

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT5421958

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	SECURITY AGREEMENT
CONVEYING PARTY DATA	
Name	Execution Date
ATT SOUTHERN LLC	03/13/2019
TELEPHONICS CORPORATION	03/13/2019
THE AMES COMPANIES, INC.	03/13/2019
CLOPAY BUILDING PRODUCTS COMPANY, INC.	03/13/2019
CORNELLCOOKSON, LLC	03/13/2019
RECEIVING PARTY DATA	
Name:	BANK OF AMERICA, N.A.
Street Address:	101 N. TRYON STREET, 5TH FLOOR
Internal Address:	MAIL CODE: NC1-001-05-45
City:	CHARLOTTE
State/Country:	NORTH CAROLINA
Postal Code:	28255-0001
PROPERTY NUMBERS Total: 83	
Property Type	Number
Application Number:	16188998
Application Number:	16203999
Application Number:	29496889
Application Number:	29496674
Application Number:	14538062
Application Number:	15918156
Application Number:	29590387
Application Number:	62627843
Application Number:	29496667
Application Number:	29353299
Application Number:	29313576
Application Number:	29271935
Application Number:	29271959
Application Number:	29455168
Application Number:	29317682

PATENT

Property Type	Number
Application Number:	29641495
Application Number:	29671388
Application Number:	09875799
Application Number:	29496670
Application Number:	62453746
Application Number:	29271958
Application Number:	62658636
Application Number:	29461524
Application Number:	29466718
Application Number:	29461591
Application Number:	29469162
Application Number:	29267833
Application Number:	13799265
Application Number:	29490422
Application Number:	29490439
Application Number:	29555134
Application Number:	29354170
Application Number:	14335236
Application Number:	15857929
Application Number:	29496890
Application Number:	29458985
Application Number:	29527374
Application Number:	29267831
Application Number:	29390241
Application Number:	29455329
Application Number:	29229633
Application Number:	14183604
Application Number:	14693195
Application Number:	29383006
Application Number:	15615317
Application Number:	29458840
Application Number:	29458979
Application Number:	29458843
Application Number:	29567117
Application Number:	29586077
Application Number:	29662707
Application Number:	14456191
Application Number:	29507676

Property Type	Number
Application Number:	10213670
Application Number:	29247709
Application Number:	15866849
Application Number:	29216923
Application Number:	29496887
Application Number:	15180835
Application Number:	29447816
Application Number:	16069988
Application Number:	29455019
Application Number:	29499367
Application Number:	29669146
Application Number:	62748753
Application Number:	62756616
Application Number:	14596521
Application Number:	09354043
Application Number:	15210317
Application Number:	29461589
Application Number:	29455135
Application Number:	29455050
Application Number:	13655513
Application Number:	15267819
Application Number:	15267728
Application Number:	29247715
Application Number:	29355271
Application Number:	29496679
Application Number:	29496675
Application Number:	29345519
Application Number:	29538258
Application Number:	13949544
Application Number:	14284455

CORRESPONDENCE DATA

Fax Number: (800)914-4240

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 800-713-0755

Email: Michael.Violet@wolterskluwer.com, ECarrera@cahill.com

Correspondent Name: CT CORPORATION

Address Line 1: 4400 EASTON COMMONS WAY

Address Line 2: SUITE 125

PATENT

REEL: 048604 FRAME: 0094

Address Line 4: COLUMBUS, OHIO 43219

NAME OF SUBMITTER: ELAINE CARRERA

SIGNATURE: /Elaine Carrera/

DATE SIGNED: 03/14/2019

Total Attachments: 12

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RECORDATION FORM COVER SHEET
PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

1. ATT Southern LLC
2. Telephonics Corporation
3. The Ames Companies, Inc.
4. Clopay Building Products Company, Inc.
5. CornellCookson, LLC

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) March 13, 2019

- ☐ Assignment ☐ Merger
☒ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)

Name: Bank of America, N.A.

