

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT5373732

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
SHIVANG R. DAVE	06/29/2017
DARYL LIM	07/19/2017
NICHOLAS JAMES DURR	09/21/2017
RECEIVING PARTY DATA	
Name:	PLENOPTIKA, INC.
Street Address:	114 WESTERN AVENUE
Internal Address:	HARVARD INNOVATION LAUNCH LAB
City:	ALLSTON
State/Country:	MASSACHUSETTS
Postal Code:	02134
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	16309169
CORRESPONDENCE DATA	
Fax Number:	(978)341-0136
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	9783410036
Email:	Kristina.Fay@hbsr.com
Correspondent Name:	MARK B. SOLOMON
Address Line 1:	HAMILTON BROOK SMITH & REYNOLDS, P.C.
Address Line 2:	530 VIRGINIA ROAD, P.O. BOX 9133
Address Line 4:	CONCORD, MASSACHUSETTS 01742-9133
ATTORNEY DOCKET NUMBER:	5300.1000-002
NAME OF SUBMITTER:	KRISTINA FAY
SIGNATURE:	/KRISTINA FAY/
DATE SIGNED:	02/13/2019
Total Attachments: 9	
source=53001000002_Assignment#page1.tif	
source=53001000002_Assignment#page2.tif	

source=53001000002_Assignment#page3.tif
source=53001000002_Assignment#page4.tif
source=53001000002_Assignment#page5.tif
source=53001000002_Assignment#page6.tif
source=53001000002_Assignment#page7.tif
source=53001000002_Assignment#page8.tif
source=53001000002_Assignment#page9.tif

JointASSIGNMENT

WHEREAS, we, **Shivang R. Dave, Daryl Lim and Nicholas James Durr**, have invented a certain improvement in **Tunable-Lens-Based Refractive Examination** described in an application for Patent,

- ☐ the specification of which is about to be filed in the United States Patent Office (*use for utility (37 CFR § 1.53(b)) and design filings only*);
- ☐ is about to be filed in the United States Patent Office as a Provisional Application;
- ☐ the specification of which is United States Application No. [], filed [];
- ☒ the specification of which is a Patent Cooperation Treaty Application, International Application No. PCT/US2017/037257, filed June 13, 2017, which designates the United States of America;
- ☐ which was patented under United States Patent No. [].

WHEREAS, **PlenOptika, Inc.** (hereinafter "ASSIGNEE"), a corporation organized and existing under the laws of the **State of Delaware**, and having a usual place of business at **Harvard Innovation Launch Lab, 114 Western Avenue, Allston, Massachusetts, 02134**, desires to confirm its interest therein in accordance with agreements duly entered into with us;

NOW, THEREFORE, to all whom it may concern be it known that for and in consideration of said agreements and of other good and valuable consideration, the receipt of which is hereby acknowledged, we have sold, assigned and transferred and by these presents do hereby sell, assign and transfer unto said ASSIGNEE, its successors, assigns and legal representatives, the entire right, title and interest in and throughout the United States of America, its territories and all foreign countries, in and to said invention as described in said application, together with the entire right, title and interest in and to said application and such Letters Patent as may issue on said invention; said invention, application and Letters Patent to be held and enjoyed by said ASSIGNEE for its own use and behalf and for its successors, assigns and legal representatives, to the full end of the term for which said Letters Patent may be granted as fully and entirely as the same would have been held by us had this assignment and sale not been made; we hereby convey all rights arising under or pursuant to any and all international agreements, treaties or laws relating to the protection of industrial property by filing any such applications for Letters Patent. We hereby acknowledge that this assignment, being of the entire right, title and interest in and to said invention, carries with it the right in ASSIGNEE to apply for any and all related provisional and nonprovisional, international and national phase applications, including any and all substitution, reissue, divisional, continuation, and continuation-in-part applications, and obtain from competent authorities in all countries of the world any and all Letters Patent by attorneys and agents of ASSIGNEE's selection and the right to procure the grant of all such Letters Patent to ASSIGNEE for its own name as assignee of the entire right, title and interest therein; we hereby expressly authorize the filing of an International Patent Application under the Patent Cooperation Treaty which corresponds to and claims the priority of the above-identified application;

AND, we hereby further agree for ourselves and our executors and administrators to execute upon request any other lawful documents and likewise to perform any other lawful acts which may be deemed necessary to secure fully the aforesaid invention to said ASSIGNEE, its successors, assigns and legal representatives, but at its or their expense and charges, including the execution of applications for patents in foreign countries, and the execution of any future applications including substitution, reissue, divisional or continuation, continuation-in-part applications, and all related provisional and nonprovisional, international and national phase applications, and preliminary or other statements and the giving of testimony in any interference or other proceeding in which said invention or any application or patent directed thereto may be involved;

AND, we do hereby authorize and request each Patent Office and the Commissioner of Patents of the United States to issue such Letters Patent as shall be granted upon said invention to said ASSIGNEE, its successors, assigns, and legal representatives.

