#### 505282994 01/17/2019

# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT5329768

SUBMISSION TYPE:	NEW ASSIGNMENT	
NATURE OF CONVEYANCE:	TERMINATION AND RELEASE OF SECURITY INTEREST IN PATENTS	

#### **CONVEYING PARTY DATA**

Name	Execution Date
BABSON CAPITAL FINANCE LLC, AS ADMINISTRATIVE AGENT	12/11/2018

### **RECEIVING PARTY DATA**

Name:	ADA CARBON SOLUTIONS, LLC		
Street Address:	640 PLAZA DRIVE, SUITE 270		
Internal Address:	C/O ADVANCED EMISSIONS SOLUTIONS, INC., ATTN: GENERAL COUNSEL		
City:	HIGHLANDS RANCH		
State/Country:	COLORADO		
Postal Code:	80129		

#### **PROPERTY NUMBERS Total: 8**

Property Type	Number
PCT Number:	US2013078133
Application Number:	14145731
Application Number:	61942572
Application Number:	14201398
Application Number:	61984165
Application Number:	62005304
Application Number:	62005157
Application Number:	14498542

#### CORRESPONDENCE DATA

Fax Number: (704)331-1159

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**PATENT** 505282994

**REEL: 048083 FRAME: 0313** 

ATTORNEY DOCKET NUMBER:	043685.000012
NAME OF SUBMITTER:	JOHN SLAUGHTER
SIGNATURE:	/john slaughter/
DATE SIGNED:	01/17/2019

# **Total Attachments: 3**

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PATENT REEL: 048083 FRAME: 0314

#### TERMINATION AND RELEASE OF SECURITY INTEREST IN PATENTS

This **TERMINATION AND RELEASE OF SECURITY INTEREST IN PATENTS**, dated as of December 11, 2018 ("Release"), is made by Babson Capital Finance LLC, a Delaware limited liability company, as Administrative Agent ("Administrative Agent"), in favor of ADA Carbon Solutions, LLC, a Delaware limited liability company ("Grantor").

WHEREAS, pursuant to the Holdings Security Agreement dated as of September 27, 2013 (as amended, amended and restated, supplemented or otherwise modified from time to time, the "Security Agreement") by and among the Grantor and Administrative Agent, the Grant of Security Interest in United States Patents dated as of October 17, 2013 ("Patent Grant") by and among the Grantor and Administrative Agent, and the Amendment No. 1 to the Grant of Security Interest in United States Patents dated as of November 18, 2014 ("Amendment No. 1") by and among the Grantor and Administrative Agent, Grantor granted to the Administrative Agent, for the benefit of the Secured Parties, a security interest in and lien upon, all of the Grantor's right, title and interest in, to and under the Grantor's patent registrations and applications for registration more particularly set forth on Schedule A attached to the Patent Grant as amended by Exhibit 1 attached hereto ("Patents") together with (i) all Proceeds of the Patents, and (ii) all causes of action arising prior to or after the date hereof for infringement of any Patents (collectively, the "Patent Colleteral"); and

WHEREAS, the Amendment No. 1 was recorded at the United States Patent and Trademark Office ("USPTO") on November 18, 2014 at Reel 034198 Frame 0824.

NOW THEREFORE, in consideration of the mutual promises and undertakings set forth herein and for other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, and with the intention of being legally bound hereby, Administrative Agent, on behalf of the Secured Parties, and Grantor agree as follows:

- **SECTION 1.** <u>Defined Terms</u>. Capitalized terms used herein without definition shall have the meanings ascribed to such terms in the Security Agreement, Patent Grant, or Amendment No. 1.
- **SECTION 2.** <u>Termination and Release</u>. Administrative Agent, on behalf of the Secured Parties, hereby:
  - (a) terminates the Patent Grant;
- (b) terminates, cancels, forever discharges, and releases the security interest in and lien upon, all of the Grantor's right, title and interest in, to and under the Patent Collateral, including, but not limited to, the foregoing listed on Exhibit 1 attached hereto;
  - (c) represents and warrants that it has full authority to execute and deliver this Release; and
  - (d) authorizes the recordation of this Release with the USPTO at Grantor's expense.
- SECTION 3. Further Assurances. Administrative Agent, at Grantor's expense, hereby agrees to duly execute, acknowledge, procure and deliver any further documents and to do such other acts as may be reasonably necessary to effect the security interest release contemplated herein. To the extent that any other filings with any other governmental authority have been made with respect to any of the Patent Collateral, Administrative Agent will, at Grantor's expense, execute and deliver a reasonable release or other instrument that will terminate any such filing and/or release any interests conveyed therein.

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IN WITNESS WHEREOF, the Administrative Agent, on behalf of the Secured Parties, has caused this Termination and Release of Security Interest in Patents to be duly executed as of the date first set forth above.

Administrative Agent:

Babson Capital Finance LLC,

as Administrative Agent

Name:

Title:

Steven J. Katz

Managing Director & Senior Counsel

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# Exhibit 1 U.S. PATENT APPLICATIONS

TITLE	TYPE	SERIAL #	FILED DATE
COMPOSITION FOR ACID GAS TOLERANT	International		
REMOVAL OF MERCURY FROM A FLUE GAS	Utility	PCT/US2013/078133	12/27/2013
SORBENT COMPOSITIONS HAVING	Regular		
PNEUMATIC CONVEYANCE CAPABILITIES	Utility	4/145,731	12/31/2013
METHOD AND APPARATUS FOR			1
ACTIVATED CARBON MASTER LIMITED		·	
PARTNERSHIP OPERATION	Provisional	61/942,572	2/20/2014
SORBENT COMPOSITIONS HAVING	Regular	** . **	
PNEUMATIC CONVEYANCE CAPABILITIES	Utility	14/201,398	3/7/2014
SORBENT COMPOSITIONS FOR USE IN A			
WET SCRUBBER	Provisional	61/984,165	W25/2014
METAL ENHANCED COMPOSITIONS POR			
EFFICIENT REMOVAL OF CONTAMINANTS		***************************************	
IN FLUE GAS STREAMS	Provisional	62/005,304	5/30/2014
COMPOSITION FOR EFFICIENT	***************************************		
PARTICULATE REMOVAL FROM		vice	1
ELECTROSATIC PRECIPITATOR	Provisional	\$2/005.157	5/30/2014
MULTI-FUNCTIONAL COMPOSITION OF		***************************************	¥
MATTER FOR REMOVAL OF MERCURY		***************************************	
ROM HIGH TEMPERATURE FLUE GAS	Reguint	***************************************	· ·
STREAMS	Utility	14/498.542	\$/26/2014

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**RECORDED: 01/17/2019** 

PATENT REEL: 048083 FRAME: 0317