

PATENT ASSIGNMENT COVER SHEET

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EPAS ID: PAT5421539

SUBMISSION TYPE:	NEW ASSIGNMENT	
NATURE OF CONVEYANCE:	ASSIGNMENT	
CONVEYING PARTY DATA		
	Name	Execution Date
	HERRIOT TABUTEAU	07/10/2018
RECEIVING PARTY DATA		
Name:	AXSOME THERAPEUTICS, INC.	
Street Address:	25 BROADWAY, 9TH FLOOR	
City:	NEW YORK	
State/Country:	NEW YORK	
Postal Code:	10004	
PROPERTY NUMBERS Total: 1		
	Property Type	Number
	Application Number:	16247406
CORRESPONDENCE DATA		
Fax Number:	(435)252-1361	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>		
Phone:	949-202-1900	
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Address Line 2:	100 SPECTRUM CENTER DRIVE, SUITE 1200	
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ATTORNEY DOCKET NUMBER:	A3225.10001US14	
NAME OF SUBMITTER:	MARIA NADAL	
SIGNATURE:	/Maria Nadal/	
DATE SIGNED:	03/14/2019	
Total Attachments: 5		
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ASSIGNMENT

THIS ASSIGNMENT is made by **Herriot Tabuteau** (hereafter, together with any successors, legal representatives, or assigns thereof, "ASSIGNOR") to **Axsome Therapeutics, Inc.**, a legal entity having its principal place of business at 25 Broadway, 9th Floor, New York, New York 10004 (hereafter, together with any successors, legal representatives, or assigns thereof, "ASSIGNEE").

WHEREAS, ASSIGNOR has invented and owns rights in, to and under new and useful inventions for which an application(s) for or Letters Patent has been filed as indicated on **Exhibit A** (hereafter "Inventions");

WHEREAS, ASSIGNOR believes himself to be the original and true inventor of the Inventions;

WHEREAS, ASSIGNEE desires to acquire the Inventions and Improvements thereto;

AND WHEREAS, ASSIGNOR and ASSIGNEE desire to have a recordable instrument assigning ASSIGNEE as owners of the entire right, title and interest in, to, and under the Inventions and improvements thereto owned by ASSIGNOR;

NOW THEREFORE, for other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, ASSIGNOR does hereby sell, assign, transfer, convey, endorse, and hereby set over unto ASSIGNEE the full and exclusive right, title and interest in, to and under the Inventions to be held and enjoyed by ASSIGNEE, as fully and entirely as the same would have been held and enjoyed by ASSIGNOR had this assignment and sale not been made including the full and exclusive right, title and interest in, to and under 1) any patent application, or any other legal instrument equivalent thereof, including, without limitation, continuation, division, continuation-in-part, substitute, reexamination, renewal, inventor's certificate, and utility model, which has been or may be submitted therefor and thereon anywhere in the World, such term defined herein as including the United States of America, its territorial possessions, and any and all foreign countries under national laws or under the provisions of the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, or any other international treaty equivalent thereof; 2) any Letters Patent, or any other legal instrument equivalent thereof, which has been or may be granted therefor and thereon, in the World, for the full term or terms for which the same may be granted; 3) any reissue, extension, or any other legal instrument equivalent thereof, on any patent application or Letters Patent which has been or may be granted therefor and thereon in the World; and 4) any right to claim priority to a filing date, or any other legal equivalent thereof, which has been or may be claimed by any patent application or Letters Patent therefor and thereon in the World.

ASSIGNOR hereby covenants and agrees to perform any lawful action when deemed essential by and to ASSIGNEE's full enjoyment, protection, enforcement and title in, to and under the Inventions and rights hereby transferred, including, but not limited to, promptly communicating and providing any and all known and accessible facts, data or any other pertinent information; promptly executing and delivering any and all papers, documents, forms, declarations, oaths, affidavits or any other legal instrument; promptly assisting and participating in any and all depositions, hearings, proceedings, trials, appeals, or any other legal procedure; promptly testifying under oath in any and all interference, post grant review, litigation or any other

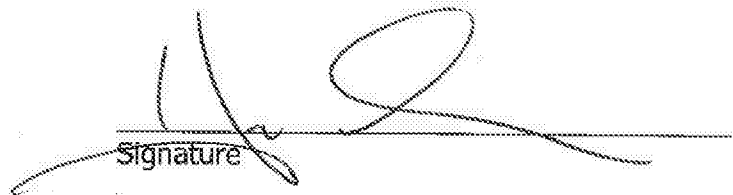
administrative or judicial proceeding; and promptly completing any and all actions necessary or desirable to carry out any and all purposes thereof, relating to any and all proceedings in connection with the submission, procurement, issuance, maintenance, enforcement or defense of the inventions and rights hereby transferred.

