# 505370507 03/12/2019

# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT5417299

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
MIKE BURNS	09/26/2001
SCOTT F. SINGER	04/04/2003

#### **RECEIVING PARTY DATA**

Name:	TRADING TECHNOLOGIES INTERNATIONAL, INC.
Street Address:	222 SOUTH RIVERSIDE PLAZA
Internal Address:	SUITE 1100
City:	CHICAGO
State/Country:	ILLINOIS
Postal Code:	60606

## **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	16299739

## **CORRESPONDENCE DATA**

**Fax Number:** (312)476-1001

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

**Phone:** 312-476-1118

**Email:** patentreporting@tradingtechnologies.com

Correspondent Name: TRADING TECHNOLOGIES INTERNATIONAL, INC.

Address Line 1: 222 SOUTH RIVERSIDE PLAZA

Address Line 2: SUITE 1100

Address Line 4: CHICAGO, ILLINOIS 60606

ATTORNEY DOCKET NUMBER:	071045US06
NAME OF SUBMITTER:	ADAM J. FAIER
SIGNATURE:	/Adam J. Faier/
DATE SIGNED:	03/12/2019

#### **Total Attachments: 4**

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PATENT 505370507 REEL: 048574 FRAME: 0460

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PATENT REEL: 048574 FRAME: 0461

#### ASSIGNMENT AND AGREEMENT

WHEREAS, Mike Burns of 823 S. Oakley Blvd, Chicago, IL 60612; (hereinafter referred to singly and collectively as "ASSIGNOR") have invented a certain invention entitled ELECTRONIC SPREAD TRADING TOOL (Atty. Dkt. No. 024051-0150) for which an application for United States Letters Patent was filed on June 14, 2001 as Application No. 09/880,078; and

WHEREAS, Trading Technologies International, Inc., a corporation duly organized and existing under the laws of the State of Illinois, and having its principal place of business at 1603 Orrington Avenue, Suite 1300, Evanston, IL 60201 (hereinafter referred to as "ASSIGNEE") is desirous of acquiring the entire interest therein;

NOW THEREFORE, in consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, ASSIGNOR has sold, assigned, and transferred, and by these presents hereby sells, assigns, and transfers, unto ASSIGNEE, its successors and assigns, the full and exclusive right, title, and interest in and to (a) the above-identified invention or inventions and all improvements and modifications thereof, (b) the above-identified application and all other applications for Letters Patent of the United States and countries foreign thereto for the above-identified invention or inventions and all improvements and modifications thereof, (c) all Letters Patent which may issue from said applications in the United States and countries foreign thereto, (d) all divisions, continuations, reissues, and extensions of said applications and Letters Patent, and (e) the right to claim for any of said applications the full benefits and priority rights under the International Convention and any other international agreement to which the United States adheres; such right, title, and interest to be held and enjoyed by ASSIGNEE, its successors and assigns, to the full end of the term or terms for which any and all such Letters Patent may be granted as fully and entirely as would have been held and enjoyed by ASSIGNOR had this Assignment not been made. Notwithstanding the foregoing, ASSIGNOR sells, assigns and transfers no ideas, inventions or innovations that ASSIGNOR generates after leaving the employ of Trading Technologies International, Inc.

ASSIGNOR HEREBY AUTHORIZES AND REQUESTS the Commissioner of Patents and Trademarks to issue said Letters Patent to ASSIGNEE as assignee of the entire interest, for the sole use and benefit of ASSIGNEE, its successors and assigns.

ASSIGNOR HEREBY AGREES (a) to communicate to ASSIGNEE, its successors and assigns, or their representatives or agents, all facts and information known or available to ASSIGNOR respecting said invention or inventions, improvements, and modifications including evidence for interference, reexamination, reissue, opposition, revocation, extension, or infringement purposes or other legal, judicial, or administrative proceedings, whenever requested by ASSIGNEE; (b) to testify in person or by affidavit as required by ASSIGNEE, its successors and assigns, in any such proceeding in the United States or a country foreign thereto; (c) to execute and deliver, upon request by ASSIGNEE, all lawful papers including, but not limited to, original, divisional, continuation, and reissue applications, renewals, assignments, powers of attorney, oaths, affidavits, declarations, depositions; and (d) to provide all reasonable assistance to ASSIGNEE, its successors and assigns, in obtaining and enforcing proper title in and protection for said invention or inventions, improvements, and modifications under the intellectual property laws of the United States and countries foreign thereto.

ASSIGNOR HEREBY REPRESENTS AND WARRANTS that ASSIGNOR has the full and unencumbered right to sell, assign, and transfer the interests sold, assigned, and transferred herein, and that ASSIGNOR has not executed and will not execute any document or instrument in conflict herewith.

ASSIGNOR HEREBY GRANTS to the law firm of Foley & Lardner the power and authority to insert in this Assignment any further identification which may be necessary or desirable to comply with the rules of the U.S. Patent and Trademark Office for recordation of this Assignment.

