

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
 Stylesheet Version v1.2

EPAS ID: PAT5304369

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT	
<b>NATURE OF CONVEYANCE:</b>	CONFIRMATORY ASSIGNMENT	
<b>CONVEYING PARTY DATA</b>		
	<b>Name</b>	<b>Execution Date</b>
	CANBEX THERAPEUTICS LIMITED	12/03/2018
<b>RECEIVING PARTY DATA</b>		
<b>Name:</b>	UCL BUSINESS PLC	
<b>Street Address:</b>	97 TOTTENHAM COURT ROAD	
<b>City:</b>	LONDON	
<b>State/Country:</b>	GREAT BRITAIN	
<b>Postal Code:</b>	W1T 4TP	
<b>PROPERTY NUMBERS Total: 1</b>		
	<b>Property Type</b>	<b>Number</b>
	<b>Patent Number:</b>	7696382
<b>CORRESPONDENCE DATA</b>		
<b>Fax Number:</b>	(857)300-4001	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>		
<b>Phone:</b>	857-300-4003	
<b>Email:</b>	bostonpatent@lathropgage.com,dvincent@lathropgage.com,dpstein@lathropgage.com	
<b>Correspondent Name:</b>	BRIAN C. TRINQUE	
<b>Address Line 1:</b>	LATHROP & GAGE LLP	
<b>Address Line 2:</b>	28 STATE STREET	
<b>Address Line 4:</b>	BOSTON, MASSACHUSETTS 02109	
<b>ATTORNEY DOCKET NUMBER:</b>	DYT	
<b>NAME OF SUBMITTER:</b>	BRIAN C. TRINQUE, PH.D., ESQ.	
<b>SIGNATURE:</b>	/BRIAN C. TRINQUE/	
<b>DATE SIGNED:</b>	12/31/2018	
<b>Total Attachments: 4</b>		
source=Canbex_UCLB_Confirmatory Assignment_Fullsigned (002)#page1.tif		
source=Canbex_UCLB_Confirmatory Assignment_Fullsigned (002)#page2.tif		
source=Canbex_UCLB_Confirmatory Assignment_Fullsigned (002)#page3.tif		
source=Canbex_UCLB_Confirmatory Assignment_Fullsigned (002)#page4.tif		

## **CONFIRMATORY ASSIGNMENT**

### **PARTIES**

(1) **CANBEX THERAPEUTICS LIMITED** incorporated and registered in England and Wales with company number 5073720 whose principal place of business is at UCL Business PLC, The Network Building, 97 Tottenham Court Road, London W1T 4TP (**Assignor**).

(2) **UCL BUSINESS PLC** incorporated and registered in England and Wales with company number 02776963 whose principal place of business is at The Network Building, 97 Tottenham Court Road, London W1T 4TP (**Assignee**).

### **BACKGROUND**

(A) By earlier assignment dated 3 December 2018, the Assignor assigned the Patents (as defined below) to the Assignee on the terms set out in the earlier assignment.

(B) By this Confirmatory Assignment the parties wish to confirm the transfer to the Assignee of all of the Assignor's right in and to the Patents, as of the Effective Date (as defined below).

### **AGREED TERMS**

In consideration of the sum of £1.00 (one pound), receipt of which the Assignor expressly acknowledges, the parties hereby agree as follows:

#### **1. INTERPRETATION**

The following definitions and rules of interpretation apply in this agreement (including the Background above).

##### **1.1 Definitions:**

**Earlier Assignment:** Deed of Assignment & Termination

**Effective Date:** 3 December 2018.

**Patents:** the patent applications/patents short particulars of which are set out in the Schedule.

1.2 Clause headings shall not affect the interpretation of this agreement.

1.3 The Schedule forms part of this agreement and shall have effect as if set out in full in the body of this agreement. Any reference to this agreement includes the Schedule.

