# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT5371228

| SUBMISSION TYPE:      | NEW ASSIGNMENT |
|-----------------------|----------------|
| NATURE OF CONVEYANCE: | ASSIGNMENT     |

#### **CONVEYING PARTY DATA**

| Name                  | Execution Date |
|-----------------------|----------------|
| ELISABET DE LOS PINOS | 03/21/2014     |
| RHONDA C. KINES       | 03/20/2014     |
| JOHN MACDOUGALL       | 03/22/2014     |

## **RECEIVING PARTY DATA**

| Name:           | AURA BIOSCIENCES, INC. |  |
|-----------------|------------------------|--|
| Street Address: | 85 BOLTON STREET       |  |
| City:           | CAMBRIDGE              |  |
| State/Country:  | MASSACHUSETTS          |  |
| Postal Code:    | 02140                  |  |

## **PROPERTY NUMBERS Total: 1**

| Property Type       | Number   |
|---------------------|----------|
| Application Number: | 16143147 |

## CORRESPONDENCE DATA

Fax Number: (617)646-8646

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 6176468000

Email: Patents HeatherD@wolfgreenfield.com

**HEATHER J. DIPIETRANTONIO Correspondent Name:** 

Address Line 1: **600 ATLANTIC AVENUE** 

Address Line 2: WOLF, GREENFIELD & SACKS P.C. Address Line 4: BOSTON, MASSACHUSETTS 02210

| ATTORNEY DOCKET NUMBER: | A0915.70012US02     |
|-------------------------|---------------------|
| NAME OF SUBMITTER:      | KATELYN R. FARMER   |
| SIGNATURE:              | /Katelyn R. Farmer/ |
| DATE SIGNED:            | 02/12/2019          |

#### **Total Attachments: 8**

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**PATENT REEL: 048305 FRAME: 0264** 505324447

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> PATENT REEL: 048305 FRAME: 0265

## **ASSIGNMENT**

For good and valuable consideration, the receipt of which is hereby acknowledged, I, the undersigned Assignor, hereby:

- Sell, assign and transfer to Aura Biosciences, Inc., a Delaware Corporation having a place of business at 85 Bolton Street, Cambridge, Massachusetts 02140, its successors, assigns and legal representatives, all hereinafter referred to as the Assignee, my entire right, title and interest for the United States and all foreign countries, in and to any and all inventions and designs which are disclosed in the provisional application filed in the United States Patent and Trademark Office on September 18, 2013 under No. 61/879,627, bearing Attorney Docket No. A0915.70012US00, and entitled VIRUS-LIKE PARTICLE CONJUGATES FOR DIAGNOSIS AND TREATMENT OF TUMORS, and in and to the application and all corresponding provisional, non-provisional, divisional, continuing, substitute, renewal, reissue and all other applications for Letters Patent, utility models, industrial designs or similar intellectual property rights which have been or shall be filed in the United States, internationally, and in any foreign country, including but not limited to China, Japan and Korea, on any of the inventions; and in and to all original and reissued patents which have been or shall be issued in the United States or any other jurisdiction on the inventions, including the right to apply for patent rights in each foreign country and all rights to priority, including the right to claim priority for China, Japan and Korea, as well as the right to sue in its own name and recover damages for past infringement of any United States Letters Patent and foreign patent;
- 2. Agree that the Assignee may apply for and receive Letters Patent and utility model and industrial design registrations for the inventions in its own name; and when requested, without charge to but at the expense of the Assignee, I agree to carry out in good faith the intent and purpose of this assignment, by executing all non-provisional, divisional, continuing, substitute, renewal, reissue, and all other patent, utility model and industrial design applications on any and all the inventions, by executing all rightful oaths, assignments, powers of attorney and other papers, by communicating to the Assignee all facts known to me relating to the inventions and the history thereof, and generally by doing everything reasonably possible which the Assignee shall consider desirable for aiding in securing and maintaining proper protection for the inventions and for vesting title to the inventions and all applications for patents and all patents on the inventions, in the Assignee;
- 3. Request the Director of the United States Patent and Trademark Office to issue the Letters Patent to the Assignee;
- 4. Authorize and request Wolf, Greenfield & Sacks, P.C. to supply any missing patent/application identification information or correct any errors in the patent/application identification information provided above, whether discovered prior to or after recordation;
- 5. Covenant with the Assignee that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by me and that full right to convey the same as herein expressed is possessed by me; and
- 6. Appoint Assignee, through its designee, my attorney-in-fact to execute, in my name and on my behalf, any and all documents required to effectuate this Assignment, specifically including, but not limited to, those documents specified above and any necessary corrective assignments.

This instrument is executed under seal and signed under the pains and penalties of perjury under the laws of the United States of America.

