

02/05/2019



103680200

02-05-18

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

Zhenjun DIWU, Ruogu PENG, Haitao GUO, and
Zhen LUO

2. Name and address of receiving party(ies)

Name: AAT Bioquest, Inc.

Internal Address: _____

Additional name(s) of conveying party(ies) attached? Yes No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) 01/28/19 and 01/24/19

- Assignment Merger
- Security Agreement Change of Name
- Joint Research Agreement
- Government Interest Assignment
- Executive Order 9424, Confirmatory License
- Other _____

Street Address: 520 Mercury Drive

City: Sunnyvale

State: CA

Country: US Zip: 94085

Additional name(s) & address(es) attached? Yes No

4. Application or patent number(s):

This document serves as an Oath/Declaration (37 CFR 1.63).

A. Patent Application No.(s)

15/998,019

B. Patent No.(s)

Additional numbers attached? Yes No

5. Name and address to whom correspondence concerning document should be mailed:

Name: McKinney Law Group APC

Internal Address: Jeffrey A. McKinney, J.D., Ph.D.

Street Address: 500 Stone Pine Road, #643

City: Half Moon Bay

State: CA Zip: 94019

Phone Number: (650) 245-6723

Docket Number: _____

Email Address: jeffrey@mckinneylawgroup.com

6. Total number of applications and patents involved: one (1)

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 50.00 *(paid)*

- Authorized to be charged to deposit account
- Enclosed
- None required (government interest not affecting title)

8. Payment Information

Deposit Account Number _____

Authorized UserName _____

9. Signature:

Signature

2/1/2019

Date

Jeffrey A. McKinney, J.D., Ph.D.

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

4

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

ASSIGNMENT

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S)

Name: ZHENJUN DIWU
Address: 855 Cascade Drive
Sunnyvale, CA 94087
Nationality: US Citizen

and

Name: RUOGU PENG
Address: 5908 Southridge Court
San Jose, CA 95138
Nationality: Chinese Citizen

and

Name: HAITAO GUO
Address: 867 S. Mary Avenue
Sunnyvale, CA 94087
Nationality: Chinese Citizen

and

Name: ZHEN LUO
Address: 167 Oakview Drive
San Carlos, CA 94070
Nationality: Chinese Citizen

hereby sell(s), assign(s) and transfer(s) to ASSIGNEE:

Name: AAT BIOQUEST, INC.
Address: 520 Mercury Drive
Sunnyvale, CA 94085

and the successors, assigns and legal representatives of the ASSIGNEE, the entire right, title and interest for the United States and its territorial possessions and in all foreign countries, including

///

///

///

all rights to claim priority, in and to any and all improvements which are disclosed in the invention entitled:

POLYCYCLIC PHOSPHORAMIDITES AND THEIR APPLICATIONS

and which is found in:

- (a) [] U.S. provisional patent application filed herewith and listing the above named person(s) as inventor(s)
- (b) [] U.S. patent application filed herewith listing the above named person(s) as inventor(s)
- (c) [] U.S. provisional patent application serial no. _____, filed on _____
- (d) [x] U.S. patent application serial no. 15/998,019, filed on 06/12/2018

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension or substitute thereof, and any reissued, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNORS(S) hereby covenant(s) that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenant(s) that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and assessable to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known;

ASSIGNOR(S) request(s) the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE;

///

///

And ASSIGNOR(S) request(s) and hereby authorize their attorney(s) of record in this application to insert the execution date, serial number and filing date of this application in this Assignment.

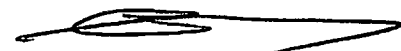
Date: 1/28/2019

Inventor: 
ZHENJUN DIWU

Date: 1/28/2019

Inventor: 
RUOGU PENG

Date: 1/28/2019

Inventor: 
HAITAO GUO

Date: 1/24/2019

Inventor: 
ZHEN LUO