIFTER AND ALIGNER FOR HIGH-SPEED SERIAL DATAVELLO-0034W01
VELLO-037001	Pending Filed on 12/6/2010	12/961,432	Subchannel Photonic Routing, Switching and Protection with Simplified Upgrades of WDM Optical NetworksVELLO-037001

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> PATENT REEL: 03/48/49 FRAME: 0469

VELLO-037001C	Pending Filed on 3/12/2013	13/797,684	Subchannel Photonic Routing, Switching and Protection with Simplified Upgrades of WDM Optical NetworksVELLO-037001C
VELLO-037002	Pending Filed on 12/6/2010	12/961,436	Optical Subchannel Routing, Protection Switching and SecurityVELLO-037002
VELLO-037002DIV	Pending Filed on 3/27/2013	13/851,936	Optical Subchannel Routing, Protection Switching and SecurityVELLO-037002DIV
VELLO-037003	Pending Filed on 12/6/2010	12/961,439	Management, Monitoring and Performance Optimization of Optical NetworksVELLO-037003
VELLO-037004	Pending Filed on 12/6/2010	12/961,441	Optical Subchannel-Based Cyclical Filter ArchitectureVELLO-037004
VELLO-037005	Pending Filed on 12/6/2010	12/961,442	Wavelocker for improving Laser Wavelength Accuracy in WDM NetworksVELLO-037005
VELLO-038001US	Pending Filed on 2/21/2011	13/031,594	SUBCHANNEL SECURITY AT THE OPTICAL LAYERVELLO- 038001US
VELLO-039001	Pending Filed on 2/1/2011	13/019,255	Minimizing Bandwidth Narrowing Penalities in a Wavelength Selective Switch Optical NetworkVELLO- 039001
VELLO-039001C	Pending Filed on 3/12/2013	13/796,717	Minimizing Bandwidth Narrowing Penalities in a Wavelength Selective Switch Optical NetworkVELLO- 039001C

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