# 505288093 01/21/2019

# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT5334868

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

### **CONVEYING PARTY DATA**

Name	Execution Date		
MEDSHINE DISCOVERY INC.	10/12/2018		

### **RECEIVING PARTY DATA**

Name:	HUBEI BIO-PHARMACEUTICAL INDUSTRIAL TECHNOLOGICAL INSTITUTE INC.
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Postal Code:	430075
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Street Address:	NO.666 GAOXIN ROAD,
Internal Address:	WUHAN EAST LAKE HI-TECH DEVELOPMENT ZONE
City:	WUHAN, HUBEI
State/Country:	CHINA
Postal Code:	430075

### **PROPERTY NUMBERS Total: 1**

Property Type	Number				
Patent Number:	9856262				

### **CORRESPONDENCE DATA**

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NAME OF SUBMITTER:	DONALD G. WALKER
SIGNATURE:	/Donald G. Walker/

PATENT 505288093 REEL: 048075 FRAME: 0379

DATE SIGNED:	01/21/2019							
Total Attachments: 8								
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# <u>CONFIRMATORY PATENT ASSIGNMENT</u> 专利权转让确认协议

PARTIES:

三方:

- (I) HUBEI BIO-PHARMACEUTICAL INDUSTRIAL TECHNOLOGICAL INSTITUTE INC. (incorporated in the People's Republic of China) of No.666 Gaoxin Road, Wuhan East Lake Hi-tech Development Zone Wuhan, Hubei 430075, People's Republic of China ("ASSIGNEE") 糊北生物医药产业技术研究院有限公司(在中华人民共和国注册成立),地址:中国湖北省武汉市东湖高新技术开发区高新大道 666 号 邮编: 430075 ("受让人")
- (2) HUMANWELL HEALTHCARE (GROUP) CO., LTD. (incorporated in the People's Republic of China) of No.666 Gaoxin Road, Wuhan East Lake Hi-tech Development Zone Wuhan, Hubei 430075, People's Republic of China ("ASSIGNEE")
  人福医药集团股份公司(在中华人民共和国注册成立),地址:中国湖北省武汉市东湖高新技术开发区高新大道 666号 邮编:430075("受让人")
- (3) MEDSHINE DISCOVERY INC. (incorporated in the People's Republic of China) of Room 218, No.9 Gaoxin Road, Gaoxin Development District, Nanjing, Jiangsu 272004, People's Republic of China ("ASSIGNOR") 南京明德新药研发股份有限公司(在中华人民共和国注册成立),地址:中国江苏省南京市南京高新开发区高新路 9 号商务办公楼 218 室 邮编: 272004 ("出让人")

(The ASSIGNOR and the ASSIGNEE are together referred to as the "Parties") (出让人和受让人统称为"三方")

# RECITALS:

序音:

A. The ASSIGNOR is the joint applicant in respect of the patent applications particularised in the <u>Schedule</u> (the "APPLICATIONS"). 出让人是<u>附表</u>中详述之专利权申请("有关申请")的共同申请人。

# OPERATIVE TERMS: 具体操作条款:

 In consideration of the premises, and the representations, warranties, covenants, and undertakings of the parties hereinafter set forth, and for other good and

valuable consideration, . ( the receipt and sufficiency of which is hereby acknowledged) , the Parties agree as follows:

鉴于上述事实和各方在下文所作的陈述、保证、立约和承诺,及其他的有效 对价(特此确认并充分接受),三方协议如下;

# 2. <u>Assignment</u> 转让

- 1). The ASSIGNOR hereby confirms the assignment (with full title guarantee) to the ASSIGNEE and the ASSIGNEE hereby confirms its acceptance of all the ASSIGNOR's property right title and interest in and to the APPLICATIONS for all designated states including without limitation: 出让人特此确认,向受让人转让出让人在所有指定州/地域对有关申请的全部产权所有权和权益(提供充分的所有权担保),且受让人特此确认接受所述所有权和权益,包括但不限于:
- (a) the APPLICATIONS and all patent applications, patents and supplementary protection certificates which may derive or result from any of the same, including any divisionals, continuations, reissues, extensions and registrations in relation to any thereof and all and any other rights in the inventions comprised in the above (together referred to as the "PATENT RIGHTS");

