

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT5344464

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
ZITA S. NETZEL	02/02/2016
GARY STACEY	02/01/2016
ALEXANDER DAVID NORMAN	02/01/2016
CONGYI HUANG	02/01/2016
TIMOTHY CHARLES FREARSON	02/01/2016
CHARLES FRAZER KILBY	02/01/2016
PATRICK H. RUANE	02/02/2016
ALAN J. LEVY	02/01/2016
KEVIN W. GELSTON	02/01/2016
JENNIFER DARMOUR	04/06/2016
JENNY E. HAPGOOD	04/06/2016
DAVID EVANS ROTH	04/06/2016
RONALD A. OVERBECK	04/07/2016
LING-KANG TONG	02/01/2016
RECEIVING PARTY DATA	
Name:	CHRONO THERAPEUTICS INC.
Street Address:	3953 POINT EDEN WAY
City:	HAYWARD
State/Country:	CALIFORNIA
Postal Code:	94545
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	15699382
CORRESPONDENCE DATA	
Fax Number:	(650)212-7562
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	6502121700
Email:	info@shayglenn.com, jnguyen-perez@shayglenn.com

Correspondent Name:	SHAY GLENN LLP
Address Line 1:	2755 CAMPUS DRIVE, SUITE 210
Address Line 4:	SAN MATEO, CALIFORNIA 94403

ATTORNEY DOCKET NUMBER:	13164-709.400
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NAME OF SUBMITTER:	KATHLEEN R. KELLEHER
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SIGNATURE:	/KATHLEEN R. KELLEHER, Reg. No. 62,230/
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DATE SIGNED:	01/25/2019
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Total Attachments: 10

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RECORDATION FORM COVER SHEET
PATENTS ONLYU.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

TO THE HONORABLE DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE. PLEASE RECORD THE ATTACHED ORIGINAL DOCUMENTS OR COPY THEREOF.

1. Name of conveying party(ies):

(1) ZITA S. NETZEL (02/02/2016)
(2) GARY STACEY (02/01/2016)
(3) ALEXANDER DAVID NORMAN (02/01/2016)
(4) CONGYI HUANG (02/01/2016)
(5) TIMOTHY CHARLES FREARSON (02/01/2016)
(6) CHARLES FRAZER KILBY (02/01/2016)
(7) PATRICK H. RUANE (02/02/2016)
(8) ALAN J. LEVY (02/01/2016)
(9) KEVIN W. GELSTON (02/01/2016)
(10) JENNIFER DARMOUR (04/06/2016)
(11) JENNY E. HAPGOOD (04/06/2016)
(12) DAVID EVANS ROTH (04/06/2016)
(13) RONALD A. OVERBECK (04/07/2016)
(14) LING-KANG TONG (02/01/2016)

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: **CHRONO THERAPEUTICS INC.**
3953 POINT EDEN WAY
HAYWARD, CA 94545

3. Nature of Conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Name and address of receiving party(ies):

Name:
Street Address:
City: State: Zip:
Country:
Additional name(s) & address(es) attached?
☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s) – **15/699,382**Title: **DRUG DELIVERY METHODS AND SYSTEMS**

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Kathleen R. Kelleher
Shay Glenn LLP
2755 Campus Drive, Suite 210
San Mateo, CA 944036. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h)(1)): \$0.00

☒ None required. Please charge fees to **Deposit Account No. 50-4050.**

DO NOT USE THIS SPACE

PATENT
REEL: 048144 FRAME: 0078

8. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Kathleen R. Kelleher, Reg. No. 62,230

/Kathleen R. Kelleher/

January 25, 2019

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and documents: **9**

This Assignment of Patent Application is between:

Zita S. NETZEL of Los Altos, CA;
 Gary STACEY of Cambridge, United Kingdom;
 Alexander David NORMAN of Cambridge, United Kingdom;
 Congyi HUANG of Cambridge, United Kingdom;
 Timothy Charles FREARSON of Hampshire, United Kingdom;
 Charles Frazer KILBY of Cambridge, United Kingdom;
 Patrick H. RUANE of Dublin, CA;
 Alan J. LEVY of Bellevue, WA;
 Kevin W. GELSTON of Moraga, CA;
 Jennifer DARMOUR of Seattle, WA;
 Jenny E. HAPGOOD of Tacoma, WA;
 David Evans ROTH of Issaquah, WA;
 Ronald A. OVERBECK of Winthrop, WA; and
 Ling-Kang TONG of Hayward, CA, *FREMONT, CA*

2/11/16

(hereinafter referred to as "Inventor") and

CHRONO THERAPEUTICS INC., a corporation of the State of Delaware, having a place of business at 3953 Point Eden Way, Hayward, CA 94545 (hereinafter referred to as "Assignee").

WHEREAS, Inventor has invented certain new and useful improvements in:

"DRUG DELIVERY METHODS AND SYSTEMS"

for which an application for a United States Patent was filed on **January 28, 2016** and assigned Application No. **15/009,683**.

WHEREAS, Assignee is desirous of acquiring the entire right, title and interest of each inventor in and to said application and the invention disclosed therein, and in and to all embodiments of the invention, heretofore conceived, made or discovered by said Inventor (all collectively hereinafter termed "said invention"), and in and to any and all patents, inventor's certificates and other forms of protection (hereinafter termed "patents") thereon granted in the United States and foreign countries.