Attorney Docket No. A0915.70012US00

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| 3/21/2014   | - 244. j  |
|---|---|
| Date Inventor:  | Elisabet de los Pinos   |
| Address:  | 201 Freeman Street, A6  |
|   | Brookline, Massachusetts 02446  |
| Citizenship:  | Spain   |
|   |   |
| STATE/COMMONWEALTH OF Mass (11h 4) COUNTY OF Middlesex:  On this 2 of day of personally appeared Elisabet de los Pinos identification, which were                               | 4 before me, the undersigned notary public, , proved to me through satisfactory evidence of |
| to be the person who signed the preceding or attached of<br>to me that the contents of the document are truthful and<br>and belief and acknowledged to me that (he) (she) signe | accurate to the best of (his) (her) knowledge   |
| SEAL Notary Public  | HAOYANG JAMES LIU Notary Public   |

My commission expires:

Massachusetts

| Date  | Inventor:   | Rhonda C. Kines   |
|---|---|---|
|   | Address:  | 4510 Mahan Road   |
| : 47  |   | Silver Spring, Maryland 20906   |
|   | Citizenship:  | US  |
|   |   |   |
| STATE/COMMONWE/   | ALTH OF   |   |
| COUNTY OF   |   |   |
|   | <u> </u>  |   |
| ***************************************   | day of  | , before me, the undersigned notary public,   |
| On this personally appeared   | Rhonda C. Kines   | , before me, the undersigned notary public,<br>, proved to me through satisfactory evidence o   |
| On this personally appeared dentification, which we be the person who s   | Rhonda C. Kines  ere ligned the preceding or attached                                 | , proved to me through satisfactory evidence o  |
| On this personally appeared dentification, which we be the person who so me that the contents                             | Rhonda C. Kines ere ligned the preceding or attached of the document are truthful and | , proved to me through satisfactory evidence or document in my presence and swore or affirmed accurate to the best of (his) (her) knowledge |
| On this personally appeared dentification, which we be the person who so me that the contents                             | Rhonda C. Kines ere ligned the preceding or attached of the document are truthful and | , proved to me through satisfactory evidence o  |
| On this  personally appeared  dentification, which we to be the person who s to me that the contents                      | Rhonda C. Kines ere ligned the preceding or attached of the document are truthful and | , proved to me through satisfactory evidence or document in my presence and swore or affirmed accurate to the best of (his) (her) knowledge |
| On this personally appeared dentification, which we to be the person who see that the contents and belief and acknowless. | Rhonda C. Kines ere ligned the preceding or attached of the document are truthful and | , proved to me through satisfactory evidence or document in my presence and swore or affirmed accurate to the best of (his) (her) knowledge |

Attorney Docket No. A0915.70012US00

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Inventor: John MacDougall

Address: 46 Kimball Beligh Road

Hingham, Massachusetts 02043

Citizenship: Canada

| STATE/COMMONWEALTH OF MASSACHUSETTS  |
|--|
| COUNTY OF PLYMONTY   |
|  |
| On this $22^{m}$ day of $max m$ , $200$ , before me, the undersigned notary public,                    |
| personally appeared John MacDougall , proved to me through satisfactory evidence of                    |
| Identification, which were Musackeepts D. L.   |
| to be the person who signed the preceding or attached document in my presence and swore or affirmed    |
| to me that the contents of the document are truthful and accurate to the best of (his) (her) knowledge |
| and belief and acknowledged to me that (he) (she) signed it voluntarily for its stated purpose.        |

SEAL

My commission expires:



#### ASSIGNMENT

For good and valuable consideration, the receipt of which is hereby acknowledged, I, the undersigned Assignor, hereby:

- Sell, assign and transfer to Aura Biosciences, Inc., a Delaware Corporation having a place of business at 85 Bolton Street, Cambridge, Massachusetts 02140, its successors, assigns and legal representatives, all hereinafter referred to as the Assignee, my entire right, title and interest for the United States and all foreign countries, in and to any and all inventions and designs which are disclosed in the provisional application filed in the United States Patent and Trademark Office on September 18, 2013 under No. 61/879,627, bearing Attorney Docket No. A0915.70012US00, and entitled VIRUS-LIKE PARTICLE CONJUGATES FOR DIAGNOSIS AND TREATMENT OF TUMORS, and in and to the application and all corresponding provisional, non-provisional, divisional, continuing, substitute, renewal, reissue and all other applications for Letters Patent, utility models, industrial designs or similar intellectual property rights which have been or shall be filed in the United States, internationally, and in any foreign country, including but not limited to China, Japan and Korea, on any of the inventions; and in and to all original and reissued patents which have been or shall be issued in the United States or any other jurisdiction on the inventions, including the right to apply for patent rights in each foreign country and all rights to priority, including the right to claim priority for China, Japan and Korea, as well as the right to sue in its own name and recover damages for past infringement of any United States Letters Patent and foreign patent:
- 2. Agree that the Assignee may apply for and receive Letters Patent and utility model and industrial design registrations for the inventions in its own name; and when requested, without charge to but at the expense of the Assignee, I agree to carry out in good faith the intent and purpose of this assignment, by executing all non-provisional, divisional, continuing, substitute, renewal, reissue, and all other patent, utility model and industrial design applications on any and all the inventions, by executing all rightful oaths, assignments, powers of attorney and other papers, by communicating to the Assignee all facts known to me relating to the inventions and the history thereof, and generally by doing everything reasonably possible which the Assignee shall consider desirable for aiding in securing and maintaining proper protection for the inventions and for vesting title to the inventions and all applications for patents and all patents on the inventions, in the Assignee;
- 3. Request the Director of the United States Patent and Trademark Office to issue the Letters Patent to the Assignee;
- 4. Authorize and request Wolf, Greenfield & Sacks, P.C. to supply any missing patent/application identification information or correct any errors in the patent/application identification information provided above, whether discovered prior to or after recordation;
- 5. Covenant with the Assignee that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by me and that full right to convey the same as herein expressed is possessed by me; and
- 6. Appoint Assignee, through its designee, my attorney-in-fact to execute, in my name and on my behalf, any and all documents required to effectuate this Assignment, specifically including, but not limited to, those documents specified above and any necessary corrective assignments.