Internal Address: _____

Street Address: 101 N. Tryon Street, 5th Floor,

Mail code: NC1-001-05-45

City: Charlotte

State: NC

Country: USA Zip: 28255-0001

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

A. Patent Application No.(s)

See Schedule A

☐ This document serves as an Oath/Declaration (37 CFR 1.63).

B. Patent No.(s)

See Schedule A

Additional numbers attached? ☒ Yes ☐ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Elaine Carrera, Senior Paralegal

Internal Address: _____

Street Address: c/o Cahill Gordon & Reindel LLP,
80 Pine Street

City: New York

State: NY Zip: 10005

Phone Number: (212) 701-3365

Docket Number: _____

Email Address: ecarrera@cahill.com

6. Total number of applications and patents involved: 83

7. Total fee (37 CFR 1.21(h) & 3.41) \$ _____

- ☐ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

Deposit Account Number _____

Authorized UserName _____

9. Signature:

Elaine Carrera
Signature

March 13, 2019

Date

Elaine Carrera

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

12

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

GRANT OF
SECURITY INTEREST IN PATENT RIGHTS

THIS GRANT OF SECURITY INTEREST IN PATENT RIGHTS (“Agreement”), effective as of March 13, 2019 is made by Clopay Building Products Company, Inc., Telephonics Corporation, The Ames Companies, Inc., ATT Southern LLC, and CornellCookson, LLC (each a “Grantor” and collectively, the “Grantors”), in favor of BANK OF AMERICA, N.A., a national banking association with an address at 2380 Performance Drive, Building C, Mail Code: TX2-984-03-26, Richardson, TX 75082, as administrative agent (in such capacity, the “Administrative Agent”) for the several banks and other financial institutions (the “Lenders”) from time to time parties to the Third Amended and Restated Credit Agreement, dated as of March 22, 2016 (as further amended, supplemented or otherwise modified from time to time, the “Credit Agreement”), among Griffon Corporation (the “Borrower”), the Lenders and the Administrative Agent (as successor to JPMORGAN CHASE BANK, N.A., in their respective capacities as administrative agent pursuant to that certain Successor Administrative Agent Agreement, dated as of February 22, 2019).

WITNESSETH:

WHEREAS, pursuant to the Credit Agreement, the Lenders have severally agreed to make extensions of credit to the Grantors upon the terms and subject to the conditions set forth therein;

WHEREAS, in connection with the Credit Agreement, the Grantors have executed and delivered the Guarantee and Collateral Agreement, dated as of March 18, 2011 (as amended by the Amendment to Guarantee and Collateral Agreement, dated as of March 28, 2013, and as further amended, supplemented or otherwise modified from time to time, the “Guarantee and Collateral Agreement”), in favor of the Administrative Agent for the benefit of the Secured Parties;

WHEREAS, pursuant to the Guarantee and Collateral Agreement, the Grantors have pledged and granted to the Administrative Agent for the benefit of the Secured Parties, a continuing security interest in all Intellectual Property, including the Patents; and

WHEREAS, pursuant to the Guarantee and Collateral Agreement, the Grantors have agreed to grant to the Administrative Agent for the benefit of the Secured Parties, a security interest in any after-acquired Intellectual Property, including the Patents; and

WHEREAS, the Grantors have duly authorized the execution, delivery and performance of this Agreement;

NOW THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, and in order to induce the Lenders to make extensions of credit to the Borrower pursuant to the Credit Agreement, the Grantors agree, for the benefit of the Administrative Agent and the Secured Parties, as follows:

SECTION 1. Definitions. Unless otherwise defined herein or the context otherwise requires, terms used in this Agreement, including its preamble and recitals, have the meanings provided or provided by reference in the Guarantee and Collateral Agreement.

SECTION 2. Grant of Security Interest. The Grantors hereby grant to the Administrative Agent, on behalf and for the benefit of the Secured Parties, and to secure the prompt and complete payment and performance of all Secured Obligations, a security interest in all of its right, title and interest in, to and under the Grantor's Patents (including, without limitation, those items listed on Schedule A hereto).

