

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT5416746

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
JINHO HWANG	03/05/2019
CONSTANTIN M. ADAM	03/07/2019
MUHAMMED FATIH BULUT	03/06/2019
RECEIVING PARTY DATA	
Name:	International Business Machines Corporation
Street Address:	New Orchard Road
City:	Armonk
State/Country:	NEW YORK
Postal Code:	10504
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	16299421
CORRESPONDENCE DATA	
Fax Number:	(301)948-7774
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	(301) 948-7775
Email:	rocky@legalplanner.com
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Address Line 4:	GAITHERSBURG, MARYLAND 20878
ATTORNEY DOCKET NUMBER:	P201806097US01
NAME OF SUBMITTER:	ROCHELLE LIEBERMAN
SIGNATURE:	/Rochelle Lieberman/
DATE SIGNED:	03/12/2019
This document serves as an Oath/Declaration (37 CFR 1.63).	
Total Attachments: 7	
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source=P201806097US01_DeclarationAssignment#page2.tif	
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**DECLARATION (37 C.F.R. 1.63) FOR UTILITY PATENT APPLICATION USING AN
APPLICATION DATA SHEET (37 C.F.R. 1.76) AND ASSIGNMENT**

Title of Invention:

Minimizing Compliance Risk Using Machine Learning Techniques

As a below named inventor, I hereby declare that:

This declaration is directed to the attached application, or (if following box is checked):

☐ United States application or PCT international application number _____
filed on _____.

The above-identified application was made or authorized to be made by me.

I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.

I have reviewed and understand the contents of the application, including the claims.

I am aware of the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in 37 CFR Section 1.56.

Whereas, the undersigned inventor(s) has/have made certain inventions, improvements, and discoveries (herein referred to as the "Invention") disclosed in the above-identified patent application and further identified by the IBM Docket Number provided above in the header of this document;

Whereas, International Business Machines Corporation, a corporation of New York having a place of business at Armonk, New York (herein referred to as "IBM"), desires to acquire, and each undersigned inventor desires to grant to IBM, the entire worldwide right, title, and interest in and to the Invention and in and to any and all patent applications and patents directed thereto;

Now, therefore, for good and valuable consideration, the receipt and sufficiency thereof being hereby acknowledged, each undersigned inventor ("ASSIGNOR") hereby sells or has sold, assigns or has assigned, and otherwise transfers or has transferred to IBM (the "ASSIGNEE"), its successors, legal representatives, and assigns, the entire worldwide right, title, and interest in and to the Invention, the above-identified United States patent application, and any and all other patent applications and patents for the Invention which may be applied for or granted therefor in the United States and in all foreign countries and jurisdictions, including all divisions, continuations, reissues, reexaminations, renewals, extensions, counterparts, substitutes, and extensions thereof, and all rights of priority resulting from the filing of such applications and granting of such patents. In addition, each undersigned inventor hereby authorizes and requests the Director of the United States Patent and Trademark Office to issue any United States Patent, and foreign patent authorities to issue any foreign patent, granted for the Invention, to IBM, its successors, legal representatives, and assigns, the entire worldwide right, title, and interest in and to the same to be held and enjoyed by IBM, its successors, legal representatives, and assigns to the full end of the terms for which any and all such patents may be granted, as fully and entirely as would have been held and enjoyed by the undersigned had this Assignment not been made; and each undersigned inventor agrees to execute any and all documents and instruments and perform all lawful acts reasonably related to recording this Assignment or perfecting title to the Invention and all related patents and applications, in

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I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

(1) Legal Name of Inventor: **Jinho Hwang**

Signature: _____  Date: 3/5/2019

(2) Legal Name of Inventor: **Constantin M. Adam**

Signature: _____ Date: _____

(3) Legal Name of Inventor: **Muhammed Fatih Bulut**

Signature: _____ Date: _____

(4) Legal Name of Inventor: **Milton H. Hernandez**

Signature: _____ Date: _____

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(1) Legal Name of Inventor: Jinho Hwang

Signature: _____ Date: _____

(2) Legal Name of Inventor: Constantin M. Adam

Signature: Constantin M. Adam Date: 2019-03-07

(3) Legal Name of Inventor: Muhammed Fatih Bulut

Signature: _____ Date: _____

(4) Legal Name of Inventor: Milton H. Hernandez

Signature: _____ Date: _____

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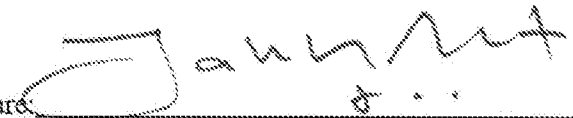
(1) Legal Name of Inventor: **Jinho Hwang**

Signature: _____ Date: _____

(2) Legal Name of Inventor: **Constantin M. Adam**

Signature: _____ Date: _____

(3) Legal Name of Inventor: **Muhammed Fatih Bulut**

Signature:  _____ Date: 03/06/2019

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Signature: _____ Date: _____

(3) Legal Name of Inventor: **Muhammed Fatih Bulut**

Signature: _____ Date: _____

(4) Legal Name of Inventor: **Milton H. Hernandez**

Signature: Milton Hernandez Date: 03/12/2018

IBM DOCKET NUMBER: P201806097US01