505292393 01/23/2019

# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT5339170

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

## **CONVEYING PARTY DATA**

Name	Execution Date
KYOKO NOZAKI	12/26/2018
SHINGO ITO	12/27/2018
WEN-JIE TAO	12/26/2018
YOHEI KONISHI	12/26/2018
HISASHI OHTAKI	12/26/2018
YASUO OHISHI	01/09/2019
AKIO TANNA	01/10/2019
TAKAO TAYANO	01/10/2019

## **RECEIVING PARTY DATA**

Name:	THE UNIVERSITY OF TOKYO
Street Address:	3-1, HONGO 7-CHOME, BUNKYO-KU
City:	TOKYO
State/Country:	JAPAN
Postal Code:	113-8654
Name:	JAPAN POLYCHEM CORPORATION
Name: Street Address:	JAPAN POLYCHEM CORPORATION PALACE BUILDING, 1-1, MARUNOUCHI 1-CHOME, CHIYODA-KU
Street Address:	PALACE BUILDING, 1-1, MARUNOUCHI 1-CHOME, CHIYODA-KU

## **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	16320046

## **CORRESPONDENCE DATA**

**Fax Number:** (703)716-1180

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

**Phone:** 703-716-1191

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Correspondent Name: GREENBLUM & BERNSTEIN, P.L.C.

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PATENT

505292393 REEL: 048113 FRAME: 0164

Address Line 4: RE	STON, VIRGINIA 20191	
ATTORNEY DOCKET NUMBER:	P56670	
NAME OF SUBMITTER:	DANIEL B. MOON	
SIGNATURE:	/Daniel B. Moon/	
DATE SIGNED:	01/23/2019	
	This document serves as an Oath/Declaration (37 CFR 1.63).	
Total Attachments: 17	•	
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PATENT REEL: 048113 FRAME: 0165

## COMBINED INVENTOR'S DECLARATION AND ASSIGNMENT

#### **DECLARATION**

The herein-identified application was made or authorized to be made by me.

I believe I am the original inventor or an original joint inventor of a claimed invention in the application.

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

## Authorization to Permit Access to Application by Participating Office

If checked, the undersigned hereby grants the USPTO authority to provide the European Patent Office (EPO), the Korean Intellectual Property Office (KIPO), the State Intellectual Property Office of the People's Republic of China (SIPO), the World Intellectual Property Office (WIPO), and any other intellectual property offices in which a foreign application claiming priority to the herein-identified patent application is filed access to the herein-identified patent application. See 37 CFR 1.14(c) and (h). This box should not be checked if the applicant does not wish the EPO, KIPO, SIPO, WIPO, or other intellectual property office in which a foreign application claiming priority to the herein-identified patent application is filed to have access to the herein-identified patent application.

In accordance with 37 CFR 1.14(h)(3), access will be provided to a copy of the herein-identified patent application with respect to: 1) the herein-identified patent application-as-filed; 2) any foreign application to which the herein-identified patent application claims priority under 35 U.S.C. 119(a)-(d) if a copy of the foreign application that satisfies the certified copy requirement of 37 CFR 1.55 has been filed in the herein-identified patent application; and 3) any U.S. application-as-filed from which benefit is sought in the herein-identified patent application.

In accordance with 37 CFR 1.14(c), access may be provided to information concerning the date of filing the Authorization to Permit Access to Application by Participating Offices.

The undersigned hereby authorizes the U.S. attorney or agent appointed herein to accept and follow instructions from either his foreign patent agent or corporate representative, if any, as to any action to be taken in the Patent and Trademark Office regarding this application without direct communication between the U.S. attorney or agent and the undersigned.

