# 505259534 01/02/2019

# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT5306306

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

### **CONVEYING PARTY DATA**

Name	Execution Date
CHRISTOPHER J. BURNS	07/09/2015
DENIS DAIGLE	07/09/2015
BIN LIU	07/09/2015
DANIEL MCGARRY	07/09/2015
DANIEL C. PEVEAR	07/09/2015
ROBERT E. LEE TROUT	07/09/2015

#### **RECEIVING PARTY DATA**

Name:	VENATORX PHARMACEUTICALS, INC.	
Street Address:	30 SPRING MILL DRIVE	
City:	MALVERN	
State/Country:	PENNSYLVANIA	
Postal Code:	19355	

#### **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	16238363

## **CORRESPONDENCE DATA**

Fax Number:

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Email: patentdocket@wsgr.com, lkim@wsgr.com
Correspondent Name: WILSON SONSINI GOODRICH & ROSATI

Address Line 1: 650 PAGE MILL ROAD

Address Line 4: PALO ALTO, CALIFORNIA 94304

ATTORNEY DOCKET NUMBER:	41223-703.303
NAME OF SUBMITTER:	LORA KIM
SIGNATURE:	/Lora Kim/
DATE SIGNED:	01/02/2019

**Total Attachments: 4** 

source=41223-703.831 Executed Assignment (parent)#page1.tif

PATENT REEL: 048001 FRAME: 0468

505259534

source=41223-703.831 Executed Assignment (parent)#page2.tif source=41223-703.831 Executed Assignment (parent)#page3.tif source=41223-703.831 Executed Assignment (parent)#page4.tif

PATENT REEL: 048001 FRAME: 0469

#### PATENT ASSIGNMENT

Docket Number 41223-703.831

WHEREAS, the undersigned:

- BURNS, Christopher J. 1806 Hawkweed Way Malvern, PA 19355
- DAIGLE, Denis
   1805 Marcher Court
   Street, MD 21154
- LIU, Bin
   1506 Dahlia Circle
   Dayton, NJ 08810
- McGARRY, Daniel
   Ashiree Lane
   Malvem, PA 19355

- PEVEAR, Daniel C.
   McIlvain Drive
   Downingtown, PA 19335
- 6. TROUT, Robert E. Lee 222 E. 9<sup>th</sup> Avenue Collegeville, PA 19426

(hereinafter "Inventor(s))," have invented certain new and useful improvements in

#### BETA-LACTAMASE INHIBITORS

for which application serial number 14/649.527, a U.S. National Phase of <u>PCTUS13/73428</u> filed on <u>December 5, 2013</u> in the U.S. Receiving Office of the Patent Cooperation Treaty, was filed in the United States Patent and Trademark Office on <u>June 3, 2015</u>;

(hereinafter, "Application(s)"). The term "Application(s)" also includes all patent applications that share or claim priority to or from the above application(s).

WHEREAS, Venatorica Pharmaceuticals, fuc., a corporation of the State of Delaware, having a place of business at 36 Spring Mill Drive, Malvern, PA 19355, (hereinafter "Assignee"), is desirous of acquiring the entire right, title and interest in and to said Application(s), and the inventions disclosed therein, and in and to all embodiments of the inventions, heretofore conceived, made or discovered, whether jointly or severally, by said Inventor(s) (hereinafter collectively referred to as "Inventions"), and in and to any and all patents, inventor's certificates and other forms of protection thereon granted in the United States, foreign countries, or under any international convention, agreement, protocol, or treaty, including those filed under the Paris Convention for the Protection of Industrial Property, The Patent Cooperation Treaty or otherwise (hisreinafter "Patent(s)").

