

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
 Stylesheet Version v1.2

EPAS ID: PAT5348429

|   |  |                       |  |
|---|--|-----------------------|--|
| <b>SUBMISSION TYPE:</b>   | NEW ASSIGNMENT                         |                       |  |
| <b>NATURE OF CONVEYANCE:</b>  | MERGER AND CHANGE OF NAME              |                       |  |
| <b>EFFECTIVE DATE:</b>  | 09/10/2014                             |                       |  |
| <b>CONVEYING PARTY DATA</b>   |  |                       |  |
| <b>Name</b>   |  | <b>Execution Date</b> |  |
| OCCIDENTAL ENERGY VENTURES CORP.  |  | 09/10/2014            |  |
| <b>NEWLY MERGED ENTITY DATA</b>   |  |                       |  |
| <b>Name</b>   |  | <b>Execution Date</b> |  |
| OCCIDENTAL ENERGY VENTURES LLC  |  | 09/10/2014            |  |
| <b>MERGED ENTITY'S NEW NAME (RECEIVING PARTY)</b>   |  |                       |  |
| <b>Name:</b>  | OCCIDENTAL ENERGY VENTURES LLC         |                       |  |
| <b>Street Address:</b>  | 5 GREENWAY PLAZA                       |                       |  |
| <b>Internal Address:</b>  | SUITE 110                              |                       |  |
| <b>City:</b>  | HOUSTON                                |                       |  |
| <b>State/Country:</b>   | TEXAS                                  |                       |  |
| <b>Postal Code:</b>   | 77046-0521                             |                       |  |
| <b>PROPERTY NUMBERS Total: 1</b>  |  |                       |  |
| <b>Property Type</b>  | <b>Number</b>                          |                       |  |
| <b>Patent Number:</b>   | 8728423                                |                       |  |
| <b>CORRESPONDENCE DATA</b>  |  |                       |  |
| <b>Fax Number:</b>  | (571)395-8753                          |                       |  |
| <i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i> |  |                       |  |
| <b>Email:</b>   | patentmail@whda.com                    |                       |  |
| <b>Correspondent Name:</b>  | WESTERMAN HATTORI DANIELS & ADRIAN LLP |                       |  |
| <b>Address Line 1:</b>  | 8500 LEESBURG PIKE                     |                       |  |
| <b>Address Line 4:</b>  | TYSONS, VIRGINIA 22182                 |                       |  |
| <b>ATTORNEY DOCKET NUMBER:</b>  | 081485                                 |                       |  |
| <b>NAME OF SUBMITTER:</b>   | ANDREW G. MELICK                       |                       |  |
| <b>SIGNATURE:</b>   | /Andrew G. Melick/                     |                       |  |
| <b>DATE SIGNED:</b>   | 01/29/2019                             |                       |  |
| <b>Total Attachments: 8</b>   |  |                       |  |

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# Apostille

(Convention de La Haye du 5 Octobre 1961)

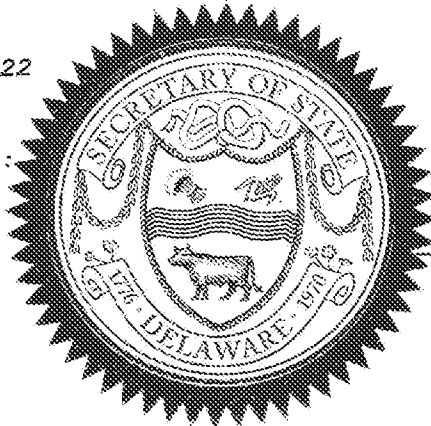
1. Country: United States of America

This public document:

2. has been signed by Jeffrey W. Bullock
3. acting in the capacity of Secretary Of State Of Delaware
4. bears the seal/stamp of Office Of Secretary Of State

## Certified

5. at Dover, Delaware
6. twenty-sixth day of November, A.D. 2018
7. by Secretary of State, Delaware Department of State
8. No. 203961022
9. Seal/Stamp:
10. Signature:



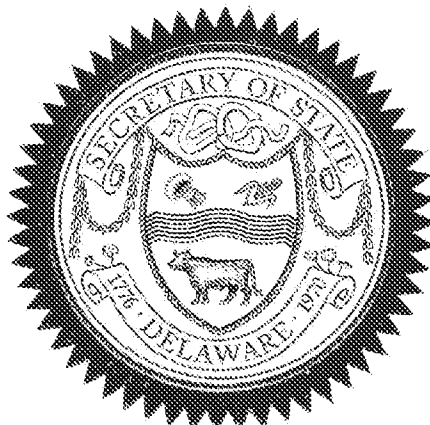
*Jeffrey W. Bullock*  
Jeffrey W. Bullock, Secretary of State

# Delaware

The First State

Page 1

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF FORMATION OF "OCCIDENTAL ENERGY VENTURES LLC", FILED IN THIS OFFICE ON THE TENTH DAY OF SEPTEMBER, A.D. 2014, AT 10:31 O'CLOCK A.M.



5600560 8100  
SR# 20187797507

You may verify this certificate online at [corp.delaware.gov/authver.shtml](http://corp.delaware.gov/authver.shtml)

A handwritten signature in black ink, appearing to read "JB", is written over a horizontal line. Below the line, the text "Jeffrey W. Bullock, Secretary of State" is printed in a small font.

Authentication: 203961021  
Date: 11-26-18

**PATENT**  
**REEL: 048176 FRAME: 0117**

Certificate of Formation

of

OCCIDENTAL ENERGY VENTURES LLC

September 10, 2014

The undersigned, an authorized natural person, for the purpose of forming a limited liability company, under the provisions and subject to the requirements of the State of Delaware (particularly Chapter 18, Title 6 of the Delaware Code and the acts amendatory thereof and supplemental thereto, and known, identified, and referred to as the "Delaware Limited Liability Company Act"), hereby certifies that:

FIRST: The name of the limited liability company (hereinafter called the "limited liability company") is **Occidental Energy Ventures LLC**.

SECOND: The address of the registered office and the name and the address of the registered agent for service of process of the limited liability company required to be maintained by Section 18-104 of the Delaware Limited Liability Company Act is c/o The Corporation Trust Company, 1209 Orange Street, Wilmington, Delaware, 19801.

*[Signature Page Follows]*

IN WITNESS WHEREOF, the undersigned has caused this Certificate of Formation to be signed as of the date first written above.

A handwritten signature in cursive script, appearing to read "Nicole E. Clark", is written over a horizontal line.

Nicole Clark  
Authorized Signatory

# Apostille

(Convention de La Haye du 5 Octobre 1961)

1. Country: United States of America

This public document:

2. has been signed by Jeffrey W. Bullock

3. acting in the capacity of Secretary Of State Of Delaware

4. bears the seal/stamp of Office Of Secretary Of State

## Certified

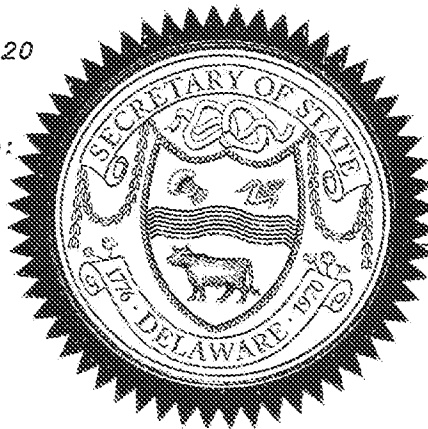
5. at Dover, Delaware

6. twenty-sixth day of November, A.D. 2018

7. by Secretary of State, Delaware Department of State

8. No. 203961020

9. Seal/Stamp:



10. Signature:

A handwritten signature in dark ink, appearing to read "JWB", is written over a horizontal line. Below the line, the text "Jeffrey W. Bullock, Secretary of State" is printed in a small font.