Inventor _____

Shivang R. Dave

Date _____

June 29, 2017

Witness Signature _____

Print Witness Name _____

Witness Signature _____

Print Witness Name _____

Inventor _____

Daryl Lim

Date _____

Witness Signature _____

Print Witness Name _____

Witness Signature _____

Print Witness Name _____

Inventor _____ Date _____

Nicholas James Durr

Witness Signature _____

Print Witness Name _____

Witness Signature _____

Print Witness Name _____

Joint

ASSIGNMENT

WHEREAS, we, **Shivang R. Dave, Daryl Lim and Nicholas James Durr**, have invented a certain improvement in **Tunable-Lens-Based Refractive Examination** described in an application for Patent,

- ☐ the specification of which is about to be filed in the United States Patent Office *(use for utility (37 CFR § 1.53(b)) and design filings only)*;
- ☐ is about to be filed in the United States Patent Office as a Provisional Application;
- ☐ the specification of which is United States Application No. [], filed [];
- ☒ the specification of which is a Patent Cooperation Treaty Application, International Application No. PCT/US2017/037257, filed June 13, 2017, which designates the United States of America;
- ☐ which was patented under United States Patent No. [].

WHEREAS, **PlenOptika, Inc.** (hereinafter "ASSIGNEE"), a corporation organized and existing under the laws of the **State of Delaware**, and having a usual place of business at **Harvard Innovation Launch Lab, 114 Western Avenue, Allston, Massachusetts, 02134**, desires to confirm its interest therein in accordance with agreements duly entered into with us;

NOW, THEREFORE, to all whom it may concern be it known that for and in consideration of said agreements and of other good and valuable consideration, the receipt of which is hereby acknowledged, we have sold, assigned and transferred and by these presents do hereby sell, assign and transfer unto said ASSIGNEE, its successors, assigns and legal representatives, the entire right, title and interest in and throughout the United States of America, its territories and all foreign countries, in and to said invention as described in said application, together with the entire right, title and interest in and to said application and such Letters Patent as may issue on said invention; said invention, application and Letters Patent to be held and enjoyed by said ASSIGNEE for its own use and behalf and for its successors, assigns and legal representatives, to the full end of the term for which said Letters Patent may be granted as fully and entirely as the same would have been held by us had this assignment and sale not been made; we hereby convey all rights arising under or pursuant to any and all international agreements, treaties or laws relating to the protection of industrial property by filing any such applications for Letters Patent. We hereby acknowledge that this assignment, being of the entire right, title and interest in and to said invention, carries with it the right in ASSIGNEE to apply for any and all related provisional and nonprovisional, international and national phase applications, including any and all substitution, reissue, divisional, continuation, and continuation-in-part applications, and obtain from competent authorities in all countries of the world any and all Letters Patent by attorneys and agents of ASSIGNEE's selection and the right to procure the grant of all such Letters Patent to ASSIGNEE for its own name as assignee of the entire right, title and interest therein; we hereby expressly authorize the filing of an International Patent Application under the Patent Cooperation Treaty which corresponds to and claims the priority of the above-identified application;

AND, we hereby further agree for ourselves and our executors and administrators to execute upon request any other lawful documents and likewise to perform any other lawful acts which may be deemed necessary to secure fully the aforesaid invention to said ASSIGNEE, its successors, assigns and legal representatives, but at its or their expense and charges, including the execution of applications for patents in foreign countries, and the execution of any future applications including substitution, reissue, divisional or continuation, continuation-in-part applications, and all related provisional and nonprovisional, international and national phase applications, and preliminary or other statements and the giving of testimony in any interference or other proceeding in which said invention or any application or patent directed thereto may be involved;

AND, we do hereby authorize and request each Patent Office and the Commissioner of Patents of the United States to issue such Letters Patent as shall be granted upon said invention to said ASSIGNEE, its successors, assigns, and legal representatives.