NOW, THEREFORE, in consideration of good and valuable consideration acknowledged by said Inventor(s) to have been received in full from said Assignee:

- I. Said Inventor(s) do hereby sell, assign, transfer and convey unto said Assignee the entire right, title and interest (a) in and to said Inventions; (b) in and to said Applications, including the right to claim priority to and from said Application(s); (c) in and to each and every application that is a divisional, substitution, continuation, or continuation-in-part of any of said Application(s); (d) in and to said Patent(s) and each and every patent issuing or reissuing from any of the foregoing; (e) in and to each and every reissue, reexamination, renewal or extension of any kind of any of the foregoing; (f) in and to each and every patent and application filed outside the United States and corresponding to any of the foregoing; and (g) in and to all claims for past, present and future infringement of the Patent(s), including all rights to sue for and to receive and recover for Assignee's own use all past, present, and future lost profits, royalties, and damages of whatever nature recoverable from an infringement of the Patent(s).
- 2. Said Inventor(s) hereby covenant and agree to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right, title and interest herein conveyed in the United States, foreign countries, or under any international convention, agreement, protocol, or treaty. Such cooperation by said Inventor(s) shall include prompt production of pertinent facts and documents, giving of testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for prosecuting any applications covering said Inventions; (c) for filing and prosecuting substitute, divisional, continuing or additional applications covering said Inventions; (d) for filing and prosecuting applications for reissuance of any said Patent(s); (e) for interference or other priority proceedings involving said Inventions; and (f) for legal proceedings involving said Inventions and any applications therefor and any Patent(s) granted thereon, including without limitation reissues and reexaminations, opposition proceedings, cancellation proceedings, priority contests, public use proceedings, infringement actions and court actions; provided, however, that reasonable expenses incurred by said Inventor(s) in providing such cooperation shall be paid for by said Assignee.
- The terms and covenants of this assignment shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Inventor(s), their respective heirs, legal representatives and assigns.
- 4. Said Inventor(s) hereby warrant, represent and covenant that said Inventor(s) have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.
- 5. Said Inventor(s) hereby request that any Patent(s) issuing in the United States, foreign countries, or under any international convention, agreement, protocol, or treaty, be issued in the name of the Assignee, or its successors and assigns, for the sole use of said Assignee, its successors, legal representatives and assigns.
- 6. This instrument will be interpreted and construed in accordance with the laws of the State of California, without regard to conflict of law principles. If any provision of this instrument is found to be illegal or unenforceable, the other provisions shall remain effective and enforceable to the greatest extent permitted by law. This instrument may be executed in counterparts, each of which is deemed an original, but all of which together constitute one and the same agreement.

Attorney Docket No. 41223-703-831, Patent Appl. No. 14/649,527 Page 1 of 4

PA	TENT	a ssic	NMENT

Docket Number 41223-703.831

WITNESS WHERE()F, said Inventor(s) have executed and delivered this instrument to said Assignee as of the dates written below:

Christopher J. BURNS

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document

County of

personally appeared Christopher J. BURNS

before me

Hêrê Insert Name and Title of the Officer

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

Name(s) of Signer(s)

<u>COMMONWEALTH OF PENNSYLVANIA</u>

NOTARIAL SEAL Anne Marie Giannopoulos, Notary Public Charlestown Twp., Chaster County My Commission Expires July 29, 2018 NEWSON, PERSONALIZATION OF HOTAMICS

Place Notary Seal Above

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

Denis DAIGLE

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is minched, and soft the truthfulness, accuracy, or validity of that document.

County of

personally appeared Denis DAIGLE

Here in the Name and Tule of the Officer

Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

OMMONWEALTH OF PENNSYLVANIA NOTARIAL SEAL Anne Marie Giannopoulos, Notary Public Charlestown Twp., Chester County My Commission Expires July 29, 2018 NEMBER PENNSYLVANIA ASSOCIATION OF NOTABLES

Place Notary Seal Above

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true find consist.