This instrument is executed under seal and signed under the pains and penalties of perjury under the laws of the United States of America.

Attorney Docket No. A0915.70012US00

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|   |                                   |  | 201 Freeman Street, A6  |
|---|-----------------------------------|--|---|
|   |                                   | Citizenship:                           | Brookline, Massachusetts 02446 Spain  |
|   |                                   |  |   |
|   |                                   |  |   |
| STATE/COMMONWEAR                                    | LTH OF                            |  |   |
| COUNTY OF   | 6776576                           | ************************************** |   |
| On this   | day of                            | <b>&gt;</b> .                          | , before me, the undersigned notary public,   |
| personally appeared                                 |                                   | de ios Pinos                           | , proved to me through satisfactory evidence of   |
| o be the person who sig<br>o me that the contents o | gned the preced<br>of the documer | nt are truthful and                    | document in my presence and swore or affirmed accurate to the best of (his) (her) knowledge ed it voluntarily for its stated purpose. |
| SEAL  |                                   |  |   |
|   | N                                 | otary Public                           | <del></del>   |
| My commission exp                                   | ires:                             |  |   |

Attorney Docket No. A0915.70012US00

Page 2 of 4

| 03/20/14   | Rhonda C Kuros                                  |
|--|---|
| Date / Inventor:   | Rhonda C. Kines                                 |
| Address:   | 4510 Mahan Road                                 |
|  | Silver Spring, Maryland 20906                   |
| Citizenship:   | US  |
|  |   |
|  |   |
|  |   |
|  |   |
| STATE/COMMONWEALTH OF NASYLAND                           |   |
| COUNTY OF MONTHONEXY                                     |   |
| On this 3rd day of Marcha . 201                          | 4 , before me, the undersigned notary public,   |
| personally appeared Rhonda C. Kines                      | , proved to me through satisfactory evidence of |
| identification, which were will produce the              | # 520737 11 C815                                |
| to be the person who signed the preceding or attached of | document in my presence and swore or affirmed   |
| to me that the contents of the document are truthful and |   |
| and belief and acknowledged to me that (he) (she) signed | ed it voluntarily for its stated purpose.       |
|  | mannana.  |
| SEAL A. I  | A SHIP TERS WOMEN                               |
| SEAL  One of Multiple Seal  Notary Public                | ule-1 1 John Coll 1                             |
| - Notary rubits  | COMMISSION E                                    |
| My commission expires: 06/19/26                          | 114 506/14/2018                                 |
|  |   |
|  |   |
|  | City/County of Say 18                           |
|  | State of Maryland                               |
|  | Subscribed and swom to before me                |
|  | by Rhendo C Kinel 2014                          |
|  | anel In I am                                    |
|  | My commission expires 06/19/2019                |

| Date                     | Inventor:                               | John MacDougall                                 |
|--------------------------|---|---|
|                          | Address:                                | 46 Kimball Beach Road                           |
|                          |   | Hingham, Massachusetts 02043                    |
|                          | Citizenship:                            | Canada  |
|                          |   |   |
|                          |   |   |
| STATE/COMMONWE           | ALTH OF                                 |   |
| COUNTY OF                |   |   |
| On this                  | day of                                  | , before me, the undersigned notary public,     |
| personally appeared      | John MacDougall                         | , proved to me through satisfactory evidence of |
| identification, which we | ere                                     |   |
| to be the person who s   | signed the preceding or attached        | document in my presence and swore or affirmed   |
|                          |   | accurate to the best of (his) (her) knowledge   |
| and belief and acknow    | ledged to me that (he) (she) sign       | ed it voluntarily for its stated purpose.       |
|                          |   |   |
| Zarican.                 |   |   |
| SEAL                     | 222233333333333333333333333333333333333 |   |
|                          | Notary Public                           |   |
| My commission ex         | . š.,                                   |   |
| ~                        | pires:                                  |   |

Attorney Docket No. A0915.70012US00

**RECORDED: 02/12/2019** 

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