ASSIGNOR UNDERSTANDS AND AGREES that the attorneys and agents of the law firm of Foley & Lardner do not personally represent ASSIGNOR or ASSIGNOR's legal interests, but instead represent the interests of ASSIGNEE; since said attorneys and agents cannot provide legal advice to ASSIGNOR with respect to this Assignment, ASSIGNOR acknowledges its right to seek its own independent legal counsel.

Executed this <u>36</u> day of <u>Sept-</u> , 20 <u>01</u> .	
State of TLLING; Signed, sealed, and delivered the said instrument as his/her frethe uses and purposes therein set forth.  MIKE BURNS, who is personally known to me to be the same person whose name is subscribed to the and he/she acknowledged that he/she signed, sealed, and delivered the said instrument as his/her frethe uses and purposes therein set forth.	or said county, appeared e foregoing instrument,
	Aliana ary Public ires: 3/26/200

## ASSIGNMENT AND AGREEMENT

WHEREAS, Scott F. Singer of 102 Permbroke Circle, Lake Bluff, IL 60044; (hereinafter referred to singly and collectively as "ASSIGNOR") has invented a certain invention entitled ELECTRONIC SPREAD TRADING TOOL (Attorney Docket No. 024051/0150) for which an application for United States Letters Patent was filed on June 14, 2001 as Application No. 09/880,078; and

WHEREAS, Trading Technologies International, Inc., a corporation duly organized and existing under the laws of the State of Delaware, and having its principal place of business at 222 S. Riverside Plaza, Suite 1100, Chicago, IL 60606 (hereinafter referred to as "ASSIGNEE") is desirous of acquiring the entire interest therein;

NOW THEREFORE, in consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, ASSIGNOR has sold, assigned, and transferred, and by these presents hereby sells, assigns, and transfers, unto ASSIGNEE, its successors and assigns, the full and exclusive right, title, and interest in and to (a) the above-identified invention or inventions and all improvements and modifications thereof, (b) the above-identified application and all other applications for Letters Patent of the United States and countries foreign thereto for the above-identified invention or inventions and all improvements and modifications thereof, (c) all Letters Patent which may issue from said applications in the United States and countries foreign thereto, (d) all divisions, continuations, reissues, extensions of said applications and Letters Patent, and (e) the right to claim for any of said applications the full benefits and priority rights under the International Convention and any other international agreement to which the United States adheres; such right, title, and interest to be held and enjoyed by ASSIGNEE, its successors and assigns, to the full end of the term or terms for which any and all such Letters Patent may be granted as fully and entirely as would have been held and enjoyed by ASSIGNOR had this Assignment not been made.

ASSIGNOR HEREBY AUTHORIZES AND REQUESTS the Commissioner of Patents and Trademarks to issue said Letters Patent to ASSIGNEE as assignee of the entire interest, for the sole use and benefit of ASSIGNEE, its successors and assigns.

ASSIGNOR HEREBY AGREES (a) to communicate to ASSIGNEE, its successors and assigns, or their representatives or agents, all facts and information known or available to ASSIGNOR respecting said invention or inventions, improvements, and modifications including evidence for interference, reexamination, reissue, opposition, revocation, extension, or infringement purposes or other legal, judicial, or administrative proceedings, whenever requested by ASSIGNEE; (b) to testify in person or by affidavit as required by ASSIGNEE, its successors and assigns, in any such proceeding in the United States or a country foreign thereto; (c) to execute and deliver, upon request by ASSIGNEE, all lawful papers including, but not limited to, original, divisional,

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continuation, and reissue applications, renewals, assignments, powers of attorney, oaths, affidavits, declarations, depositions; and (d) to provide all reasonable assistance to ASSIGNEE, its successors and assigns, in obtaining and enforcing proper title in and protection for said invention or inventions, improvements, and modifications under the intellectual property laws of the United States and countries foreign thereto.

ASSIGNOR HEREBY REPRESENTS AND WARRANTS that ASSIGNOR has the full and unencumbered right to sell, assign, and transfer the interests sold, assigned, and transferred herein, and that ASSIGNOR has not executed and will not execute any document or instrument in conflict herewith.

ASSIGNOR HEREBY GRANTS to the law firm of Foley & Lardner the power and authority to insert in this Assignment any further identification which may be necessary or desirable to comply with the rules of the U.S. Patent and Trademark Office for recordation of this Assignment.

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Executed this 4th day of April , 2003

COTT F. SINGER

State of Illinois

County of COOK

On this 4 day of 4 , 2003, before me, a notary public in and for said county, appeared SCOTT F. SINGER, who is personally known to me to be the same person whose name is subscribed to the foregoing instrument, and he/she acknowledged that he/she signed, sealed, and delivered the said instrument as his/her free and voluntary act for the uses and purposes therein set forth.

"OFFICIAL SEAL"

DORIS OSHANA

NOTARY PUBLIC, STATE OF ILLINOIS
MY COMMISSION EXPIRES 3/26/2005

(Seal)

Notary Public

My Commission Expires: 26-March-2