505383200 03/19/2019

# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT5429993

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

## **CONVEYING PARTY DATA**

Name	Execution Date
HENRIK TELLER	01/29/2019
ALEXANDROS VAKALOPOULOS	01/24/2019
MELISSA BOULTADAKIS ARAPINIS	01/24/2019
ALEXANDER STRAUB	01/31/2019
HANNA TINEL	01/24/2019
MARKUS BRECHMANN	01/21/2019
MATTHIAS BEAT WITTWER	02/03/2019
MAXIMILIAN ANDREAS KULLMANN	01/24/2019
TILL FREUDENBERGER	01/31/2019
THOMAS MONDRITZKI	01/24/2019
TOBIAS MARQUARDT	01/24/2019

## **RECEIVING PARTY DATA**

Name:	BAYER AKTIENGESELLSCHAFT
Street Address:	KAISER-WILHELM-ALLEE 1
City:	LEVERKUSEN
State/Country:	GERMANY
Postal Code:	51373
Name:	BAYER PHARMA AKTIENGESELLSCHAFT
Name: Street Address:	BAYER PHARMA AKTIENGESELLSCHAFT MÜLLERSTR. 178
Street Address:	MÜLLERSTR. 178

## **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	16333079

## **CORRESPONDENCE DATA**

Fax Number: (650)494-0792

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

REEL: 048641 FRAME: 0420 505383200

PATENT

Phone: (650) 813-5662
Email: ebowers@mofo.com
Correspondent Name: JANE KUZELKA

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Address Line 2: 755 PAGE MILL ROAD

Address Line 2: 755 PAGE WILL ROAD

Address Line 4: PALO ALTO, CALIFORNIA 94304-1018

ATTORNEY DOCKET NUMBER:	77705-20304.00
NAME OF SUBMITTER:	JANE KUZELKA
SIGNATURE:	/Jane Kuzelka/
DATE SIGNED:	03/19/2019

## **Total Attachments: 10**

source=77705-20304.00 Assignment#page1.tif source=77705-20304.00 Assignment#page2.tif source=77705-20304.00 Assignment#page3.tif source=77705-20304.00 Assignment#page4.tif source=77705-20304.00 Assignment#page5.tif source=77705-20304.00 Assignment#page6.tif source=77705-20304.00 Assignment#page7.tif source=77705-20304.00 Assignment#page8.tif source=77705-20304.00 Assignment#page9.tif source=77705-20304.00 Assignment#page10.tif

## ASSIGNMENT WITH DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63)

#### ASSIGNMENT

Whereas, I/We, the undersigned inventor(s) hereinafter called assignor(s), have invented certain improvements described in the application identified below; and

Whereas, 1) BAYER AKTIENGESELLSCHAFT

2) BAYER PHARMA AKTIENGESELLSCHAFT of 1) Kaiser-Wilhelm-Allee 1, 51373 Leverkusen, DE

2) Millerstr. 178, 13353 Berlin, DE, (assignee), desires to acquire the entire right, title, and interest in the application and invention, and to any United States patents to be obtained therefor;

Now therefore, for valuable consideration, receipt whereof is hereby acknowledged,

I/We, the above named assignor(s), hereby sell, assign and transfer to the above named assignee, its successors, legal representatives, and assigns, my/our entire right, title and interest, if any, in the invention and the application for the United States of America, including all direct and indirect divisions, continuations, and continuations-in-part thereof, and all original, extended, reissued, reviewed, and reexamined Letters Patent of the United States, and all countries foreign thereof, that may be granted thereon, including rights of priority under the International Convention of Paris (1883) as amended, including the right to claim priority under 35 U.S.C. §119, and I/we request the Director of the U.S. Patent and Trademark Office to issue any Letters Patent granted upon the invention set forth in the application to the assignee, its successors and assigns; and I/we hereby agree that the assignee may apply for foreign Letters Patent on the invention and I/we will without further consideration, communicate all facts know to me/us and execute without further consideration all papers deemed necessary by the assignee in connection with the United States and foreign applications when called upon to do so by the assignee, its successors, legal representatives, or assigns. I/We further represent and warrant that I/We have the full right to convey the interest assigned by this assignment, and that I/We have not granted any rights inconsistent with the rights granted herein. I/We further acknowledge an obligation of assignment of this invention to assignee at the time the invention was made.

