

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
 Stylesheet Version v1.2

EPAS ID: PAT5403513

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
KAREN DENISE HENDRIX	07/11/2013
RICHARD A. BRADLEY JR.	07/11/2013
MARIUS GRIGONIS	07/12/2013
GEORG J. OCKENFUSS	05/13/2015
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	JDS UNIPHASE CORPORATION
<b>Street Address:</b>	430 N. MCCARTHY BLVD
<b>City:</b>	MILPITAS
<b>State/Country:</b>	CALIFORNIA
<b>Postal Code:</b>	95035
<b>PROPERTY NUMBERS Total: 4</b>	
<b>Property Type</b>	<b>Number</b>
Application Number:	15099180
Application Number:	15158191
Application Number:	15617654
Application Number:	16290612
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
<b>Phone:</b>	5714320800
<b>Email:</b>	sstesney@harrityllp.com
<b>Correspondent Name:</b>	HARRITY & HARRITY, LLP
<b>Address Line 1:</b>	11350 RANDOM HILLS ROAD
<b>Address Line 2:</b>	SUITE 600
<b>Address Line 4:</b>	FAIRFAX, VIRGINIA 22030
<b>ATTORNEY DOCKET NUMBER:</b>	0099-0119C4
<b>NAME OF SUBMITTER:</b>	JACODIE CATO
<b>SIGNATURE:</b>	/JACODIE CATO/
<b>DATE SIGNED:</b>	03/04/2019

PATENT

**Total Attachments: 5**

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File No: 18-349 US

ASSIGNMENT OF INVENTION  
UNIVERSAL

WHEREAS, I/we **Karen Denise HENDRIX; Richard A. BRADLEY, Jr.; and Marius GRIGONIS**, whose full post office address(es) is/are **419 Countryside Circle, Santa Rosa, California, 95401, U.S.A.; 1436 Lawrence Way, Santa Rosa, California, 95401, U.S.A.; and 2060 Leonard Ave., Santa Rosa, California, 95405, U.S.A.**, respectively, have made an invention as described in the patent application entitled

**OPTICAL FILTER AND SENSOR SYSTEM**

filed herewith.

I/we hereby authorize my/our attorney to insert here in parentheses (Application Number \_\_\_\_\_, filed \_\_\_\_\_), the filing date and application number of said application, when known.

WHEREAS, **JDS Uniphase Corporation** (hereinafter referred to as the assignee), whose full post office address is **430 N. McCarthy Boulevard, Milpitas, California, 95035, USA** is desirous of acquiring the entire right, title and interest in and to said invention or inventions and in and to any and all patents to be obtained therefor;

NOW, THEREFORE, To All Whom It May Concern, be it known that for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, I/we have and by these presents do hereby sell, assign and transfer unto said assignee, its successors and assignees, the entire right, title and interest in and to said invention or inventions, as described in the aforesaid application, in any form or embodiment thereof, and in and to the aforesaid application, and in and to any applications filed in any foreign country based thereon, including the right to file said foreign applications under the provisions of the International Convention; also the entire right, title and interest in and to any and all patents, reissues or extensions thereof to be obtained in this or any foreign country upon said invention or inventions, and any divisional, continuation, substitute application(s) or supplementary disclosure(s) which may be filed upon said invention or inventions, in any country; and I/we hereby authorize and request the issuing authority to issue any and all patents on said application or applications to said assignee.

I/We hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that wilful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such wilful false statements may jeopardize the validity of the application or any patent issued thereon.

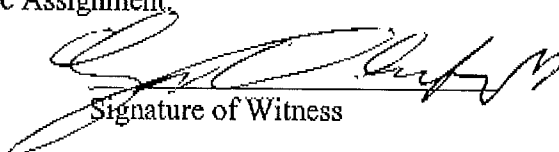
Executed this 11 day of July, 2013.  
at Santa Rosa, CA  
(place)

  
Karen Denise HENDRIX

Declaration by Witness

I, Georg Ockenfuss, whose full post office address is  
912 SE. Helena Ave, Santa Rosa, CA 95404 say:

That I was personally present and did see Karen Denise HENDRIX, who is personally known to me, duly sign and execute the above Assignment.