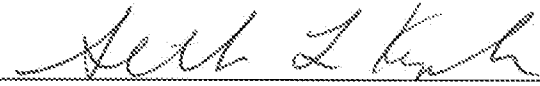
SECTION 3. Purpose. This Agreement has been executed and delivered by the Grantors for the purpose of recording the grant of security interest herein with the United States Patent and Trademark Office. The security interest granted hereby has been granted to the Administrative Agent for the benefit of the Secured Parties in connection with the Guarantee and Collateral Agreement and is expressly subject to the terms and conditions thereof. The Guarantee and Collateral Agreement (and all rights and remedies of the Administrative Agent and the Lenders thereunder) shall remain in full force and effect in accordance with its terms.

SECTION 4. Acknowledgment. The Grantors do hereby further acknowledge and affirm that the rights and remedies of the Administrative Agent and the Lenders with respect to the security interest in the Patents granted hereby are more fully set forth in the Credit Agreement and the Guarantee and Collateral Agreement, the terms and provisions of which (including, without limitation, the remedies provided for therein) are incorporated by reference herein as if fully set forth herein. In the event of any conflict between the terms of this Agreement and the terms of the Guarantee and Collateral Agreement, the terms of the Guarantee and Collateral Agreement shall govern.

SECTION 5. Counterparts. This Agreement may be executed in counterparts, each of which will be deemed an original, but all of which together constitute one and the same original.

IN WITNESS WHEREOF, the parties hereto have caused this Agreement to be duly executed and delivered by their respective officers thereunto duly authorized as of the day and year first above written.

ATT SOUTHERN LLC

By: 

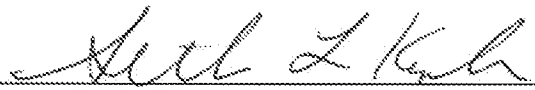
Name: Seth L. Kaplan

Title: Vice President

[Signature page to Patent Security Agreement]

PATENT
REEL: 048604 FRAME: 0099

TELEPHONICS CORPORATION

By: 

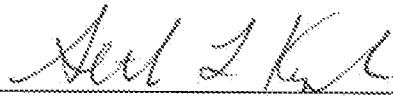
Name: Seth L. Kaplan

Title: Executive Vice President and Assistant
Secretary

[Signature page to Patent Security Agreement]

PATENT
REEL: 048604 FRAME: 0100

THE AMES COMPANIES, INC.

By: 

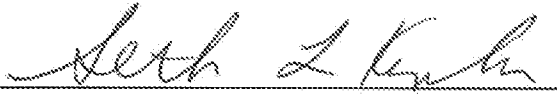
Name: Seth L. Kaplan

Title: Vice President

[Signature page to Patent Security Agreement]

PATENT
REEL: 048604 FRAME: 0101

CLOPAY BUILDING PRODUCTS COMPANY,
INC.

By: 

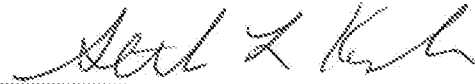
Name: Seth L. Kaplan

Title: Vice President and Assistant Secretary

[Signature page to Patent Security Agreement]

PATENT
REEL: 048604 FRAME: 0102

CORNELLCOOKSON, LLC

By: _____

Name: Seth L. Kaplan

Title: Vice President and Assistant Secretary

[Signature page to Patent Security Agreement]

PATENT
REEL: 048604 FRAME: 0103

BANK OF AMERICA, N.A., as
Administrative Agent

By: 

Name: Gayle Shakk

Title: Assistant Vice President

[Signature Page to Patent Security Agreement]