(Legalization not required for recording but is prima facie evidence of execution under 35 U.S.C. §261)

## DECLARATION

As a below named inventor, I hereby declare that this assignment with declaration is directed to:				
	The attached application,	OR	Ø	United States Application or PCT International Application Number PCT/EP2017/072339 filed on 2017-09-06 (Confirmation No).

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FULL NAME OF INVENTOR:  RESIDENCE:  POST OFFICE ADDRESS:  CITIZENSHIP:  SIGNATURE / DATE  1. Dr. Henrik TELLER  18209 Howard  18258 Schwaan, DE August City (Company)  Alte Weide 40a, 18258 Schwaan, DE  29.04.	e, DE 1880, 2019
PULL NAME OF INVENTOR:  RESIDENCE:  POST OFFICE ADDRESS:  CITIZENSHIP:  SIGNATURE / DATE  2. Dr. Alexandros VAKALOPOULOS  40721 Hilden, DE  Heinrich-Heine-Str. 79n, 40721 Hilden, DE  GR	
FULL NAME OF INVENTOR:  RESIDENCE:  POST OFFICE ADDRESS:  CITIZENSHIP:  SIGNATURE / DATE  3. Dr. Melissa BOULTADAKIS ARAPINIS  40215 Düsseldorf, DE  Fürstenwall 135, 40215 Düsseldorf, DE  FR	
FULL, NAME OF INVENTOR:  RESIDENCE:  POST OFFICE ADDRESS:  CITIZENSHIP:  SIGNATURE / DATE  4. Dr. Alexander STRAUB  42113 Wuppertal, DE  Kaulbachstr. 20, 42113 Wuppertal, DE  DE	
FULL NAME OF INVENTOR:  RESIDENCE:  POST OFFICE ADDRESS:  CITIZENSHIP:  SIGNATURE / DATE  5. Dr. Hanna TINEL  42113 Wuppertal, DE  in der Beek 16, 42113 Wuppertal, DE  PL	
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FULL NAME OF INVENTOR:  RESIDENCE:  POST OFFICE ADDRESS:  CITIZENSHIP:  SIGNATURE / DATE  8, Dr. Maximilian Andreas KULLMANN 42799 Leichlingen, DE Am Treppchen 5, 42799 Leichlingen, DE DE	