Inventor _____ Date _____
Shivang R. Dave

Witness Signature _____

Print Witness Name _____

Witness Signature _____

Print Witness Name _____

Inventor _____ Date 7/19/2017
Daryl Lim

Witness Signature _____

Print Witness Name TAN ZHI QUAN

Witness Signature _____

Print Witness Name YOUNG XIAOTIAN

Inventor _____ Date _____

Nicholas James Durr

Witness Signature _____

Print Witness Name _____

Witness Signature _____

Print Witness Name _____

Joint

ASSIGNMENT

WHEREAS, we, **Shivang R. Dave, Daryl Lim and Nicholas James Durr**, have invented a certain improvement in **Tunable-Lens-Based Refractive Examination** described in an application for Patent,

- ☐ the specification of which is about to be filed in the United States Patent Office *(use for utility (37 CFR § 1.53(b)) and design filings only)*;
- ☐ is about to be filed in the United States Patent Office as a Provisional Application;
- ☐ the specification of which is United States Application No. [], filed [];
- ☒ the specification of which is a Patent Cooperation Treaty Application, International Application No. PCT/US2017/037257, filed June 13, 2017, which designates the United States of America;
- ☐ which was patented under United States Patent No. [].

WHEREAS, **PlenOptika, Inc.** (hereinafter "ASSIGNEE"), a corporation organized and existing under the laws of the **State of Delaware**, and having a usual place of business at **Harvard Innovation Launch Lab, 114 Western Avenue, Allston, Massachusetts, 02134**, desires to confirm its interest therein in accordance with agreements duly entered into with us;

NOW, THEREFORE, to all whom it may concern be it known that for and in consideration of said agreements and of other good and valuable consideration, the receipt of which is hereby acknowledged, we have sold, assigned and transferred and by these presents do hereby sell, assign and transfer unto said ASSIGNEE, its successors, assigns and legal representatives, the entire right, title and interest in and throughout the United States of America, its territories and all foreign countries, in and to said invention as described in said application, together with the entire right, title and interest in and to said application and such Letters Patent as may issue on said invention; said invention, application and Letters Patent to be held and enjoyed by said ASSIGNEE for its own use and behalf and for its successors, assigns and legal representatives, to the full end of the term for which said Letters Patent may be granted as fully and entirely as the same would have been held by us had this assignment and sale not been made; we hereby convey all rights arising under or pursuant to any and all international agreements, treaties or laws relating to the protection of industrial property by filing any such applications for Letters Patent. We hereby acknowledge that this assignment, being of the entire right, title and interest in and to said invention, carries with it the right in ASSIGNEE to apply for any and all related provisional and nonprovisional, international and national phase applications, including any and all substitution, reissue, divisional, continuation, and continuation-in-part applications, and obtain from competent authorities in all countries of the world any and all Letters Patent by attorneys and agents of ASSIGNEE's selection and the right to procure the grant of all such Letters Patent to ASSIGNEE for its own name as assignee of the entire right, title and interest therein; we hereby expressly authorize the filing of an International Patent Application under the Patent Cooperation Treaty which corresponds to and claims the priority of the above-identified application;

AND, we hereby further agree for ourselves and our executors and administrators to execute upon request any other lawful documents and likewise to perform any other lawful acts which may be deemed necessary to secure fully the aforesaid invention to said ASSIGNEE, its successors, assigns and legal representatives, but at its or their expense and charges, including the execution of applications for patents in foreign countries, and the execution of any future applications including substitution, reissue, divisional or continuation, continuation-in-part applications, and all related provisional and nonprovisional, international and national phase applications, and preliminary or other statements and the giving of testimony in any interference or other proceeding in which said invention or any application or patent directed thereto may be involved;

AND, we do hereby authorize and request each Patent Office and the Commissioner of Patents of the United States to issue such Letters Patent as shall be granted upon said invention to said ASSIGNEE, its successors, assigns, and legal representatives.

Inventor _____ Date _____

Shivang R. Dave

Witness Signature _____

Print Witness Name _____

Witness Signature _____

Print Witness Name _____

Inventor _____ Date _____

Daryl Lim

Witness Signature _____

Print Witness Name _____

Witness Signature _____

Print Witness Name _____

Inventor Nicholas Durr Date 9/21/17
Nicholas James Durr

Witness Signature _____

Print Witness Name AMIR MANBACHI

Witness Signature Kourtney Russell

Print Witness Name Kourtney Russell