NOW, THEREFORE, in consideration of good and valuable consideration acknowledged by said Inventor to have been received in full from said Assignee:

1. Said Inventor does hereby sell, assign, transfer and convey unto said Assignee the entire right, title and interest (a) in and to said application and said invention: (b) in and to all rights to apply for foreign patents on said invention pursuant to the International Convention for the Protection of Industrial Property or otherwise; (c) in and to any and all applications filed and any and all patents granted on said invention in the United States or any foreign country, including each and every application filed and each and every patent granted on any application which is a divisional, substitution,

ASSIGNMENT OF PATENT APPLICATION

SG Docket No. 13164-709.200

continuation, or continuation-in-part of any of said applications; and (d) in and to each and every reissue or extensions of any of said patents.

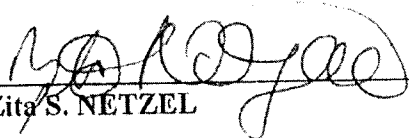
2. Said Inventor hereby covenants and agrees to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right, title and interest herein conveyed in the United States and foreign countries. Such cooperation by said Inventor shall include prompt production of pertinent facts and documents, giving of testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for prosecuting any of the said applications; (c) for filing and prosecuting substitute, divisional, continuing or additional applications covering said invention; (d) for filing and prosecuting applications for reissuance of any said patents; (e) for interference or other priority proceedings involving said invention; and (f) for legal proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation reissues and reexaminations, opposition proceedings, cancellation proceedings, priority contest, public use proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Inventor in providing such cooperation shall be paid for by said Assignee.

3. The terms and covenants of this assignment shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Inventor, his heirs, legal representatives and assigns.

4. Said Inventor hereby warrants and represents that he has not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOF, said Inventor has executed and delivered this instrument to said Assignee:

02-FEB-2016
Date


Zita S. NETZEL

Date

Gary STACEY

Date

Alexander David NORMAN

Date

Congyi HUANG

ASSIGNMENT OF PATENT APPLICATION

SG Docket No. 13164-709.200

continuation, or continuation-in-part of any of said applications; and (d) in and to each and every reissue or extensions of any of said patents.

2. Said Inventor hereby covenants and agrees to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right, title and interest herein conveyed in the United States and foreign countries. Such cooperation by said Inventor shall include prompt production of pertinent facts and documents, giving of testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for prosecuting any of the said applications; (c) for filing and prosecuting substitute, divisional, continuing or additional applications covering said invention; (d) for filing and prosecuting applications for reissuance of any said patents; (e) for interference or other priority proceedings involving said invention; and (f) for legal proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation reissues and reexaminations, opposition proceedings, cancellation proceedings, priority contest, public use proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Inventor in providing such cooperation shall be paid for by said Assignee.

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4. Said Inventor hereby warrants and represents that he has not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOF, said Inventor has executed and delivered this instrument to said Assignee:

Date

1ST FEBRUARY 2016

Date

1ST FEBRUARY 2016

Date

1 Feb 2016

Date

Zita S. NETZEL



Gary STACEY



Alexander David NORMAN



Congyi HUANG

ASSIGNMENT OF PATENT APPLICATION


SG Docket No. 13164-709.200

1/12/2016.

Date


Timothy Charles FREARSON

1 Feb 2016
Date


Charles Frazer KILBY

Date _____

Patrick H. RUANE

Date _____

Alan J. LEVY

Date _____

Kevin W. GELSTON

Date _____

Jennifer DARMOUR


Date _____

Jenny E. HAPGOOD

Date _____

David Evans ROTH

04/07/2016
Date


Ronald A. OVERBECK

Date _____

Ling-Kang TONG

ASSIGNMENT OF PATENT APPLICATION

SG Docket No. 13164-709.200

Date

Timothy Charles FREARSON

Date

Charles Frazer KILBY

Feb 2nd 2016

Date

Patrick H. RUANE

February 1, 2016

Date

Alan J. LEVY

Date

Kevin W. GELSTON

Date

Jennifer DARMOUR

Date

Jenny E. HAPGOOD

Date

David Evans ROTH

Date

Ronald A. OVERBECK

2/1/2016

Date

Ling-Kang TONG

ASSIGNMENT OF PATENT APPLICATION

SG Docket No. 13164-709.200

Date

Timothy Charles FREARSON

Date

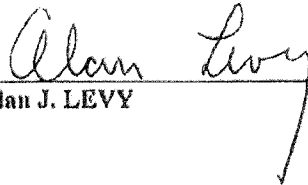
Charles Frazer KILBY

Feb 2nd 2016



Date

Patrick H. RUANE



Date

Alan J. LEVY

Date

Kevin W. GELSTON

Date

Jennifer DARMOUR

6- Apr- 2016



Date

Jenny E. HAPGOOD

Date

David Evans ROTH

Date

Ronald A. OVERBECK

2/1/2016



Date

Ling-Kang TONG

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SG Docket No. 13164-709.200

Date

Timothy Charles FREARSON

Date

Charles Frazer KILBY

Date

Patrick H. RUANE

Date

Alan J. LEVY

Date

2/1/16

Kevin W. GELSTON

Date

4/6/16

Jennifer DARMOUR

Date

6 - APR - 2016

Jenny E. HAPGOOD

Date

David Evans ROTH

Date

Ronald A. OVERBECK

Date

Ling-Kang TONG

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2/1/16

Kevin W. GELSTON

Date

Jennifer DARMOUR

Date

Jenny E. HAPGOOD

Date

4/6/2016

David Evans ROTH

Date

Ronald A. OVERBECK

Date

Ling-Kang TONG