FULL NAME OF INVENTOR: RESIDENCE: POST OFFICE ADDRESS: CITIZENSHIP: SIGNATURE/DATE

PATENT

REEL: 048641 FRAME: 0423

21

Zum Jahnsportplatz 44, 42553 Velbert, DE

9. Dr. Till FREUDENBERGER

42553 Velbert, DE

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1. Dr. Henrik TELLER FULL NAME OF INVENTOR: 18258 Schwaan, DE RESIDENCE: Alte Weide 40a, 18258 Schwaan, DE POST OFFICE ADDRESS: CITIZENSHIP: SIGNATURE / DATE 2. Dr. Alexandros VAKALOPOULOS FULL NAME OF INVENTOR: 40721 Hilden, DE RESIDENCE: Heinrich-HeinerStr. [79a, 40721 Hilden, DE POST OFFICE ADDRESS: CITIZENSHIP: SIGNATURE / DATE 3. Dr. Melissa BOULTADAKIS ARAPINIS FULL NAME OF INVENTOR: 40215 Düsseldorf, DE RESIDENCE: Fürstenwall 135, 40215 Düsseldorf, DE POST OFFICE ADDRESS: CITIZENSHIP: Qu/01/19 SIGNATURE / DATE 4. Dr. Alexander STRAUB FULL NAME OF INVENTOR: 42113 Wuppertal, DE RESIDENCE: POST OFFICE ADDRESS: Kaulbachstr. 20, 42113 Wuppertal, DE CITIZENSHIP: SIGNATURE / DATE 5. Dr. Hanna TINEL FULL NAME OF INVENTOR: 42113 Wuppertal, DE RESIDENCE: In der Beek 16, 42113 Wuppertal, DE POST OFFICE ADDRESS: CITIZENSHIP: 24.01.13 SIGNATURE / DATE 6. Dr. Markus BRECHMANN FULL NAME OF INVENTOR: San Francisco, CA 94114, US RESIDENCE: 3833 18th Street, San Francisco, CA 94114, US POST OFFICE ADDRESS: CITIZENSHIP: SIGNATURE / DATE 7. Dr. Matthias Beat WITTWER FULL NAME OF INVENTOR: 4125 Riehen, CH RESIDENCE: Rüchligweg 49, 4125 Riehen, CH POST OFFICE ADDRESS: CHCITIZENSHIP: SIGNATURE / DATE 8. Dr. Maximilian Andreas KULLMANN FULL NAME OF INVENTOR: RESIDENCE: 42799 Leichlingen, DE Am Treppchen 5, 42799 Leichlingen, DE POST OFFICE ADDRESS: DF CITIZENSHIP: 24.01.15 SIGNATURE / DATE

FULL NAME OF INVENTOR: RESIDENCE: POST OFFICE ADDRESS: CITIZENSHIP: SIGNATURE / DATE

[Page 2]

DE

9. Dr. Till FREUDENBERGER

Zum Jahnsportplatz 44, 42553 Velbert, DE

42553 Velbert, DE

PATENT

REEL: 048641 FRAME: 0425

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BHC161032 PCT-US

**PATENT** 

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In accordance with 37 CFR 1.14(h)(3), access will be provided to a copy of the application-as-filed with respect to: 1) the above-identified patent application-as-filed, 2) any foreign application to which the above-identified application claims priority under 35 USC 1.19(a)-(d) if a copy of the foreign application that satisfies the certified copy requirement of 37 CFR 1.55 has been filed in the above-identified patent application, and 3) any U.S. application-as-filed from which benefit is sought in the above-identified patent application.

In accordance with 37 CFR 1.14(c), access may be provided to information concerning the date of filing the Authorization to Permit Access to Application by Participating Office.

PULL NAME OF INVENTOR: RESIDENCE: POST OFFICE ADDRESS: CITIZENSHIP: SIGNATURE / DATE	I. Dr. Henrik TELLER 18258 Schwaan, DE Alre Weide 40a, 18258 Schwaan, DE DE
FULL NAME OF INVENTOR: RESIDENCE: POST OFFICE ADDRESS: CITIZENSHIP: SIGNATURE / DATE	2. Dr. Alexandros VAKALOPOULOS 40721 Hilden, DE Heinrich-Heine-Str. 79a, 40721 Hilden, DE GR
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FULL NAME OF INVENTOR: RESIDENCE: POST OFFICE ADDRESS: CITIZENSHIP: SIGNATURE / DATE	6. Dr. Markus BRECHMANN San Francisco, CA 94114, US 3833 18th Street, San Francisco, CA 94114, US DE
FULL NAME OF INVENTOR: RESIDENCE: POST OFFICE ADDRESS: CITIZENSHIP: SIGNATURE / DATE	7. Dr. Matthias Beat WITTWER 4125 Richen, CH Rüchligweg 49, 4125 Richen, CH CH CH Kulling Was Mitthewar 3-TES-70 45
FULL NAME OF INVENTOR: RESIDENCE: POST OFFICE ADDRESS: CITIZENSHIP: SIGNATURE / DATE	8. Dr. Maximilian Andreas KULLMANN 42799 Leichlingen, DE Am Treppchen 5, 42799 Leichlingen, DE DE
PULL NAME OF INVENTOR: RESIDENCE: POST OFFICE ADDRESS: CITIZENSHIP: SIGNATURE/DATE	9. Dr. Till FREUDENBERGER 42553 Velbert, DE Zum Jahnsportplatz 44, 42553 Velbert, DE DE

