# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT5284811

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	CHANGE OF NAME

### **CONVEYING PARTY DATA**

Name	Execution Date
PALVELLA THERAPEUTICS LLC	05/30/2018

### **RECEIVING PARTY DATA**

Name:	PALVELLA THERAPEUTICS, INC.	
Street Address:	125 STRAFFORD AVENUE	
Internal Address:	SUITE 360	
City:	WAYNE	
State/Country:	PENNSYLVANIA	
Postal Code:	19087	

#### **PROPERTY NUMBERS Total: 18**

Property Type	Number
Patent Number:	9205080
Patent Number:	9855252
Application Number:	60866161
Application Number:	15845847
Application Number:	61756406
Application Number:	14164056
Application Number:	62090286
Application Number:	14965839
Application Number:	62443106
Application Number:	62612762
Application Number:	62443117
Application Number:	15863573
Application Number:	62693283
Application Number:	62693635
PCT Number:	US2007024077
PCT Number:	US2014013066
PCT Number:	US2015065113
PCT Number:	US2018012647

PATENT REEL: 047923 FRAME: 0328

505238041

**CORRESPONDENCE DATA** 

**Fax Number:** (412)454-5060

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

**Phone:** (412) 454-5000

Email: docketingpgh@pepperlaw.com

Correspondent Name: PEPPER HAMILTON LLP

Address Line 1: 501 GRANT STREET

Address Line 2: SUITE 300, UNION TRUST BUILDING

Address Line 4: PITTSBURGH, PENNSYLVANIA 15219-4429

ATTORNEY DOCKET NUMBER: 143876.00610

NAME OF SUBMITTER: RHODA MBATO

SIGNATURE: /RHODA MBATO/

DATE SIGNED: 12/14/2018

**Total Attachments: 2** 

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PATENT REEL: 047923 FRAME: 0329





I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF

DELAWARE DO HEREBY CERTIFY THAT THE ATTACHED IS A TRUE AND

CORRECT COPY OF THE CERTIFICATE OF INCORPORATION OF "PALVELLA

THERAPEUTICS, INC." FILED IN THIS OFFICE ON THE THIRTIETH DAY

OF MAY, A.D. 2018, AT 1:55 O'CLOCK P.M.

A FILED COPY OF THIS CERTIFICATE HAS BEEN FORWARDED TO THE NEW CASTLE COUNTY RECORDER OF DEEDS.

5821220 8100V SR# 20184604806

You may verify this certificate online at corp.delaware.gov/authver.shtml

Justiny Di Bullock, Successory of State

Authentication: 202790869

Date: 05-30-18

PATENT REEL: 047923 FRAME: 0330

State of Delaware Secretary of State Division of Corporations Delivered 01:55 PM 05/30/2018 FILED 01:55 PM 05/30/2018 SR 20184604806 - File Number 5821220

## CERTIFICATE OF CONVERSION FROM A LIMITED LIABILITY COMPANY TO A CORPORATION **PURSUANT TO SECTION 265 OF THE** DELAWARE GENERAL CORPORATION LAW

- 1. The jurisdiction where the Limited Liability Company first formed is Delaware.
  - 2. The jurisdiction immediately prior to filing this Certificate is Delaware.
  - 3. The date the Limited Liability Company first formed is September 11, 2015.
  - The name of the Limited Liability Company immediately prior to filing this Certificate is Palvella Therapeutics LLC.
  - The name of the Corporation as set forth in the Certificate of Incorporation is Palvella Therapeutics, Inc.

IN WITNESS WHEREOF, the undersigned being duly authorized to sign on behalf of the converting Limited Liability Company has executed this Certificate on the 30th day of May, 2018.

PALVELLA THERAPEUTICS LLC

Title: Authorized Person

#48698393 v1

**PATENT** REEL: 047923 FRAME: 0331

RECORDED: 12/14/2018