有关申请及所有专利申请、专利以及从中派生或产生的补充保护证书,包括其任何分案、继续申请、再发授权、延伸及注册以及其中包含之发明的所有和任何其他权利(统称为"专利权");

- (b) the benefit of all priority dates; 所有优先权日的利益;
- (c) the right to seek and obtain registrations in other countries; 在其他国家寻求和获得注册的权利:
- (d) the right to sue for and obtain injunctive relief, damages and all other relief in respect of any infringement or misuse (whether past present or future) of any of the PATENT RIGHTS or of the rights conferred by publication of any of the same.

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# Authorisation

授权

The ASSIGNOR hereby authorises the ASSIGNEE and the ASSIGNEE's agents to sign all such forms on behalf of the ASSIGNOR as the ASSIGNEE considers appropriate in order to record the APPLICATIONS and any other PATENT

RIGHTS in the name of the ASSIGNEE. The ASSIGNOR shall try its best to assist the ASSIGNEE to complete the transfer, assignment and recordal of the APPLICATIONS and any other PATENT RIGHTS as reasonably requested by the ASSIGNEE.

出让人特此授权受让人和受让人的代理代表出让人签署受让人认为适当的所有文件,以便以受让人的名义记录有关申请及其他专利权。根据受让人的合理要求,出让人应尽力协助受让人完成有关申请及其他专利权的转让、让与和登记。

# 4. Recordal

登记

After the execution of this Confirmatory Patent Assignment, the ASSIGNEE shall be responsible for the recordal of the transfer from the ASSIGNOR to the ASSIGNEE for all of the APPLICATIONS at the relevant patent offices. The ASSIGNOR shall coordinate with the ASSIGNEE to complete the recordal of the transfer (with each Party acting in good faith at all times).

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一般条款

For the avoidance of doubt, nothing in this Confirmatory Patent Assignment constitutes a waiver of either Party's powers, rights or remedies under the Agreements (or any of them).

为免生疑问,本专利权转让确认协议中无任何内容构成对任何一方对任何合 作协议项下任何权力、权利或补救措施的弃权。

This Confirmatory Patent Assignment shall be written in both English and Chinese. Both versions are equally authentic. The Assignment shall be signed in Eight (8) counterparts and all such counterparts have same legal force. Party A and Party B and Party C each have one copy of the Assignment. The remaining counterparts shall be submitted to the Examination and Approval Authority for recordation.

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All the above-mentioned rights are transferred without any compensation. 本次转让为无偿转让。

<u>E(C</u>

# SCHEDULE - THE APPLICATIONS/PATENTS 附表 - 有关申请

申簿日 Filing Date	2014/4/10	2015/4/8	2015/3/30	2015/3/30	2015/3/30	2015/3/30	2015/3/30	2015/3/30	2015/3/30	2015/3/30.	2015/3/30	2015/3/30	2015/3/30	2017/3/28	2015/3/30
申请号/专利号 Application Number /	201410144173.0	104111236	201580017657.1	9,856,362	6359175	15777444.9	11 2016 023397 2	2016144202	201627035028	2016/07/36	10-2016-7031520	2015245786	2,944,801	17103175.2	Pf 2016703715
申请人 Applicant							湖北生物医药产业技术研究验有现公司; 人福医约集团股份公司;	网名明德斯约佛 炭炭份有限公司 HUBEI BIO-PHARMACEUTICAL INDUSTRIAL	HUMANWELL HEALTHCARE (GROUP) CO., LTD.; MEDSHINE DISCOVERY INC.						
发明名称 Title of Invention	作为 PARP 抑制剂的 4H-吡唑 [1.5-a]苯并咪唑化合物的类似物 Analoges of 4H-Pynazolo[1,5- a]benzimidazole compounds as PARP Inhibitans														
国家/维区 Country/Regions	十 <u>類(CN)</u>	台灣(TW) 中國 (See	子園(CR) 株園が高い	※ MR(US) 日本(IP)	(A)	(11.34.00)	Ceston)	(Car) (St. 4 A)	Hig (IN) 能対イフA)	r8 4F (203.)	韩国(KR)	澳大利亚(AU)	加拿大(CA)	香港(HK)	马米西亚(MY)