  
Signature of Witness

Executed this 11<sup>th</sup> day of July, 2013.  
at SANTA ROSA CA  
(place)

  
Richard A. BRADLEY, Jr.

Declaration by Witness

I, Liz Fernandez, whose full post office address is  
3694 Rocky Knoll Way SE 95404 say:

That I was personally present and did see Richard A. BRADLEY, Jr., who is personally known to me, duly sign and execute the above Assignment.

  
Signature of Witness

Executed this 12 day of July, 2013.  
at SANTA ROSA, CA  
(place)

  
\_\_\_\_\_  
Marius GRIGONIS

**Declaration by Witness**

I, Kazuaki Takano, whose full post office address is  
1916 Sansome Dr. Santa Rosa, CA say:  
95403

That I was personally present and did see Marius GRIGONIS, who is personally known to me, duly sign and execute the above Assignment.

Kazuaki Takano  
\_\_\_\_\_  
Signature of Witness

**ASSIGNMENT OF INVENTION  
UNIVERSAL**

WHEREAS, I/we, **Georg J. OCKENFUSS**, whose full post office address(es) is/are **917 Saint Helena Avenue, Santa Rosa, California, 95404, U.S.A.**, respectively, have made an invention as described in the patent application entitled

**OPTICAL FILTER AND SENSOR SYSTEM**

filed herewith.

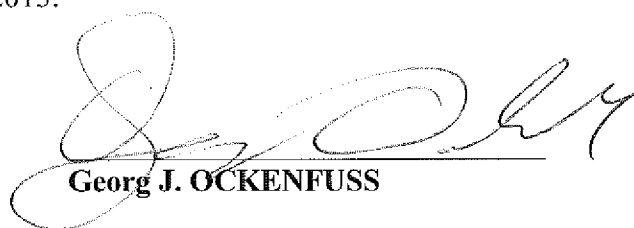
I/we hereby authorize my/our attorney to insert here in parentheses (Application Number 13/943,596, filed July 16, 2013), the filing date and application number of said application, when known.

WHEREAS, **JDS Uniphase Corporation** (hereinafter referred to as the assignee), whose full post office address is **430 N. McCarthy Boulevard, Milpitas, California, 95035, USA** is desirous of acquiring the entire right, title and interest in and to said invention or inventions and in and to any and all patents to be obtained therefor;

NOW, THEREFORE, To All Whom It May Concern, be it known that for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, I/we have and by these presents do hereby sell, assign and transfer unto said assignee, its successors and assignees, the entire right, title and interest in and to said invention or inventions, as described in the aforesaid application, in any form or embodiment thereof, and in and to the aforesaid application, and in and to any applications filed in any foreign country based thereon, including the right to file said foreign applications under the provisions of the International Convention; also the entire right, title and interest in and to any and all patents, reissues or extensions thereof to be obtained in this or any foreign country upon said invention or inventions, and any divisional, continuation, substitute application(s) or supplementary disclosure(s) which may be filed upon said invention or inventions, in any country; and I/we hereby authorize and request the issuing authority to issue any and all patents on said application or applications to said assignee.

I/We hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that wilful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such wilful false statements may jeopardize the validity of the application or any patent issued thereon.

Executed this 13 day of May, 2015.  
at JDSU, Santa Rosa.  
(place)



Georg J. OCKENFUSS

**Declaration by Witness**

I, Tim Gustafson, whose full post office address is  
515 Summerfield Rd, Santa Rosa, CA 95405 say:

That I was personally present and did see **Georg J. OCKENFUSS**, who is personally known to me, duly sign and execute the above Assignment.



Signature of Witness