

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT5397733

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
ROBERT A. KAUCIC	08/17/2015
DAVID E. JAMES	08/24/2015
HERMAN LUCAS NORBERT WIEGMAN	08/17/2015
HULLAS SEHGAL	08/17/2015
RECEIVING PARTY DATA	
Name:	GENERAL ELECTRIC COMPANY
Street Address:	1 RIVER ROAD
City:	SCHENECTADY
State/Country:	NEW YORK
Postal Code:	12345
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	16288347
CORRESPONDENCE DATA	
Fax Number:	(864)233-7342
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	864-271-1592
Email:	usdocketing@dority-manning.com,tsomers@dority-manning.com
Correspondent Name:	DORITY & MANNING, PA AND GENERAL ELECTRI
Address Line 1:	POST OFFICE BOX 1449
Address Line 4:	GREENVILLE, SOUTH CAROLINA 29602
ATTORNEY DOCKET NUMBER:	277340-US-2/GECS-149-CON
NAME OF SUBMITTER:	EDWARD H. KIESSLING, IV
SIGNATURE:	/edward h. kiessler, iv 65,257/
DATE SIGNED:	02/28/2019
Total Attachments: 6	
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COMBINED DECLARATION AND GLOBAL ASSIGNMENT
FOR UTILITY OR DESIGN PATENT APPLICATION

As the below named inventor(s), I/we hereby declare that:

This declaration and assignment are directed to:

CONTROLLING A DISPATCH OPERATION OF AN ENERGY STORAGE SYSTEM

- ☐ the specification of which is attached hereto OR
- ☒ United States application or PCT international application number
14/833,498 filed on August 24, 2015, as
amended on [date] (if applicable).
(We hereby authorize and request the Company or its delegated attorneys or agents to
insert above the application number and filing date of the application when known.)

The above-identified application was made or authorized to be made by us.

We believe that we are original joint inventors of a claimed invention in the application.

We hereby acknowledge that any willful false statement made in this declaration is punishable
under 18 USC § 1001 by fine or imprisonment of not more than five (5) years, or both.

We have reviewed and understand the contents of the above-identified application,
including the claims, as amended by any amendment referred to above.

We acknowledge the duty to disclose information which is material to the patentability of this
application as defined in 37 CFR § 1.56, including, for continuation-in-part applications, material
information which became available between the filing date of the prior application and the filing
date of the continuation-in-part application.

In accordance with our obligation(s) under an Employee Innovation and Proprietary Information
Agreement or (as applicable) arising out of other agreements (such as, but not limited to, the
Services Agreement between General Electric Company, a New York corporation, and our
employers), and/or for other good and valuable consideration of which we acknowledge receipt,
I/we,

Inventor First Name	Inventor Middle Name	Inventor Last Name		Residence Town	Residence State	Residence Country
Robert	A.	Kaucic	of	Niskayuna	New York	USA
David	E.	James	of	Clifton Park	New York	USA
Herman	Lucas Norbert	Wiegman	of	Niskayuna	New York	USA
Hullas		Sehgal	of	Niskayuna	New York	USA

hereby sell and assign to:

Name of Company: <i>General Electric Company</i>
Entity Type (optional): <i>New York corporation</i>
Address: <i>1 River Road, Schenectady, New York 12345 USA</i>

herein referred to as "Company", its successors and assigns our entire respective rights, titles and interests in and to the invention and improvements made or conceived by us described in the application(s) listed above and in the following table:

Country of Filing	Type of Filing	Application Number	Filing Date	Title

(We hereby authorize and request the Company or its delegated attorneys or agents to insert above the application number and filing date of the application(s) when known.)

and any and all applications for patent and patents therefrom in any and all countries, including all divisions, continuations, continuations-in-part, reexaminations, renewals, and reissues thereof, and all rights of priority resulting from the filing of the application(s), and authorize and request any official whose duty it is to issue patents, to issue any patent on the inventions and improvements resulting therefrom to the Company, or its successors or assigns, and agree that on request and without further consideration, we will communicate to the Company or its representatives or nominees any facts known to us respecting the inventions and improvements and testify in any legal proceeding, make all rightful oaths, sign all lawful papers and execute all instruments or documents required or requested for the making and prosecution of any applications of any type for patent, utility model, or other similar rights in all countries including, but not limited to, any provisional, non-provisional, continuation, continuation-in-part, divisional, renewal or substitute thereof, for any derivation proceedings relating thereto, and, as to any patents that issue from such applications, for any supplemental examination, derivation proceeding, opposition, post grant review, reissue, re-examination, inter partes review, or extension thereof, and generally do everything possible to aid the Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for the invention and its improvements in all countries.

Remuneration claims of the inventor(s)/assignor(s) potentially arising from German Law on Employee Inventions, or any other respective country law, remain unaffected by this assignment.

INVENTOR 1

Signature: [Signature]
Robert A. Kaucic

Date: 17 Aug 2015

Witnessed by: [Signature]
Signature

Date: 17 Aug 2015

Joshua Nephew
Printed Name of Witness

Witnessed by: [Signature]
Signature

Date: 17 Aug 2015

John Mihok
Printed Name of Witness

SECTION BELOW IS FOR USE ONLY IF SIGNING IN PRESENCE OF A NOTARY

Robert A. Kaucic

Date: _____

STATE OF

ss.

COUNTY OF

This _____ day of _____, _____ before me personally came the above-named Robert A. Kaucic, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

(Notary Public)

INVENTOR 2

Signature: [Signature]
David E. James

Date: 8-24-2015

Witnessed by: [Signature]
Signature

Date: 8/24/2015

Mark Grotabed
Printed Name of Witness

Witnessed by: [Signature]
Signature

Date: 8/24/2015

Charles C. Hicks Jr.
Printed Name of Witness

SECTION BELOW IS FOR USE ONLY IF SIGNING IN PRESENCE OF A NOTARY

David E. James Date: _____

STATE OF

ss.

COUNTY OF

This _____ day of _____, _____ before me personally came the above-named David E. James, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

(Notary Public)

INVENTOR 3

Signature: Herman Lucas Norbert Wiegman Date: Aug 17, 2015
Herman Lucas Norbert Wiegman

Witnessed by: Naresh Acharya Date: 08/17/2015
Signature

NARESH ACHARYA
Printed Name of Witness

Witnessed by: Chaitanya Baone Date: 08/17/2015
Signature

CHAITANYA BAONE
Printed Name of Witness

SECTION BELOW IS FOR USE ONLY IF SIGNING IN PRESENCE OF A NOTARY

Herman Lucas Norbert Wiegman Date: _____

STATE OF

ss.

COUNTY OF

This _____ day of _____, _____ before me personally came the above-named Herman Lucas Norbert Wiegman, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

(Notary Public)

INVENTOR 4

Signature: Hullas Sehgal
Hullas Sehgal

Date: Aug 17, 2015

Witnessed by: Santosh K. Gupta
Signature

Date: Aug 17, 2015

SANTOSH K. GUPTA
Printed Name of Witness

Witnessed by: V. R. R. V.
Signature

Date: Aug 17, 2015

SREE RANA RAO VETUKURI
Printed Name of Witness

SECTION BELOW IS FOR USE ONLY IF SIGNING IN PRESENCE OF A NOTARY

Hullas Sehgal Date: _____

STATE OF

SS.

COUNTY OF

This _____ day of _____, _____ before me personally came the above-named Hullas Sehgal, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

(Notary Public)