Direct Correspondence to: CUSTOMER NUMBER 07055

At: Greenblum & Bernstein, P.L.C. 1950 Roland Clarke Place Reston, VA 20191

(P56670 03718136.DOC)

PATENT REEL: 048113 FRAME: 0166 Direct Telephone Calls to: Greenblum & Bernstein, P.L.C. (703) 716-1191

## **ASSIGNMENT**

WHEREAS, Kyoko NOZAKI, a resident of Tokyo, Japan, whose mailing address is c/o THE UNIVERSITY OF TOKYO, 3-1, Hongo 7-chome, Bunkyo-ku, Tokyo 113-8654 JAPAN, Shingo ITO, a resident of Tokyo, Japan, whose mailing address is c/o THE UNIVERSITY OF TOKYO, 3-1, Hongo 7-chome, Bunkyo-ku, Tokyo 113-8654 JAPAN, Wen-Jie TAO, a resident of Tokyo, Japan, whose mailing address is c/o THE UNIVERSITY OF TOKYO, 3-1, Hongo 7-chome, Bunkyo-ku, Tokyo 113-8654 JAPAN, Yohei KONISHI, a resident of Kanagawa, Japan, whose mailing address is c/o MITSUBISHI CHEMICAL CORPORATION, 1000, Kamoshida-cho, Aoba-ku, Yokohama-shi, Kanagawa 227-8502 JAPAN, Hisashi OHTAKI, a resident of Kanagawa, Japan, whose mailing address is c/o MITSUBISHI CHEMICAL CORPORATION, 1000, Kamoshida-cho, Aoba-ku, Yokohama-shi, Kanagawa 227-8502 JAPAN, Yasuo OHISHI, a resident of Kanagawa, Japan, whose mailing address is c/o MITSUBISHI CHEMICAL CORPORATION, 1000, Kamoshida-cho, Aoba-ku, Yokohama-shi, Kanagawa 227-8502 JAPAN, Akio TANNA, a resident of Mie, Japan, whose mailing address is c/o JAPAN POLYCHEM CORPORATION, 1, Toho-cho, Yokkaichi-shi, Mie 510-0848 JAPAN and Takao TAYANO, a resident of Mie, Japan, whose mailing address is c/o JAPAN POLYCHEM CORPORATION, 1, Toho-cho, Yokkaichi-shi, Mie 510-0848 JAPAN, hereinafter referred to as the ASSIGNORS, have invented a certain improvement relating to

METAL COMPLEX AND METHOD FOR PRODUCING THE SAME, CATALYST COMPONENT FOR OLEFIN POLYMERIZATION AND CATALYST FOR OLEFIN POLYMERIZATION CONTAINING THE METAL COMPLEX, AND METHODS FOR PRODUCING α-OLEFIN POLYMER AND COPOLYMER USING THE CATALYST FOR OLEFIN POLYMERIZATION

for which they have executed, on the same date as this assignment, an application for Letters Patent for the United States to be filed in the United States Patent and Trademark Office, which Application is a National Stage of International Application No. PCT/JP2017/027134, filed July 26, 2017.

If this Assignment is filed after the filing date of the above-identified application, the undersigned hereby authorize and request any one of the practitioners of record in this application associated with Customer No. 07055 including Neil F. Greenblum, Reg. No. 28,394, Bruce H. Bernstein, Reg. No. 29,027, Arnold Turk, Reg. No. 33,094, James L. Rowland, Reg. No. 32,674, Stephen M. Roylance, Reg. No. 31,296, Robert W. Mueller, Reg. No. 35,043, William E. Lyddane, Reg. No. 41,568, William S. Boshnick, Reg. No. 44,550, Sean C. Myers-Payne, Reg. No. 42,920, Daniel B. Moon, Reg. No. 48,124, Steven B. Pollicoff, Reg. No. 60,311, James P. Bonnamy, Reg. No. 63,649, Jonathan R. Miller, Reg. No. 59,834 and Walter A. Schlapkohl, Reg. No. 64,416, of the firm Greenblum & Bernstein, P.L.C., whose address is 1950 Roland Clarke Place, Reston, Virginia 20191, to insert here in parenthesis (Application No.\_\_\_\_\_\_\_, filed ) the filing date and application number of said application when known.

