## 505290915 01/23/2019

# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT5337692

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
PURESILICON, INC.	01/17/2014

### **RECEIVING PARTY DATA**

Name:	LIQID INC.	
Street Address:	329 INTERLOCKEN PARKWAY, SUITE 200	
City:	BROOMFIELD	
State/Country:	COLORADO	
Postal Code:	80021	

## **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	16254721

### **CORRESPONDENCE DATA**

**Fax Number:** (720)293-9822

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

**Phone:** 720-562-2280

Email: janet@setterroche.com
Correspondent Name: SETTER ROCHE LLP

Address Line 1: 14694 ORCHARD PARKWAY
Address Line 2: BUILDING A, SUITE 200

Address Line 4: WESTMINSTER, COLORADO 80023

ATTORNEY DOCKET NUMBER:	835.0001C3
NAME OF SUBMITTER:	DAVID J. BOVITZ
SIGNATURE:	/David J. Bovitz/
DATE SIGNED:	01/23/2019

## **Total Attachments: 6**

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PATENT REEL: 048103 FRAME: 0809

## BILL OF SALE, ASSIGNMENT AND CONVEYANCE OF PATENTS AGREEMENT

THIS BILL OF SALE, ASSIGNMENT AND CONVEYANCE OF PATENTS AGREEMENT is dated as of January 17, 2014 (this "Agreement"), and is made from pureSilicon, Inc., a Delaware corporation with its principal place of business at 14062 Denver W. Parkway, Building 52, Suite 300, Golden, CO, 80401 (the "Assignor"), to Liqid Inc., a Delaware corporation with its principal place of business at 14062 Denver W. Parkway, Building 52, Suite 300, Golden, CO, 80401 ("Assignee").

WHEREAS, the Assignor is the owner of certain patent rights, including without limitation the patent application listed on Schedule A attached hereto, incorporated herein and made a part hereof, and all reissues, registrations, validations, reexaminations, provisionals, non-provisionals, foreign counterparts, continuations, continuations in part, divisions, and continued applications of, to, from, or for the listed patent application or patents issuing therefrom; and (ii) all term extensions, supplementary protection certificates, and other governmental actions that extend exclusive rights to an invention or technology beyond the original expiration date of any patent issuing from the listed patent application (the "Patents"); and

WHEREAS, Assignee wishes to acquire from Assignor, and Assignor wishes to transfer to Assignee, <u>inter alia</u>, all of Assignor's rights, title, and interests in and to the Patents and the inventions covered thereby;

NOW, THEREFORE, for and in consideration of the Purchase Price (as defined below), of the mutual covenants contained herein and for other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged by Assignor:

Assignment. Assignor agrees to and hereby does irrevocably sell, assign, transfer, deliver and convey to Assignee, its successors and assigns, and Assignee hereby purchases, acquires and accepts the grant, transfer and assignment of, all right, title, and interest, of whatever kind and character, in and to the Patents throughout the world, free and clear of any and all liens, licenses, mortgages, encumbrances, pledges, security interests or charges of any nature whatsoever, along with (i) all files and records relating to the prosecution, exploitation, and defense of any of the foregoing, (ii) any know-how, data, and/or confidential, proprietary, and/or trade secret information, if any, relating to the Patents, (iii) all rights of any kind whatsoever of Assignor accruing under any of the foregoing provided by applicable law of any jurisdiction, by international treaties and conventions and otherwise throughout the world, including the right to file and prosecute patent applications and seek and obtain patents, the right to prosecute, hold, and control the Patents, the right to claim priority from any of the Patents and file patent applications claiming such priority; and (vi) the right to control and use any and all know-how, data, and/or confidential, proprietary, and/or trade secret information, if any, relating to the Patents, to the Inventions, or to the Copyrights; (v) all claims and causes of action pertaining to the Patents, whether accruing before, on, or after the date hereof, including all rights to and claims for damages, restitution and injunctive and other legal and equitable relief for past, present and future infringement, misappropriation, violation or misuse, with the right but no obligation to sue for such legal and equitable relief and to collect, or otherwise recover, any such damages; and (vi) the right, title, and interest is and shall be held and enjoyed by Assignce and its successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignor had this assignment not been made.