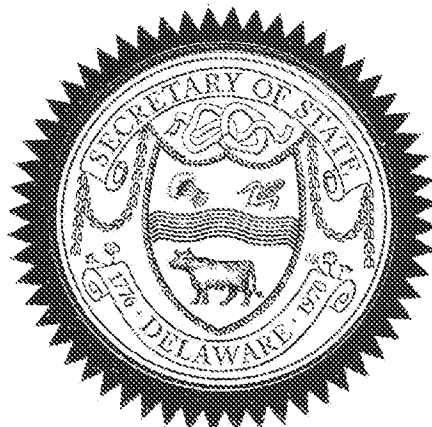
# Delaware

The First State

Page 1

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF MERGER, WHICH MERGES:

"OCCIDENTAL ENERGY VENTURES CORP.", A DELAWARE CORPORATION, WITH AND INTO "OCCIDENTAL ENERGY VENTURES LLC" UNDER THE NAME OF "OCCIDENTAL ENERGY VENTURES LLC", A LIMITED LIABILITY COMPANY ORGANIZED AND EXISTING UNDER THE LAWS OF THE STATE OF DELAWARE, AS RECEIVED AND FILED IN THIS OFFICE ON THE TENTH DAY OF SEPTEMBER, A.D. 2014, AT 12:35 O'CLOCK P.M.



A handwritten signature in black ink, appearing to read "JBullock", is written over a horizontal line. Below the line, the text "Jeffrey W. Bullock, Secretary of State" is printed in a small font.

5600560 8100M  
SR# 20187797507

You may verify this certificate online at [corp.delaware.gov/authver.shtml](http://corp.delaware.gov/authver.shtml)

Authentication: 203961019  
Date: 11-26-18

**PATENT**  
**REEL: 048176 FRAME: 0121**



**CERTIFICATE OF MERGER OF  
OCCIDENTAL ENERGY VENTURES CORP.  
WITH AND INTO  
OCCIDENTAL ENERGY VENTURES LLC**

September 10, 2014

Pursuant to Section 264 of the Delaware General Corporation Law (the "DGCL") and Section 18-209 of the Delaware Limited Liability Company Act (the "LLCA"), the undersigned submits the following Certificate of Merger adopted for filing and hereby certifies that:

**FIRST:** The name, type of entity and jurisdiction of incorporation of each of the constituent entities is as follows:

| Name of Entity                   | Type of Entity            | Jurisdiction of Incorporation |
|----------------------------------|---------------------------|-------------------------------|
| Occidental Energy Ventures LLC   | Limited Liability Company | Delaware                      |
| Occidental Energy Ventures Corp. | Corporation               | Delaware                      |

**SECOND:** An Agreement and Plan of Merger (the "Plan of Merger") providing for the merger (the "Merger") of Occidental Energy Ventures Corp., a Delaware corporation ("MergerCo"), with and into Occidental Energy Ventures LLC, a Delaware limited liability company ("OEV LLC"), has been approved, adopted, certified, executed and acknowledged in accordance with the provisions of the DGCL and LLCA by each of the constituent entities.

**THIRD:** OEV LLC shall be the surviving company after the Merger (the "Surviving Company"). The name of the Surviving Company is Occidental Energy Ventures LLC.

**FOURTH:** The Merger is to become effective upon filing of this certificate.

**FIFTH:** The Certificate of Formation of Occidental Energy Ventures LLC, as filed September 10, 2014 with the Secretary of State of the State of Delaware, shall be and will constitute the certificate of formation of the Surviving Company.

**SIXTH:** The executed Plan of Merger is on file at: 5 Greenway Plaza, Suite 110, Houston, Texas 77046, the principal place of business of the Surviving Company.

**SEVENTH:** A copy of the Plan of Merger will be furnished by the Surviving Company, upon request and without cost, to any shareholder of any constituent corporation or any member of any constituent limited liability company.

*[Signature page follows]*

IN WITNESS WHEREOF, OEV LLC has caused this Certificate of Merger to be signed by a duly authorized officer thereof, as of the date first written above.

OCCIDENTAL ENERGY VENTURES LLC

By: Nicole E. Clark

Name: Nicole E. Clark

Title: Vice President and Secretary

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