AND WHEREAS, THE UNIVERSITY OF TOKYO, a corporation organized and existing under the laws of JAPAN, whose mailing address is 3-1, Hongo 7-chome, Bunkyo-ku, Tokyo 113-8654 JAPAN and JAPAN POLYCHEM CORPORATION, a corporation organized and existing under the laws of JAPAN, whose mailing address is Palace Building, 1-1, Marunouchi 1-chome, Chiyoda-ku, Tokyo 100-8251 JAPAN, hereinafter known as the ASSIGNEES, are each desirous of acquiring an equal undivided interest in the entire right, title and interest for the United States in and to said invention and application, including any and all divisions and continuations thereof, and any and all Letters Patent which may be granted thereon, including any and all renewals, reissues, reexaminations, and prolongations thereof.

NOW, THIS WITNESSETH that for good and valuable consideration, the receipt whereof is hereby acknowledged, said ASSIGNORS hereby assign, sell and transfer to said ASSIGNEES, their assigns and legal representatives, the entire and exclusive right, title and interest in and to said invention and application, for the United States including any and all divisions and continuations thereof, and any and all Letters Patent which may be granted therefor and all rights to sue for past and future infringement thereunder, said ASSIGNEES and their assigns and legal representatives to have, hold, exercise and enjoy the said invention and application, including any and all divisions and continuations thereof, and any and all Letters Patent which may be granted thereon, including any and all renewals, reissues, reexaminations, and prolongations thereof, with all the rights, powers, privileges, and advantages in any ways arising from or pertaining thereto, for and during the term or terms of any and all such Letters Patent when granted, including any and all renewals, reissues, reexaminations, and prolongations thereof, for the use and benefit of said ASSIGNEES and their assigns and legal representatives in as ample and beneficial a manner to all intents and purposes as the said ASSIGNORS might or could have held and enjoyed the same, if this assignment had not been made.

AND said ASSIGNORS hereby agree to execute all papers necessary to file applications in the United States for said invention and to assign the same to said ASSIGNEES, or any assignee acquiring title to said invention, and to execute any other papers that may be needed in connection with filing said application and securing Letters Patent thereon.

AND said ASSIGNORS authorize and request the Commissioner of Patents to issue Letters Patent on said application, and on any and all divisions and continuations thereof, to said ASSIGNEES, their assigns and legal representatives, in accordance herewith.

IN TESTIMONY WHEREOF, this assignment is executed by said ASSIGNOR(S), on the respective date(s) indicated below.

First Witness (Optional):	
(Name)	
(Date)	
	Kyski Nozaki  Dec. 26, 2018
٠	Dec. 26, 20/8 Date
Second Witness (Optional):	
(Name)	•
(Date)	

(Date)

P56670	Page 6
First Witness (Optional):	
(Name)	
(Date)	Wen-Jie TAO  December 26 2018  Date
Second Witness (Optional):	Date
(Name)	•
(Date)	

## COMBINED INVENTOR'S DECLARATION AND ASSIGNMENT

## **DECLARATION**

The herein-identified application was made or authorized to be made by me.

I believe I am the original inventor or an original joint inventor of a claimed invention in the application.

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

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The undersigned hereby authorizes the U.S. attorney or agent appointed herein to accept and follow instructions from either his foreign patent agent or corporate representative, if any, as to any action to be taken in the Patent and Trademark Office regarding this application without direct communication between the U.S. attorney or agent and the undersigned.