PATENT ASSIGNMENT	Docket Number 41223-703.831
Date: 7/9/13 Bin LIU	8
A notary public or other officer completing this certificate verifies only the identity of the individ- attached, and not the truthfulness, accuracy, or validity of that document.	ual who signed the document to which this certificate is
	mof and Title of the Officer
Name(s) of Signer(s)	
who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his or the entity upon behalf of which the person(s) acted, executed the instrument.	subscribed to the within instrument and acknowledged s/her/their signature(s) on the instrument the person(s),
COMMONWEALTH OF PENHSYLVANIA  NOTARIAL SEAL  Anne Mane Giannopoulos, Notary Public  Charlestown Twp., Chester County  Charlestown Twp., Chester County  Sections July 29, 2018	I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.  WITNESS my hand and official seat.
My Commission Expires July 29, 2018  My ENNSYLVANIA ASSOCIATION OF NOTABLES	Signature <u>(1822/1821) (1822/18</u> Signature of Foodry Pulytic
Place Notary Seal Above	
Date: Daniel McGARRY  A notary public or other officer completing this certificate verifies only the identity of the individ	is who signed the dogsment to which this certificate is
attuched, and not the truthfulness, securacy, or validity of that document.	
State of Catting (NAMA)  County of Date  Date  personally appeared Daniel McGARRY	grand Tule of the Officer
Name(s) of Signer(s)	
who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by hi or the entity upon behalf of which the person(s) acted, executed the instrument.  COMMONWEALTH OF PENNSYLVANIA  NOTARIAL SEAL  Anne Marie Giannopoulos, Notary Public  Charlestown Twp., Chester County  My Commission Expires July 29, 2018  SINSIA FERRITIVANIA LESS CIATION OF NOTABLES	subscribed to the within instrument and acknowledged s/her/their signature(s) on the instrument the person(s),  I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.  WITNESS my hand and official seal.  Signature (R. L.   Mary Fablic)
Place Notary Seal Above	

PATENT ASSIGNMENT	Docket Number 41223-703.831
Date: 7/9/15 Duniel C. PEVEAR	
A notary public or other offices completing this certificate verifies only the attached, and qot the truthfulness, accuracy, or validity of that document.	ne identity of the individual who signed the document to which this certificate is
State of Children's  County of On Date  personally appeared Dattiel C. PEVEAR	Here Insoft Name and Title of the Officer
Nan	ne(s) of Signer(s)
who proved to me on the basis of satisfactory evidence to be the person( to me that he/she/they executed the same in his/her/their authorized caps or the entity upon behalf of which the person(s) acted, executed the instru	s) whose name(s) is/are subscribed to the within instrument and acknowledged acity(ies), and that by his/her/their signature(s) on the instrument the person(s), ment.
COMMONWEALTH OF PENNSYLVANIA  NOTARIAL SEAL  Anne Marie Glannopoulos, Notary Public	I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.  WITNESS my hand and pricial state.
Charlestown Twp., Chester County My Commission Expires July 29, 2018 WENESK, PERNAVIVANIA ASSOCIATION OF NOTABLES	Signature 1/2 21/1/14/1/2/2/2010 Signature of Notary Public 1
Place Notary Seat Above	
Date: 7/9/15 Foliat Education Robert E. Lee TROUT	
A notary public or other officer completing this certificate verifies only the attached and not the truthfulness, homescy, or validity of that document.	re identity of the individual who signed the document to which this certificate is
State of Salarium Survey County of On Jale Date  personally appeared Robert E. Lee TROUT	Naid Giannopas 188 Here Insert Name find Title of the Officer
Nan Nan	ne(s) of Signer(s)
who proved to me on the basis of satisfactory evidence to be the person( to me that he/she/they executed the same in his/her/their authorized caps or the entity upon behalf of which the person(s) acted, executed the instru	s) whose name(s) is/are subscribed to the within instrument and acknowledged acity(ics), and that by his/her/their signature(s) on the instrument the person(s), ament.
**************************************	I certify under PENALTY OF PERJURY under the laws of the Spite of California that the foregoing paragraph is the said correct.
COMMONWEALTH OF PENNSYLVANIA  NOTARIAL SEAL  Anne Marie Glannopoulos, Notary Public  Charlestown Twp., Chester County  My Commission Expires July 29, 2018  BENESS, FENSYLVANIA ASSOCIATION OF NOTABLES	WITNESS my pland in front seal of Signature for the first seal of Signature of Noberty Public
RECEIVED AND AGREED TO BY ASSIGNEE:	
Date: $\frac{2}{\sqrt{2}\sqrt{1}}$ By:	Number Christopher J. Burns, Ph.D.  Refle: President and Chief Scientific Officer

Attorney Docket No. 41223-703,831, Patent Appl. No. 14/649,527 Page 4 of 4

7436713\_1.docx