- Further Assurances. Assignor will, upon request, execute and deliver to Assignee any and all additional papers and generally do all other lawful acts deemed necessary by Assignee to carry out the terms of this Agreement, including executing further consistent assurances, confirmation, assignments, transfers, and releases, and providing good faith testimony by affidavit, declaration, deposition, or other means. If for any reason Assignee is unable, after reasonable effort, to secure Assignor's signature on any document needed to procure or perfect Assignee's interest in any of the Patents, Assignor hereby appoints Assignee as its attorney in fact, with full power of substitution, on behalf of the Assignor and for the benefit of Assignee, to execute and enter into any documentation relating to the Patents, to secure registration of the Patents and of this Agreement, to initiate proceedings before all government and administrative bodies with respect to the Patents, including without limitation the right to file foreign counterparts and make applications for reissue and reexamination with respect to any of the Patents, to demand and receive any and all of the Patents, to give receipts and releases for and in respect of the Patents, to institute and prosecute in the name of the Assignor any proceedings at law, in equity, or otherwise, and to take any other action Assignee deems necessary or desirable to perfect or enforce its rights in the Patents. Assignor stipulates and agrees that such appointment is a right coupled with an interest and will survive the incapacity or unavailability of the Assignor at any future time.
- 4. <u>Recordation</u>. Assignor hereby authorizes and requests the U.S. Commissioner for Patents and the relevant patent authority in any other country throughout the world to record and register this assignment of Patents to Assignee. Assignor hereby further authorizes and requests the U.S. Commissioner of Patents and any relevant patent authority throughout the world to issue any and all patents resulting from the rights assigned hereunder to Assignee, or its successors and assigns, as assignee of Assignor's entire interest therein.
- 5. <u>Representations and Warranties of Assignor</u>. As of the date hereof, Assignor represents and warrants to Assignee the following:
- (a) <u>Authorization and Execution</u>. Assignor has all requisite power and authority to execute and deliver this Agreement and to consummate the transactions contemplated hereby and no further action of Assignor is necessary to consummate the transactions contemplated hereby. This Agreement has been duly executed and delivered by Assignor and constitutes the legal, valid and binding obligation of Assignor, enforceable against Assignor in accordance with its terms, except to the extent that enforceability may be limited by applicable bankruptcy, insolvency or similar laws affecting the enforcement of creditors' rights generally or by general principles of equity.
- (b) Non-Contravention: Consents. None of (i) the execution and delivery of this Agreement, (ii) the performance of, or compliance with, this Agreement, or (iii) the consummation of the transactions contemplated hereby will (A) conflict with, result in any breach of (with or without the giving of notice or passage of time), constitute a default under, give rise to a termination, right of termination or a material change in, or result in the acceleration of or create in any party the right to accelerate any agreement or other instrument to which Assignor is a party or by which Assignor or any of the properties, assets or rights of Assignor is bound or affected, (B) result in the imposition of any liens, claims or encumbrance upon the Patents, or (C) violate any applicable laws. No consent, approval or authorization of, or notice to, any person or entity is required for the execution and delivery by Assignor of this Agreement or for the consummation and performance by Assignor of the transactions contemplated hereby.
- (c) <u>Title to Patents</u>. Assignor owns all rights, title and interest to and in the Patents, free and clear of all liens, claims and encumbrances whatsoever of any kind or nature. Assignor has not

transferred or assigned any rights, title or interest, whether directly or indirectly, beneficial or otherwise, in the Patents to any other person or entity.

(d) <u>Litigation</u>. There is no action, suit, inquiry, litigation, proceeding, investigation or claim by or before any court or governmental entity pending or threatened in law, equity or otherwise, against Assignor or that could reasonably be expected to adversely affect the Patents or the ability of Assignor to transfer the Patents to Assignee.