Direct Correspondence to: CUSTOMER NUMBER 07055

At: Greenblum & Bernstein, P.L.C. 1950 Roland Clarke Place Reston, VA 20191

{P56670 03718136.DOC}

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## **ASSIGNMENT**

WHEREAS, Kyoko NOZAKI, a resident of Tokyo, Japan, whose mailing address is c/o THE UNIVERSITY OF TOKYO, 3-1, Hongo 7-chome, Bunkyo-ku, Tokyo 113-8654 JAPAN, Shingo ITO, a resident of Tokyo, Japan, whose mailing address is c/o THE UNIVERSITY OF TOKYO, 3-1, Hongo 7-chome, Bunkyo-ku, Tokyo 113-8654 JAPAN, Wen-Jie TAO, a resident of Tokyo, Japan, whose mailing address is c/o THE UNIVERSITY OF TOKYO, 3-1, Hongo 7-chome, Bunkyo-ku, Tokyo 113-8654 JAPAN, Yohei KONISHI, a resident of Kanagawa, Japan, whose mailing address is c/o MITSUBISHI CHEMICAL CORPORATION, 1000, Kamoshida-cho, Aoba-ku, Yokohama-shi, Kanagawa 227-8502 JAPAN, Hisashi OHTAKI, a resident of Kanagawa, Japan, whose mailing address is c/o MITSUBISHI CHEMICAL CORPORATION, 1000, Kamoshida-cho, Aoba-ku, Yokohama-shi, Kanagawa 227-8502 JAPAN, Yasuo OHISHI, a resident of Kanagawa, Japan, whose mailing address is c/o MITSUBISHI CHEMICAL CORPORATION, 1000, Kamoshida-cho, Aoba-ku, Yokohama-shi, Kanagawa 227-8502 JAPAN, Akio TANNA, a resident of Mie, Japan, whose mailing address is c/o JAPAN POLYCHEM CORPORATION, 1, Toho-cho, Yokkaichi-shi, Mie 510-0848 JAPAN and Takao TAYANO, a resident of Mie, Japan, whose mailing address is c/o JAPAN POLYCHEM CORPORATION, 1, Toho-cho, Yokkaichi-shi, Mie 510-0848 JAPAN, hereinafter referred to as the ASSIGNORS, have invented a certain improvement relating to

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Stephen M. Roylance, Reg. No. 31,296, Robert W. Mueller, Reg. No. 35,043, William E. Lyddane,
Reg. No. 41,568, William S. Boshnick, Reg. No. 44,550, Sean C. Myers-Payne, Reg. No. 42,920,
Daniel B. Moon, Reg. No. 48,124, Steven B. Pollicoff, Reg. No. 60,311, James P. Bonnamy, Reg.
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AND said ASSIGNORS hereby agree to execute all papers necessary to file applications in the United States for said invention and to assign the same to said ASSIGNEES, or any assignee acquiring title to said invention, and to execute any other papers that may be needed in connection with filing said application and securing Letters Patent thereon.

AND said ASSIGNORS authorize and request the Commissioner of Patents to issue Letters Patent on said application, and on any and all divisions and continuations thereof, to said ASSIGNEES, their assigns and legal representatives, in accordance herewith.

IN TESTIMONY WHEREOF, this assignment is executed by said ASSIGNOR(S), on the respective date(s) indicated below.

P56670	
First Witness (Optional):	
(Name)	
(Date)	
	Kyoko NOZAKI
	Date
Second Witness (Optional):	
(Name)	
(Date)	

P56670	
First Witness (Optional):	
(Name)	
(Date)	
•	Wen-Jie TAO
•	Date
Second Witness (Optional):	
(Name)	
(Data)	

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First Witness (Optional):	
(Name)	
(Date)	yohli Komishi
	Yohli Komishi Yohei KONISHI <u>December 26, 2018</u> Date
Second Witness (Optional):	
(Name)	
(Date)	

P56670	
First Witness (Optional):	
(Name)	
(Date)	71 10 201 . 4 .
	<u>Hisashi Ohtaki</u> Hisashi OHTAKI
	December 26, 2018  Date
Second Witness (Optional):	
(Name)	
(Date)	

(Date)

P56670	
First Witness (Optional):	
(Name)	

Akio TANNA

Page 10

10th Jan. 2019 Date

Second Witness (Optional):

(Date)

(Name)

(Date)

P56670	Page 1	1
First Witness (Optional):		
(Name)		•
(Date)	Takao TAYANO	
	Takao TAYANO  10th Jan 2019  Date	•
Second Witness (Optional):		
(Name)		
(Date)		