PATENT
REEL: 048604 FRAME: 0104

SCHEDULE A

U.S. Patent and Patent Applications

Owner	Title	Patent No.	Application No.
Telephonics Corporation	Air cooled heat exchanger and thermal arrangement for stacked electronics and an antenna array		16/188998
Telephonics Corporation	Air cooled heat exchanger and thermal arrangement for stacked electronics and an antenna array (continuation)		16/203999
The Ames Companies, Inc.	ATTACHABLE SHRUB RAKE	D769682	29/496889
The Ames Companies, Inc.	AXE	D831460	29/496674
Telephonics Corporation	Bidirectional Integrated CMOS Switch	9948292	14/538,062
Telephonics Corporation	Bidirectional Integrated CMOS Switch (continuation)	10128834	15/918,156
ATT Southern Inc.	BUCKET	D825182	29/590387
Telephonics Corporation	Compact Dual-Function Radar with X-Band Long Distance Weather Monitoring		62/627843
The Ames Companies, Inc.	CROSS HATCH ON TOOL HEAD SOCKET	D760562	29/496667
The Ames Companies, Inc.	CUP FOR ATTACHMENT TO A BROOM	D628468	29/353299
The Ames Companies, Inc.	DEBRIS PAN	D601769	29/313576
The Ames Companies, Inc.	DISPLAY CABINET	D567539	29/271935
The Ames Companies, Inc.	DOUBLE BUCKET	D546012	29/271959
Ames True Temper, Inc.	DRAIN SPADE	D699529	29/455168
Ames True Temper, Inc.	EMBOSSED SOCKET FOR GARDEN TOOL	D593822	29/317682
Clopay Building Products Company, Inc.	GARAGE DOOR CAM		29/641,495
Clopay Building Products Company, Inc.	GARAGE DOOR CAM		29/671,388
Telephonics Corporation	Garage Door Opener	6,624,605	09/875,799
The Ames Companies, Inc.	GARDEN TOOL WITH CROSS HATCHING	D778696	29/496670
The Ames Companies, Inc.	HAND HELD RETRIEVER FOR GARDEN HOSE		62/453746
The Ames Companies, Inc.	HANDLE CLIP	D560482	29/271958
Clopay Building Products Company, Inc.	HIGH-SPEED SECTIONAL DOOR		62/658,636
Ames True Temper, Inc.	HOSE BOX	D701751	29/461524
The Ames Companies, Inc.	HOSE BOX	D712236	29/466718
The Ames Companies, Inc.	HOSE BOX	D724414	29/461591
The Ames Companies, Inc.	HOSE REEL DECK BOX	D734656	29/469162
The Ames Companies, Inc.	HOUSEHOLD BUCKET	D544669	29/267833
Clopay Building Products Company, Inc.	IMPACT WINDOW ASSEMBLY FOR OVERHEAD DOOR	9,022,091	13/799,265
The Ames Companies, Inc.	IMPLEMENT HANDLE	D782894	29/490422
The Ames Companies, Inc.	IMPLEMENT HANDLE CONNECTOR	D752305	29/490439

