

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
 Stylesheet Version v1.2

EPAS ID: PAT5400503

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	CHANGE OF NAME
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
SINOASIS PHARMACEUTICALS, INC.	08/08/2011
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	BIO-THERA SOLUTIONS, LTD.
<b>Street Address:</b>	FLOOR 5, BUILDING A6, SCIENCE ENTERPRISE ACCELERATOR
<b>Internal Address:</b>	11 KAIYUAN AVENUE, SCIENCE CITY, HUANGPU DISTRICT
<b>City:</b>	GUANGZHOU, GUANGDONG PROVINCE
<b>State/Country:</b>	CHINA
<b>Postal Code:</b>	510530
<b>PROPERTY NUMBERS Total: 2</b>	
<b>Property Type</b>	<b>Number</b>
<b>Patent Number:</b>	7498410
<b>Patent Number:</b>	7179595
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	(650)815-2601
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
<b>Phone:</b>	6508152600
<b>Email:</b>	abaker@sheppardmullin.com, svipdocketing@sheppardmullin.com
<b>Correspondent Name:</b>	SHEPPARD MULLIN RICHTER & HAMPTON LLP
<b>Address Line 1:</b>	379 LYTTON AVENUE
<b>Address Line 2:</b>	ALEX Y. NIE
<b>Address Line 4:</b>	PALO ALTO, CALIFORNIA 94301
<b>ATTORNEY DOCKET NUMBER:</b>	63CP-276111
<b>NAME OF SUBMITTER:</b>	ALEX Y. NIE
<b>SIGNATURE:</b>	/Alex Y. Nie/
<b>DATE SIGNED:</b>	03/01/2019
<b>Total Attachments: 8</b>	
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I, HUANG, Huizhi, hereby certify that I translated the attached document from Chinese into English and that, to the best of my ability, it is a true and correct translation. I further certify that I am competent in both Chinese and English to render and certify such translation.

HUANG, Huizhi  
Name Name

6-12-2018  
Date

Guangzhou Economic & Technological Development District  
Guangzhou High-tech Industrial Development Zone  
Guangzhou Export Processing Zone  
Guangzhou Bonded Areas

Management Committee

Enterprise Services Office of Management Committee of Guangzhou Development District  
[2011] No. 625

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Approval of the equity transfer of the joint venture Sinoasis Pharmaceuticals, Inc.

Guangzhou Industrial Development Group co., Ltd.

The request for the equity transfer of Sinoasis Pharmaceuticals, Inc. and the materials about that were received. After study, the approval is as follows.

1. We agree HuMab Solutions, Inc., registered in U.S.A., is to assign 30 percentage of equity interests and the rights of Sinoasis Pharmaceuticals, Inc. (herein referred to as "Company") to THERABIO INTERNATIONAL LIMITED (herein referred to as "Party C"), registered in British Virgin Islands. And HuMab Solutions, Inc. is to assign 10 percentage of equity interests and the rights of Company to SHARP CENTRAL LIMITED (herein referred to as "Party D"), registered in British Virgin Islands. Party C and Party D shall perform its investment obligation in stipulated capital period based on articles of incorporation.

After equity transfer, the capital contributions of Hedy Holding Co., Ltd (herein referred to as "Party A") is 1.8 million dollars, accounting for 18% registered capital of Company. The capital contributions of Guangzhou Hedy Information Industry Co., Lid (herein referred to as "Party B") is 4.2 million dollars, accounting for 42% registered capital of Company. The capital contributions of THERABIO INTERNATIONAL LIMITED is 3 million dollars, accounting for 30% registered capital of Company. The capital contributions of SHARP CENTRAL LIMITED is 1 million dollars, accounting for 10% registered capital of Company.

2. We agree the English name of Company has been changed to Bio-Thera Solutions, Ltd.

3. We agree the legal address of Company has been changed to A6-5fl., 11 Kaiyuan Rd, Science City, High-tech Industrial Development Zone, Guangzhou.

4. We agree the Equity Transfer Agreement jointly signed by the above parties to the equity transfer in 8 August, 2011.

5. We agree the amendment clauses of articles and contract signed by the parties to the investment in 8 August, 2011.

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The rest shall be executed according to previous approvals.

After the receipt of this approval, please go to the economic development bureau of our management committee to handle the procedures of renewal of Certificate of Approval for establishment of enterprises with foreign investment.

