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# PATENT ASSIGNMENT COVER SHEET

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NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
JEFFREY MCKENNA	10/06/2017
GEORGE SCOTT TRIA	10/03/2017
STEPHEN CANHAM	10/02/2017

### **RECEIVING PARTY DATA**

Name:	NOVARTIS INSTITUTES FOR BIOMEDICAL RESEARCH, INC.	
Street Address:	250 MASSACHUSETTS AVENUE	
City:	CAMBRIDGE	
State/Country:	MASSACHUSETTS	
Postal Code:	02139	

### **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	16315612

### **CORRESPONDENCE DATA**

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Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

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MICHAEL A. WHITTAKER **Correspondent Name:** 

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ATTORNEY DOCKET NUMBER:	ADR-1008-US
NAME OF SUBMITTER:	KRISTEN LEMME
SIGNATURE:	/Kristen Lemme/
DATE SIGNED:	01/04/2019

### **Total Attachments: 6**

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# **ASSIGNMENT**

WHEREAS, we:

# Jeffrey McKenna

Novartis Institutes for BioMedical Research, Inc. 250 Massachusetts Avenue Cambridge, MA 02139 Citizenship: United Kingdom

# George Scott Tria

Novartis Institutes for BioMedical Research, Inc. 250 Massachusetts Avenue Cambridge, MA 02139 Citizenship: US

## **Stephen Canham**

Novartis Institutes for BioMedical Research, Inc. 250 Massachusetts Avenue Cambridge, MA 02139 Citizenship: US

have invented new and useful improvements in LOCKED NUCLEIC ACID CYCLIC

DINUCLEOTIDE COMPOUNDS AND USES THEREOF, for which an International Patent

Application (PCT) was filed on July 1, 2017, and assigned Application Number

PCT/US2017/040535; and

WHEREAS, NOVARTIS INSTITUTES FOR BIOMEDICAL RESEARCH, INC., a corporation, whose post-office address is 250 Massachusetts Avenue, Cambridge, MA, 02139, USA, is desirous of acquiring our entire interest in the same;

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, we, hereby do sell, assign and transfer unto NOVARTIS INSTITUTES

FOR BIOMEDICAL RESEARCH, INC. our entire right, title and interest in and to said invention and application throughout the world, including, without limitation, any Letters Patent

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which may issue thereon, and any subsequent application claiming priority to the above-identified application, reissue, reexamination, divisional, continuation-in-part, extension or continuation thereof and all rights of priority under the Paris Convention arising from said application; the same for our legal representatives and assigns, as fully and entirely as the same would have been held by us had this assignment and sale not been made;

AND, we hereby bind ourselves, our heirs, legal representatives, administrators and assigns properly to execute without further consideration any and all applications, petitions, oaths and assignments or other papers and instruments which may be necessary in order to carry into full force and effect, the sale, assignment, transfer and conveyance hereby made or intended to be made and generally do everything possible to aid NOVARTIS INSTITUTES FOR BIOMEDICAL RESEARCH, INC., its legal representatives and assigns, to obtain and enforce proper protection for said invention in all countries throughout the world.

Four (4) signature pages follow.

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this day of, 2017.				
Jeffrey McKenna				
STATE OF Massechusetts }				
COUNTY OF Middlesex				
On October 6, 2017 before me, Susan H.Dillon (insert name and title of the officer)				
Notary Public, personally appeared <u>Jeffrey McKenna</u> ,				
who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are				
subscribed to the within instrument and acknowledged to me that he/she/they executed the same				
in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument				
the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.				
WITNESS my hand and official seal.  Signature <u>fusar 471 Gellon</u> (Seal)				
SUSAN M. DILLON  Notary Public  COMMONWEALTH OF MASSACHUSETTS  My Commission Expires  June 6, 2019				

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this day of
October 2017.
George Scott Tria
STATE OF MASSACHUSETTS }
COUNTY OF MIDDLESEX
On On Sold before me, KATHLEEN M. DRURY  (insert name and title of the officer)  Notary Public, personally appeared GEORGE SCOTT TRIA  who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/ar
subscribed to the within instrument and acknowledged to me that he/she/they executed the same
in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument
the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.
WITNESS my hand and official seal.  Signature Katalen M. D. (Seal)
KATHLEEN M. DRURY  NOTATIVE Public  COMMUNICATIVE OF MASSACHUSETTS  My Commission Expires

IN TESTIMONY WHEREOF, I hereunto se	et my hand and seal this <u></u> day of
<u>October</u> , 2017.	
	Stephen Čanham
STATE OF Massachusetts	
COUNTY OF <u>Middlesex</u>	<u> </u>
On <u>October 2, 2017</u> before me,	Susan M. Dillon  (insert name and title of the officer)
Notary Public, personally appearedSiephe	n Canham
who proved to me on the basis of satisfactory evide	
subscribed to the within instrument and acknowled	
in his/her/their authorized capacity(ies), and that by the person(s), or the entity upon behalf of which the	
WITNESS my hand and official seal.	
Signature Jusan - M. Oullen (S	eal)
SUSAN M. DILLON  Rotary Public  COMMONWEALTH OF MASSACHUSETTS  My Commission Expires  June 6, 2019	

Accepted for and on behalf of NOVARTIS INSTITUTES FOR BIOMEDICAL RESEARCH, INC.

Title: Authorized Signatory

Witnesses:

Name:

Address:

Tara M. Melanson 700 Main Street Cambridge, MA 02139 Jusan Mullon

Name:

Address:

Susan M. Dillon 700 Main Street

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PATENT REEL: 047908 FRAME: 0368

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