## 6. Miscellaneous.

- (a) Notices. All notices required or permitted hereunder must be in writing and will be deemed effectively given: (i) upon personal delivery to the party to be notified, (ii) when sent by confirmed electronic mail or facsimile or (iii) one business day after deposit with a nationally recognized overnight courier, specifying next day delivery, with written verification of receipt. All communications must be sent to the parties hereto at the respective addresses set forth herein, or at such other addresses as such parties may designate by advance written notice to the other parties hereto.
- (b) <u>Successors and Assigns</u>. This Agreement will inure to the benefit of, and be binding upon, the successors and assigns of the parties hereto.
- (c) <u>Further Assurances</u>. If, after the Closing, any further action is necessary, proper or desirable to carry out any purpose of this Agreement or the transactions contemplated herein, then each party will take such further action (including the execution and delivery of further documents) as the other party reasonably requests to carry out such purpose.
- (d) Governing Law; Venue. This Agreement, and all controversies and disputes arising in connection with or related to this Agreement, will be governed by and construed in accordance with the laws of the State of Colorado without reference to principles of conflicts of law.
- (e) <u>Attorneys' Fees</u>. If any legal action or other legal proceeding relating to this Agreement or the enforcement of any provision of any of this Agreement is brought against any party hereto, the prevailing party shall be entitled to recover reasonable attorneys' fees, costs and disbursements (in addition to any other relief to which the prevailing party may be entitled).
- (f) Entire Agreement; Amendment; No Waiver. This Agreement constitutes the entire agreement between the parties with respect to the subject matter hereof and supersedes and merges all prior and contemporaneous agreements or understandings, whether written or oral. This Agreement may not be amended, modified or revoked, in whole or in part, except by an agreement in writing signed by each of the parties hereto. No delay on the part of any party in exercising any right, power or privilege hereunder shall operate as a waiver thereof, nor shall any waiver on the part of any party of any such right, power or privilege, nor any single or partial exercise of any such right, power or privilege preclude any other or further exercise thereof or the exercise of any other such right, power or privilege.
- (g) <u>Severability</u>. If any provision of this Agreement is held by a court of competent jurisdiction to be unenforceable, such provision will be deemed modified and will be interpreted to accomplish the objectives of such provision to the greatest extent possible under applicable law and the remaining provisions of this Agreement will continue in full force and effect.

(h) <u>Counterparts; Facsimile and E-mail</u>. This Agreement may be executed in two or more counterparts, each of which will be deemed an original and all of which together will constitute one instrument. A signature page delivered by e-mail or facsimile will be deemed an original.

(Signature page follows)

IN WITNESS WHEREOF, the parties have executed, made and entered into this Bill of Sale, Assignment and Conveyance of Patents Agreement as of the date first set forth above.

#### ASSIGNOR:

PURESILICON, INC.

Name: Juson Breakstone

Title: President and CEO

#### STATE OF COLORADO

CITY/COUNTY OF BOULDER, to-wit:

The foregoing Assignment and Conveyance of Patents Agreement was subscribed and sworn to before me this 17th day of January, 2014, by Jason Breakstone, the President and CEO of pureSilicon, Inc., a Delaware corporation, on behalf of said corporation. Jason Breakstone, the day he signed the document, was authorized to validly execute documents on behalf of said corporation.

WITNESS my hand and official seal.

MYRNAL ROSE NOTARY PUBLIC STATE OF COLORADO NOTARY ID 20044044817 MY COMMISSION EXPIRES JANUARY 16, 2017 Notary Public in and for the State of Colorado

MYRNA L ROSE
Printed or Typed Name of Notary

My Commission Expires //16/17

ASSIGNEE:

LIQID INC.

Name: Jason Breakstone

Title: President and CEO

STATE OF COLORADO

CITY/COUNTY OF BOULDER, to-wit:

The foregoing Assignment and Conveyance of Patents Agreement was subscribed and swom to before me this 17th day of January, 2014, by Jason Breakstone, the President and CEO of Liqid Inc., a Delaware corporation, on behalf of said corporation. Jason Breakstone, the day he signed the document, was authorized to validly execute documents on behalf of said corporation.

WITNESS my hand and official seal.

MYRNAL ROSE NOTARY PUBLIC STATE OF COLORADO NOTARY ID 20044044817

MY COMMISSION EXPIRES JANUARY 16, 2017

Notary Public in and for the State of Colorado

Printed or Typed Name of Notary

My Commission Expires //16/17

Signature Page to Bill of Sale, Assignment and Conveyance of Patents Agreement

# SCHEDULE A

Tide	U.S. Patent Application Publication No.	Application No.	Filing Date
Systems and methods for optimizing data storage among a plurality of solid state memory subsystems	US 2012/0089854	13/270,084	October 10, 2011

HROBOUN119235

**RECORDED: 01/23/2019** 

PATENT REEL: 048103 FRAME: 0815