In response.

Attachment: 1. Board resolution

2. Articles

3. List of board members

4. Equity transfer agreement

5. Other relevant information

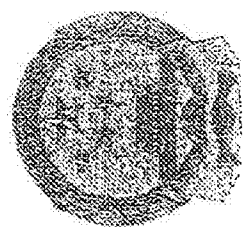
(The attachments are sent to relevant units)

13 September, 2011

NO 045381E

企业名称 NAME OF ENTERPRISE	中文 CHINESE 百源泰生物科技(广州)有限公司 SINGHAI'S PHARMACEUTICALS, Ltd.	英文名称 ENGLISH	地址 ADDRESS Room 110, Zone A, International Business Incubator, High-tech Industrial Development Zone, Guangzhou 广州高新技术产业开发区国际企业孵化器A区110栋	企业类型 TYPE OF BUSINESS Sino-Foreign Joint Venture 中外合资企业	经营范围 SCOPE 研究、开发生物工程与生物医学工程技术、提供抗肿瘤支持及咨询服务。 Research and development of bioengineering and biomedical engineering technology, new anticancer drugs and new cardiovascular drugs, and to provide technical support and advisory services	投资总额 TOTAL INVESTMENT Fourteen Million Dollars 壹仟肆佰万美元	注册资本 REGISTERED CAPITAL Ten Million Dollars 壹仟万美元	营业期限 DURATION OF OPERATION Twenty Years 贰拾年	投资者名称(中、英文) NAME OF INVESTORS ON CHINESE AND ENGLISH	注册地 PLACE OF REGISTRATION	出资额 CAPITAL CONTRIBUTION
Party A: 甲方: 百源泰生物医药有限公司 Hualab Sotuzhuzhu, Inc.			Party B: Healy Holding Co., Ltd 丙方: 广州七喜资讯产业有限公司 Party C: Guangzhou Healy Information Industry Co., Ltd			美国 America 中国 China 中国 China			出资400万美元 Capital \$4,000,000 出资相当于100 万人民币的人民 币 Capital \$1,800,000 出资相当于420 万人民币的人民 币 Capital \$4,200,000		

副本1  
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中华人民共和国外商投资企业

批准证书

CERTIFICATE OF APPROVAL

FOR ESTABLISHMENT OF ENTERPRISES WITH FOREIGN INVESTMENT IN THE PEOPLE'S REPUBLIC OF CHINA

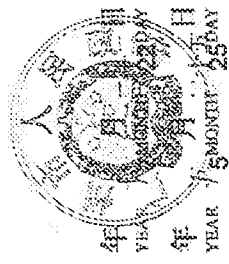
批准号  
APPROVAL NUMBER  
4401751954446

进出口企业代码  
CODE FOR IMPORT AND EXPORT ENTERPRISE  
2003

批准日期  
DATE OF APPROVAL  
2010

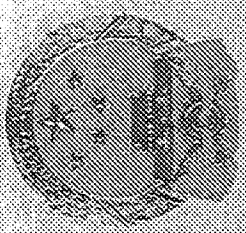
发证日期  
DATE OF ISSUE  
2010

发证序号  
NUMBER OF ISSUE  
4401032750



Nº 0324733

企业名称 NAME OF ENTERPRISE	中文 CHINESE	百奥德生德科核 (广州) 有限公司	66
企业地址 ADDRESS	英文 ENGLISH	Bio-Pharma Information (Guangzhou)	
企业类型 TYPE OF BUSINESS	中外合办企业 Sino-Foreign Joint Venture		经营年限 DURATION OF OPERATION 二十年 Twenty Years
投资总额 TOTAL INVESTMENT	壹仟肆佰万美金 Fourteen Million Dollars		
注册资本 REGISTERED CAPITAL	壹仟万美金 Ten Million Dollars		
经营范围 BUSINESS SCOPE	研发、开发生物工程和生物医学工程技术和, 新组织细胞药物及生物材料等医药产品, 细胞移植技术及相关咨询服务。 Research and development of bioengineering and biomedical engineering technology, new anticancer drugs and new cardiovascular drugs, and to provide technical support and advisory services.		
投资者名称 (中、英文) NAME OF INVESTORS (IN CHINESE AND ENGLISH)	注册地 PLACE OF REGISTRATION	出资额 CAPITAL CONTRIBUTION	
甲方: 七嘉福控股股份有限公司 Party A: Hedy Holding Co., Ltd	中国 China	出资额: 100 人民币 Capital \$1,800,000	
乙方: 广东德生德科核产业有限公司 Party B: Guangzhou Hedy Information Industry Co., Ltd	中国 China	出资额: 420 万人民币 Capital \$4,200,000	
丙方: WANGANG INFORMATION LIMITED Party C	英属维尔京群岛 British Virgin Islands	出资额: 300 万美元 Capital \$3,000,000	
丁方: WANGANG CENTRAL LIMITED Party D	英属维尔京群岛 British Virgin Islands	出资额: 100 万美元 Capital \$1,000,000	