BHC161032 PCT-US

## ASSIGNMENT WITH DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63)

#### ASSIGNMENT

Whereas, I/We, the undersigned inventor(s) hereinafter called assignor(s), have invented certain improvements described in the application identified below; and

Whereas, 1) BAYER AKTIENGESELLSCHAFT

2) BAYER PHARMA AKTIENGESELLSCHAFT of 1) Kaiser-Wilhelm-Allee 1, 51373 Leverkusen, DE

2) Millierstr. 178, 13353 Berlin, DE, (assignce), desires to acquire the entire right, title, and interest in the application and invention, and to any United States patents to be obtained therefor;

Now therefore, for valuable consideration, receipt whereof is hereby acknowledged,

I/We, the above named assignor(s), hereby sell, assign and transfer to the above named assignee, its successors, legal representatives, and assigns, my/our entire right, title and interest, if any, in the invention and the application for the United States of America, including all direct and indirect divisions, continuations, and continuations-in-part thereof, and all original, extended, reissued, reviewed, and reexamined Letters Patent of the United States, and all countries foreign thereof, that may be granted thereon, including rights of priority under the International Convention of Paris (1883) as amended, including the right to claim priority under 35 U.S.C. §119, and I/we request the Director of the U.S. Patent and Trademark Office to issue any Letters Patent granted upon the invention set forth in the application to the assignee, its successors and assigns; and I/we hereby agree that the assignee may apply for foreign Letters Patent on the invention and I/we will without further consideration, communicate all facts know to me/us and execute without further consideration all papers deemed necessary by the assignee in connection with the United States and foreign applications when called upon to do so by the assignee, its successors, legal representatives, or assigns. I/We further represent and warrant that I/We have the full right to convey the interest assigned by this assignment, and that I/We have not granted any rights inconsistent with the rights granted herein. I/We further acknowledge an obligation of assignment of this invention to assignee at the time the invention was made.

(Legalization not required for recording but is prima facie evidence of execution under 35 U.S.C. §261)

### DECLARATION

As a below named inventor, I hereby declare that this assignment with declaration is directed to:				
	The attached application,	OR		United States Application or PCT International Application Number PCT/EP2017/072339 filed on 2017-09-06 (Confirmation No).

### PRE-AJA

My residence, mailing address, and citizenship are as stated below next to my name.

I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention titled:

7-SUBSTITUTED 1-ARYL-NAPHTHYRIDINE-3-CARBOXYLIC ACID AMIDES AND USE THEREOF

I hereby state that I have reviewed and understand the contents of the above identified application, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

## POST-AIA

The above identified application was made or was authorized to be made by me.

I believe I am the original inventor or an original joint inventor of a claimed invention in the application.

I hereby acknowledge that any willful false statement made in this assignment with declaration is punishable under 18 USC 1001 by fine or imprisonment of not more than five (5) years, or both.

## Authorization To Permit Access To Application by Participating Office

[1] If checked, the undersigned hereby grants the USPTO authority to provide the European Patent Office (EPO), the Japan Patent Office (IPO), the Korean Intellectual Property Office (KIPO), the World Intellectual Property Office (WIPO), and any other intellectual property offices in which a foreign application claiming priority to the above-identified application is filed access to the above-identified patent application. See 37 CFR 1.14(c) and (h). This box should not be checked if the applicant does not wish the EPO, JPO, KIPO, or other intellectual property office in which a foreign application claiming priority to the above-identified application is filed to have access to the application.

FULL NAME OF INVENTOR: RESIDENCE: POST OFFICE ADDRESS: CITIZENSHIP: SIGNATURE / DATE

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