#### **2. CONFIRMATORY ASSIGNMENT**

2.1 The Assignor HEREBY CONFIRMS the assignment to the Assignee with full title guarantee of all its right, title and interest in and to the Patents, and in and to all and any inventions disclosed in the Patents, as of the Effective Date, including in respect of any and each patent application comprised within the Patents and any patent applications filed for inventions disclosed or comprised within the Patents (the "Patent Applications"):

(a) the right to claim priority from, and to prosecute and obtain grant of patent on, such Patent Application;

(b) the right to file divisional applications based thereon and to prosecute and obtain grant of patent on each and any such divisional application;

(c) the right to extend to or register in, or in respect of, any country or territory in the world each and any of the Patent Applications, and any patents granted on any such Patent Applications or any divisionals thereof;

(d) the right to extend the term of any patents granted on any Patent Applications or any divisionals thereof;

(e) the absolute entitlement to any patents granted pursuant to any of the Patent Applications or any divisionals thereof;

(f) the right to elect to reject or submit to the competence of the Unitary Patent Court in respect of any patent granted pursuant to any Patent Application pursuant to Article 83(3) of the Agreement on a Unified Patent Court (2013/C175/01) or to validate any such patent as a patent that has unitary effect by virtue of Regulation (EU) No 1257/2012;

(g) all rights to bring or defend any claims, actions or proceedings (and to retain any damages recovered), and/or to appeal any award or judgements issued, in respect of any infringement or challenge to validity or entitlement, or any other cause of action arising from ownership, of the Patent Applications or any patents granted pursuant to such Patent Applications or any divisionals thereof, whether occurring before on or after the date of the Earlier Assignment.

2.3 Without prejudice to the foregoing, if or to the extent that the Earlier Assignment did not effect the transfer of all of the aforesaid right, title and interest in and to the Patents on the Effective Date, the Assignor HEREBY ASSIGNS to the Assignee all of the aforesaid right, title and interest in and to the Patents (including the rights identified under 2.1(a) to (g) above), as of the Effective Date.

### **3. FURTHER ASSURANCE**

At its own expense, the Assignor shall execute such documents and perform such acts as may reasonably be required for the purpose of giving full effect to this agreement.

### **4. GOVERNING LAW AND JURISDICTION**

4.1 This agreement and any dispute or claim arising out of or in connection with it or its subject matter or formation (including non-contractual disputes or claims) shall be governed by and construed in accordance with the law of England and Wales.

4.2 The parties irrevocably agree that the courts of England and Wales shall have exclusive jurisdiction to settle any dispute or claim that arises out of, or in connection with, this agreement or its subject matter or formation (including non-contractual disputes or claims).

This agreement has been entered into on the date of the signature of the final party to sign it.

### Schedule: Patents

1. **UCLB Ref:** 92-970  
**Short Title:** Cannabinoid Modulation

Country	Appln. Details	Publn. Details	Grant Details	Status
EU*	No. 05708399.0 Filed 21 Feb 2005	No. 1745011 24 Jan 2007	No. 1745011 30 Sept 2009	Granted
US	No. 10/590,064 Filed 21 Feb 2005	No. US 2008- 0114062 15 May 2008	No. 7,696,382 13 Apr 2010	Granted
USMM	No. 13/654,942 Filed 21 February 2005	No. US-2013- 0123356-A1 16 May 2013	No. 9,120,723 1 Sept 2015	Granted

\* European (parent) validated in Germany and United Kingdom.

2. **UCLB Ref:** 92-035  
**Short Title:** Crystalline Form

Country	Appln. Details	Publn. Details	Grant Details	Status
EU	No. 14702299.0 Filed 17 Jan 2014	No. 2945619 25 Nov 2015		Pending
US	No. 14/760,592 Filed 17 Jan 2014	No. US2015- 0353476 10 Dec 2015	No. 9,682,924 20 Jun 2017	Granted

3. **Your Ref:** 92-036  
**Short Title:** VSN44 and related derivatives

Country	Appln. Details	Publn. Details	Grant Details	Grant Details
EU	No. 14819052.3 Filed 5 Dec 2014	No. 3077369 12 Oct 2016		Pending
US	No. 15/101,582 Filed 5 Dec 2014	US 2016-030441 20 Oct 2016	No. 9,908,843 6 Mar 2018	Granted

Signed by .....

Name .....

Position .....

for and on behalf of CANBEX  
THERAPEUTICS LIMITED

Date .....

Signed by .....

Name .....

Position .....

for and on behalf of UCL BUSINESS PLC

Date .....

PATENT

RECORDED: 12/31/2018

REEL: 047996 FRAME: 0182