The Ames Companies, Inc.	IMPLEMENT HANDLE CONNECTOR BRACKET	D801791	29/555134
The Ames Companies, Inc.	JUMBO DEBRIS PAN	D636139	29/354170
The Ames Companies, Inc.	LEAF RAKE	9854735	14/335236
The Ames Companies, Inc.	LEAF RAKE		15/857,929
The Ames Companies, Inc.	LEAF RAKE	D769683	29/496890
ATT Southern Inc.	LEG FOR A RAISED GARDEN	D733000	29/458985
ATT Southern Inc.	LEG FOR A RAISED GARDEN	D740717	29/527374
The Ames Companies, Inc.	LOBBY DUST PAN	D586519	29/267831
Ames True Temper, Inc.	LOOP GRIP HANDLE FOR WHEELBARROWS	D646042	29/390241
Ames True Temper, Inc.	METAL SHOVEL BLADE	D699530	29/455329
The Ames Companies, Inc.	MOB BUCKET	D527506	29/229633
ATT Southern Inc.	MOLDED PLANTER WITH WIDE UPPER RIM	9226455	14/183604
ATT Southern Inc.	MOLDED PLANTER WITH WIDE UPPER RIM		14/693195
The Ames Companies, Inc.	NESTING BUCKET	D641116	29/383006
ATT Southern Inc.	NESTING PACKAGING DESIGN FOR PLANTERS		15/615,317
ATT Southern Inc.	PLANTER FOR A RAISED GARDEN	D734207	29/458840
ATT Southern Inc.	PLANTER FOR A WALL GARDEN	D724481	29/458979
ATT Southern Inc.	PLANTER FOR A WALL GARDEN	D734655	29/458843
ATT Southern Inc.	PLANTER PACKAGE	D837094	29/567117
ATT Southern Inc.	PLANTER PACKAGING		29/586077
The Ames Companies, Inc.	PLANTERS AND A STAND		29/662707
The Ames Companies, Inc.	POLY-METAL HOSE REEL WATER SYSTEM	9567187	14/456191
Clopay Building Products Company, Inc.	PORTION OF A REINFORCING STRUT FOR AN OVERHEAD DOOR	D755,409	29/507,676
The Ames Companies, Inc.	POWER WAVE FLOOR SQUEEGEE AND HANDLE CONNECTOR	7185388	10/213,670
The Ames Companies, Inc.	PROPELLER BROOM BLOCK	D538048	29/247709
Telephonics Corporation	Providing accurate positioning in disadvantaged signal environment		15/866849
The Ames Companies, Inc.	PUSH BROOM AND SQUEEGEE COMBINATION	D545063	29/216923
The Ames Companies, Inc.	RAKE WITH ATTACHED SHRUB RAKE	D769681	29/496887
ATT Southern Inc.	RECYCLED PLASTIC COMPOSITE COMPOSITION	9943997	15/180835
Clopay Building Products Company, Inc.	REINFORCING STRUT FOR AN OVERHEAD DOOR	D719,280	29/447,816
CornellCookson, LLC	Roll-up doors and method for securing same		16/069988
The Ames Companies, Inc.	ROUND POINT BLADE	D712219	29/455019
The Ames Companies, Inc.	ROUND POINT BLADE	D760563	29/499367
Clopay Building Products Company, Inc.	SAFETY GATE		29/669,146

Clopay Building Products Company, Inc.	SAFETY GATE FOR LOADING DOCK		62/748,753
Clopay Building Products Company, Inc.	SAFETY GATE FOR LOADING DOCK		62/756,616
The Ames Companies, Inc.	SELF-WATERING PLANTER		14/596521
The Ames Companies, Inc.	SHAFT MOUNTED MANULA CLEANING IMPLEMENT	6349444	09/354,043
ATT Southern Inc.	SHELL PLANTER FOR GROWER'S PLANTER		15/210317
Ames True Temper, Inc.	SLATTED TOP HOSE BOX	D702537	29/461589
Ames True Temper, Inc.	SPADE BLADE	D699528	29/455135
Ames True Temper, Inc.	SQUARE POINT BLADE	D699527	29/455050
Clopay Building Products Company, Inc.	SYSTEM FOR UNEVENLY WEIGHTED SECTIONAL DOORS	8,893,765	13/655,513
The Ames Companies, Inc.	TOOL COUPLING ARRANGEMENT	10189155	15/267819
The Ames Companies, Inc.	TOOL COUPLING ARRANGEMENT		15/267728
The Ames Companies, Inc.	TOOTHED BROOM BLOCK	D537637	29/247715
The Ames Companies, Inc.	TOP BROOM CLIP	D622134	29/355271
The Ames Companies, Inc.	TT HATCH ON CYLINDRICAL SURFACE	D758162	29/496679
The Ames Companies, Inc.	TT HATCHED SOCKET FOR GARDEN TOOL HEAD	D752930	29/496675
The Ames Companies, Inc.	UTILITY SCRUB BRUSH	D630857	29/345519
The Ames Companies, Inc.	WATERING CAN	D800248	29/538258
The Ames Companies, Inc.	WHEELBARROW LEG STABILIZER	8752847	13/949544
The Ames Companies, Inc.	WHEELBARROW LEG STABILIZER	9090273	14/284455