# 中华人民共和国外商投资企业 批准证书

## CERTIFICATE OF APPROVAL

FOR ESTABLISHMENT OF ENTERPRISES WITH FOREIGN INVESTMENT IN THE PEOPLE'S REPUBLIC OF CHINA

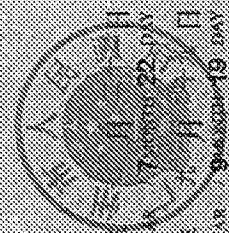
批准号: 商外资 粤才办外字 [2003] 10027号

进出口企业代码: 4401751254448

批准日期: 2003年11月19日

发证日期: 2011年11月19日

发证序号: 4401007265



副本 1  
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广州经济技术开发区  
广州高新技术产业开发区  
广州出口加工区  
广州保税区

# 管理委员会

穗开管企〔2011〕625号

## 关于合资企业百奥泰生物科技（广州） 有限公司股权转让等事宜的批复

广州开发区工业发展集团有限公司：

你公司关于百奥泰生物科技（广州）有限公司股权转让等事宜的请示及相关材料收悉。经研究，批复如下：

一、同意在美国注册的 HuMab Solutions, Inc.（惠博生物医药有限公司）将其占百奥泰生物科技（广州）有限公司（下称“公司”）30%的股权及其权利义务转让给在英属维尔京群岛注册的 THERABIO INTERNATIONAL LIMITED（下称“丙方”）；将其占公司 10%的股权及其权利义务转让给在英属维尔京群岛注册的 SHARP CENTRAL LIMITED（下称“丁方”）。丙方和丁方按照公司



14  
章程规定的出资期限履行出资义务。

股权转让后，七喜控股股份有限公司（下称“甲方”）出资额为 180 万美元，占公司注册资本的 18%；广州七喜资讯产业有限公司（下称“乙方”）出资额为 420 万美元，占公司注册资本的 42%；THERABIO INTERNATIONAL LIMITED 出资额为 300 万美元，占公司注册资本的 30%；SHARP CENTRAL LIMITED 出资额为 100 万美元，占公司注册资本的 10%。

二、同意公司英文名称变更为：Bio-Thera Solutions, Ltd.。

三、同意公司法定地址变更为：广州高新技术产业开发区科学城开源大道 11 号 A6 栋第五层。

四、同意上述股权转让各方于 2011 年 8 月 8 日共同签署的股权转让协议。

五、同意投资各方于 2011 年 8 月 8 日签署的章程修改条款和合同修改条款。

其余仍按历次批复执行。

接文后，请到我委经济发展局办理外商投资企业批准证书换发手续，并于之后 30 日内持批复文件到相关管理部门办理变更手续。

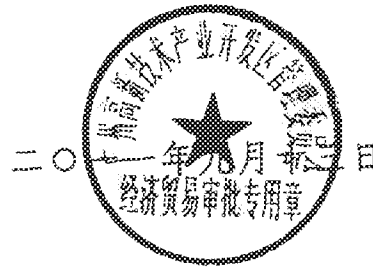
此复。

附件：一、董事会决议

二、章程

- 三、董事会成员名单  
四、股权转让协议  
五、其他相关资料  
(附件发有关单位)

10



**主题词：企业 变更 批复**

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抄送：市工商局、市工商局开发区分局、驻区内各金融机构。  
发：经济发展和科技局、规划局（国土局）、建设和环境管理局、人才中心、质量技术监督局、劳动就业服务管理中心。

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广州经济技术开发区  
广州高新技术产业开发区 管委会办公室秘书督办处  
广州出口加工区  
广州保税区

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校对入：肖莹阅

2011年9月16日印发