A Notary Public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached and not the truthfulness accuracy or validity of that document.

IN TESTIMONY WHEREOF, I hereunder set my hand this 10 day of July, 2018.

ASSIGNOR

Herriot Tabuteau


Signature

State of NEW YORK)
)
County of) ss.:

On this ____ day of _____, 2018, before me, _____, personally appeared **Herriot Tabuteau**, who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

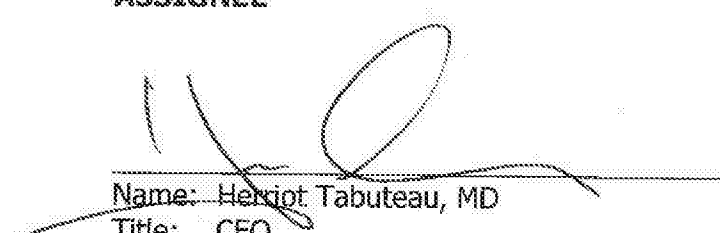
Witness my hand and official seal.

Notary Public

A Notary Public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached and not the truthfulness accuracy or validity of that document.

ACKNOWLEDGED AND CONFIRMED, I hereunder set my hand this 10 day of July, 2018, as a duly authorized representative of ASSIGNEE.

ASSIGNEE


Name: Herriot Tabuteau, MD
Title: CEO

State of NEW YORK)

County of)

ss.:

On this ____ day of _____, 2018, before me, _____, personally appeared Herriot Tabuteau who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

Witness my hand and official seal.

Notary Public

EXHIBIT A**Patent Rights: Patents and Patent Applications**

<u>Title</u>	<u>Serial No.</u>	<u>Filing Date</u>
PHARMACEUTICAL COMPOSITIONS COMPRISING MELOXICAM	15/976,800	10 May 2018
PHARMACEUTICAL COMPOSITIONS COMPRISING MELOXICAM	PCT/2018/032162	10 May 2018
PHARMACEUTICAL COMPOSITIONS COMPRISING MELOXICAM	15/984,055	18 May 2018
PHARMACEUTICAL COMPOSITIONS COMPRISING MELOXICAM	15/986,215	22 May 2018
PHARMACEUTICAL COMPOSITIONS COMPRISING MELOXICAM	15/988,104	24 May 2018
PHARMACEUTICAL COMPOSITIONS COMPRISING MELOXICAM	15/989,734	25 May 2018
PHARMACEUTICAL COMPOSITIONS COMPRISING MELOXICAM	62/680,956	05 June 2018
PHARMACEUTICAL COMPOSITIONS COMPRISING MELOXICAM	16/000,701	05 June 2018
PHARMACEUTICAL COMPOSITIONS COMPRISING MELOXICAM	16/001,697	06 June 2018
PHARMACEUTICAL COMPOSITIONS COMPRISING MELOXICAM	16/002,865	07 June 2018

<u>Title</u>	<u>Serial No.</u>	<u>Filing Date</u>
METHODS OF MODULATING TETRABENAZINE METABOLITES PLASMA LEVELS USING BUPROPION	62/682,998	10 June 2018
METHODS OF MODULATING TETRABENAZINE METABOLITES PLASMA LEVELS USING BUPROPION	62/683,399	11 June 2018
PHARMACEUTICAL COMPOSITIONS COMPRISING MELOXICAM	16/006,692	12 June 2018
PHARMACEUTICAL COMPOSITIONS COMPRISING MELOXICAM	16/006,642	12 June 2018
PHARMACEUTICAL COMPOSITIONS COMPRISING MELOXICAM	16/006,548	12 June 2018
COMPOSITIONS FOR DELIVERY OF REBOXETINE	62/686,075	17 June 2018
COMPOSITIONS FOR DELIVERY OF REBOXETINE	62/688,333	21 June 2018
PHARMACEUTICAL COMPOSITIONS COMPRISING MELOXICAM	62/693,871	03 July 2018