505262849 01/04/2019

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT5309621

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

CONVEYING PARTY DATA

Name	Execution Date
JUDITH CAMPBELL	11/27/2017
KENNETH KARANJA	11/27/2017

RECEIVING PARTY DATA

Name:	CALIFORNIA INSTITUTE OF TECHNOLOGY	
Street Address:	1200 E. CALIFORNIA BLVD.,	
City:	PASADENA	
State/Country:	CALIFORNIA	
Postal Code:	91125	

PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	16206611

CORRESPONDENCE DATA

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ATTORNEY DOCKET NUMBER:	048440-577C02US
NAME OF SUBMITTER:	EDWARD D. GRIEFF
SIGNATURE:	/Edward D. Grieff/
DATE SIGNED:	01/04/2019

Total Attachments: 4

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PATENT 505262849 REEL: 047903 FRAME: 0986

ASSIGNMENT (Patent Application)

We, the undersigned, have invented certain inventions and improvements disclosed in a utility (provisional or non-provisional) or design patent application entitled

"DNA2 Inhibitors for Cancer Treatment,"

filed with the U.S. Patent & Trademark Office on February 8, 2016 and assigned serial no. 62/292,506; and on February 8, 2017 and assigned serial no. 15/428,021.

For one dollar (\$1.00) and other good and valuable consideration, the receipt and sufficiency of which we acknowledge, we:

- Agree to assign, transfer, convey, and sell, hereby assign, transfer, convey, and sell and have assigned, transferred, conveyed, and sold to California Institute of Technology, having a principal place of business at 1200 E. California Blvd., Pasadena, CA 91125 ("Assignee"), the entire right, title, and interest in and to:
 - (a) all intellectual property (including, without limitation, any innovation, information, invention, discovery, product, process, work or design) disclosed, embodied, shown, or claimed in the above-referenced patent application, implicitly or explicitly;
 - (b) the above-referenced patent application, the right to claim priority to the above-referenced patent application, all applications based in whole or in part upon the above-referenced patent application, including, without limitation, all applications that are a provisional, non-provisional, design, divisional, continuation, continuation-in-part, registration, utility model, industrial design, reissue, renewal, substitute, extension, reexamination, post-grant review, inter partes review, supplemental examination or non-U.S. patent application or application for other rights based in whole or in part on the above-referenced patent application;
 - (c) all patents (including, without limitation, all U.S. and non-U.S. patents, registrations, utility models, industrial designs, design patents, counterparts, continuations, continuations-in-part, divisionals, reissues, renewals, substitutes, extensions, reexaminations, post-grant reviews, inter partes reviews and supplemental examinations) that are granted or issued upon, or that claim priority to, any and all applications described in (b) of this paragraph or that disclose or claim intellectual property described in (a) of this paragraph, in whole or in part; and

PATENT REEL: 047903 FRAME: 0987

ASSIGNMENT

U.S. Serial Nos. 62/292,506 & 15/428,021

Attorney Docket Nos.: 48440-577P01US & 48440-577001US

Page 2 of 2

- (d) all claims for damages by reason of past infringement of any rights under the applications or patents described in (a), (b) or (c) of this paragraph (including provisional rights to reasonable royalties pursuant to 35 U.S.C. §154(d)) and the right to sue for and collect such damages and royalties for Assignee's own use.
- Authorize and request the U.S. Patent and Trademark Office or any other U.S. or non-U.S. agency to issue to the Assignce any and all patent(s), or other rights or documents, resulting from the intellectual property, patent application(s) and patents described in paragraph I of this Assignment.
- 3. Agree to sign all papers and documents, including without limitation, applications, declarations, oaths and petitions, and, at the Assignee's expense, perform any other acts that are necessary in connection with prosecution of patent application(s) or intellectual property described in paragraph 1 of this Assignment and the enforcement of patent(s) or other rights resulting from such patent application(s) or intellectual property.
- 4. Agree that the terms, covenants, and conditions of this Assignment shall inure to the benefit of the Assignee, its successors, assigns and other legal representative, and shall be binding upon us, as well as our heirs, legal representatives, and assigns.
- 5. Promise and affirm that we have not entered, and will not enter, into any assignment, contract, or understanding that conflicts with this Assignment.

Signed on the dates indicated beside our signatures.

Signature:	Judith Campbell	Date: <u>27 N/S) 2017</u>
Signature;	Kenneth Karanja	Date:
	hereby accepted on behalf of the Assignee: Fred Faring	12/13/1)
Name . (Chief Innovation & Corporate Partnerships Officer Office of Technology Transfer & Corporate Partnerships	Date S

73957956v.1

ASSIGNMENT

(Patent Application)

We, the undersigned, have invented certain inventions and improvements disclosed in a utility (provisional or non-provisional) or design patent application entitled

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For one dollar (\$1.00) and other good and valuable consideration, the receipt and sufficiency of which we acknowledge, we:

- Agree to assign, transfer, convey, and sell, hereby assign, transfer, convey, and sell and have assigned, transferred, conveyed, and sold to California Institute of Technology, having a principal place of business at 1200 E. California Blvd., Pasadena, CA 91125 ("Assignee"), the entire right, title, and interest in and to:
 - (a) all intellectual property (including, without limitation, any innovation, information, invention, discovery, product, process, work or design) disclosed, embodied, shown, or claimed in the above-referenced patent application, implicitly or explicitly;
 - (b) the above-referenced patent application, the right to claim priority to the above-referenced patent application, all applications based in whole or in part upon the above-referenced patent application, including, without limitation, all applications that are a provisional, non-provisional, design, divisional, continuation, continuation-in-part, registration, utility model, industrial design, reissue, renewal, substitute, extension, reexamination, post-grant review, inter partes review, supplemental examination or non-U.S. patent application or application for other rights based in whole or in part on the above-referenced patent application;
 - (c) all patents (including, without limitation, all U.S. and non-U.S. patents, registrations, utility models, industrial designs, design patents, counterparts, continuations, continuations-in-part, divisionals, reissues, renewals, substitutes, extensions, reexaminations, post-grant reviews, inter partes reviews and supplemental examinations) that are granted or issued upon, or that claim priority to, any and all applications described in (b) of this paragraph or that disclose or claim intellectual property described in (a) of this paragraph, in whole or in part; and

PATENT REEL: 047903 FRAME: 0989

ASSIGNMENT

U.S. Serial Nos. 62/292,506 & 15/428,021

Attorney Docket Nos.: 48440-577P01US & 48440-577001US

Page 2 of 2

- (d) all claims for damages by reason of past infringement of any rights under the applications or patents described in (a), (b) or (c) of this paragraph (including provisional rights to reasonable royalties pursuant to 35 U.S.C. §154(d)) and the right to sue for and collect such damages and royalties for Assignee's own use.
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- 4. Agree that the terms, covenants, and conditions of this Assignment shall inure to the benefit of the Assignee, its successors, assigns and other legal representative, and shall be binding upon us, as well as our heirs, legal representatives, and assigns.
- 5. Promise and affirm that we have not entered, and will not enter, into any assignment, contract, or understanding that conflicts with this Assignment.

Signed on the dates indicated beside our signatures.

Signature:	Date:
Judith Campbell	
Signature:	Date: 11/27/2017
Kenneth Karanja	
Signed and hereby accepted on behalf of the Assignce:	
Fred Farina	12/13/17
Name Chief Innovation & Corporate Partnerships Officer Office of Technology Transfer & Corporate Partnerships	Date

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