Confirmatory Assignment 模型聯站 协议

2015/3/30	2015/3/30	2015/3/30	2015/3/30	2015/3/30	2015/3/30	2015/3/30	2015/3/30	2015/3/30	2015/3/30	2015/3/30
P00201606970	11201608438Y	725165	516380051	1601006122	139550140003008651	QA/201610/00444	248258	NC2016/0003993	MX/A/2016/013265	1656/2016
湖北生物医药产业技术研究院有限公司, 人福医药集团股份公司; 南京明德新药研发股份有限公司 HUBEI BIO-PHARMACEUTICAL INJUSTRIAL TECHNOLOGICAL INSTITUTE INC.; HUMANWELL HEALTHCARE (GROUP) CO., LTD.; MEDSHINE DISCOVERY INC.										
	作为 PARP 抑制剂的 4H-吡唑并 [1.5-a]苯并咪唑化合物的类似物 Analoges of 4H-Pyrazolo[1,5-a]benzimidazole compounds as PARP Intibitors									
印度尼西亚(ID)	新加坡(SG)	新西兰(NZ)	沙特阿拉伯(SA)	秦国(TH)	<b>钟朗(IR)</b>	卡塔尔(QA)	以色列(IL)	哥伦比亚(CO)	墨西哥(MX)	集及(BG)

IN WITNESS of which the Parties have executed and delivered this Confirmatory Patent Assignment as a Deed effective on the date of the later of the Parties' signatures. 兹证明,三方已签订并交付本专利权转让确认协议,作为三方之间的契约并于三方签字之日(以较晚发生者为准)生效。

EXECUTED by the ASSIGNOR MEDSHINE DISCOVERY INC. 由出让人签立 南京明德新药研发股份有限公司 (Company Seal) (公司印章:

ASSIGNOR'S Authorised Representative: 出让人的授权代表:

Signature 签字:

Application of the state of the

Name 姓名: LI, Ge 李革

Title 职衔: Legal representative 法定代表人/CEO

Date 日期: October 12, 2018 2018 年 10 月 12 日

IN WITNESS of which the Parties have executed and delivered this Confirmatory Patent Assignment as a Deed effective on the date of the later of the Parties' signatures. 兹证明,三方已签订并交付本专利权转让确认协议,作为三方之间的契约并于三方签字之日(以较晚发生者为准)生效。

EXECUTED by the ASSIGNEE

HUBEI BIO-PHARMACEUTICAL INDUSTRIAL TECHNOLOGICAL

INSTITUTE INC

由受让人签立

湖北生物医菊产业技术研究院春展公司

(Company Scal)

(公司印章)

ASSIGNEE'S Authorised Representative: 受让人的授权代表:

Signature 签字:

SHEN, Jie, 78/5

Name 姓名:

SHEN, Jie 沈洁

Title 职衔:

Legal representative 法定代表人/CEO

Date 日期:

October 12, 2018 2018年 10月 12日

IN WITNESS of which the Parties have executed and delivered this Confirmatory Patent Assignment as a Deed effective on the date of the later of the Parties' signatures. 兹证明,三方已签订并交付本专利权转让确认协议,作为三方之间的契约并于三方 签字之日(以较晚发生者为准)生效。

EXECUTED BY THE ASSIGNEE

HUMANWELDHEALTHONRE (GROUP) CO., LTD.

由受让人签置

人福医药集团股份公司

(Company Seat)

(公司印章)

ASSIGNEE'S Authorised Representative:

受让人的授权代表:

Signature 签字:

Name 姓名:

Luihai Way WANG, Xuehai 王学海

Title 职衔:

Legal representative 法定代表人/CEO

Date 日期:

October 12, 2018 2018年 10月 12日

Confirmatory Assignment 特让确认协议

**RECORDED: 01/21/2019**