## 505386614 03/21/2019

# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2

tylesheet Version v1.2

EPAS ID: PAT5433407

SUBMISSION TYPE:	NEW ASSIGNMENT	
NATURE OF CONVEYANCE:	ASSIGNMENT	

#### **CONVEYING PARTY DATA**

Name	Execution Date
STEPHEN LEE	03/18/2019
MIN GON KIM	03/18/2019
HERMES KAMIMURA	03/18/2019

### **RECEIVING PARTY DATA**

Name:	THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK			
Street Address:	412 LOW MEMORIAL LIBRARY			
Internal Address:	nternal Address: 535 WEST 116TH STREET			
City:	NEW YORK			
State/Country:	NEW YORK			
Postal Code:	10027			

### **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	16265827

### **CORRESPONDENCE DATA**

**Fax Number:** (212)408-2501

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

**Phone:** 212-408-2500

Email: dlnydocket@bakerbotts.com

Correspondent Name: BAKER BOTTS L.L.P.

Address Line 1: 30 ROCKEFELLER PLAZA

Address Line 4: NEW YORK, NEW YORK 10112

ATTORNEY DOCKET NUMBER:	070050.6216
NAME OF SUBMITTER:	HIROKO LAVIETES
SIGNATURE:	/Hiroko Lavietes/
DATE SIGNED:	03/21/2019

### **Total Attachments: 5**

source=Assignment#page1.tif source=Assignment#page2.tif

PATENT 505386614 REEL: 048659 FRAME: 0534

source=Assignment#page3.tif
source=Assignment#page4.tif
source=Assignment#page5.tif

## ASSIGNMENT

WHEREAS, WE, Stephen Lee, a citizen of the United States, having a residence address at SOO W 122 W Street At 2B, W, WOOZ Min Gon Kim, a citizen of South Korea, having a residence address at 800 Port ave AT 401. Fort Lee, NJ on 24 and Hermes Kamimura, a citizen of Cozzi , having a residence address at 510 W 168 W Street Now York, NY; (hereinafter "ASSIGNORS"), have made an invention entitled "METHODS AND SYSTEMS FOR PERIPHERAL NERVE MODULATION USING FOCUSED ULTRASOUND" as set forth and described in U.S. Application No. 16/265,827, filed February 1, 2019; and

WHEREAS, THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK (hereinafter "ASSIGNEE"), having its principal place of business at 412 Low Memorial Library, 535 West 116th Street, New York, NY, 10027, is desirous of acquiring the whole right, title and interest in and to said application for Letters Patent, and any continuations, divisions, extensions, substitutions, reissues and reexaminations thereof;

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN, BE IT KNOWN, that I, the said ASSIGNORS, for and in consideration of valuable considerations unto us moving from said ASSIGNEE, at or before the ensealing and delivery of these presents, the receipt and sufficiency of which is hereby acknowledged, have sold, assigned, transferred and conveyed and by these presents do sell, assign, transfer and convey, unto said ASSIGNEE, its successors and assigns, the entire right, title and interest in and to the said invention entitled "METHODS AND SYSTEMS FOR PERIPHERAL NERVE MODULATION USING **FOCUSED ULTRASOUND**" and any and all improvements thereto, and in and to said application and any division, continuation or continuation-in-part thereof, and in and to any Letters Patent of the United States which may be issued on any of said applications, and any reissues thereof, and in and to any and all applications for Letters Patent filed in foreign countries for said invention or improvements including all priority rights, and any and all Letters Patent which may be granted in foreign countries therefor, and in and to any other applications based in whole or in part on any of the foregoing, TO HAVE AND TO HOLD THE SAME to the full end of the term or terms for which any and all said Letters Patent may be granted;

AND WE, the said **ASSIGNORS**, do hereby authorize and request the Commissioner of Patents and Trademarks to issue the said Letters Patent of the United States to said **ASSIGNEE**, as the assignee of the entire right, title and interest in and to the same, for the sole use and benefit of said **ASSIGNEE**, its successors and assigns;

AND WE, the said **ASSIGNORS**, for the considerations aforesaid, do hereby covenant and agree to and with said **ASSIGNEE**, its successors and assigns, that we have the full power to

Active 39896677.1

make this assignment, and that the rights assigned are not encumbered by any grant, license or right heretofore given, and that we, our executors or administrators, shall and will do all lawful acts and things and make, execute and deliver without further compensation, any and all other instruments in writing, further applications, papers, affidavits, powers of attorney, assignments, and other documents which, in the opinion of counsel for said ASSIGNEE, its successors and assigns, may be required or necessary more effectively to secure to and vest in said ASSIGNEE, its successors and assigns, the entire right, title and interest in and to said invention and improvements, applications, Letters Patent, rights, titles, benefits, privileges, and advantages hereby sold, assigned, transferred and conveyed, and that we will sign any applications for reissue which may be desired by the owner of the patent or patents which may be issued for the said invention or improvements.

IN WITNESS WHEREOF, I, the said ASSIGNOR have executed this Assignment this

Witnes's Signature

Witness Name

	IN WITNE	SS WHEREO	F, I, the said <b>ASSIGNOR</b> have executed th	is Assignment this
18	day of	March	, 201 <u>°</u>	
			the	·
			Min Gon Kim	
			-	
				-
			Witness Signature	·
			Grigorios Marios	Valada
			Witness Name	- Mariadeond 23

-3-

Active 39896677,1

П	N WITNES	SS WHERE	OF, I,	, the said ASSIGNOR have executed this Assignment this
	_ day of	March	-	, 201
			-	
				Hermes Outh Isells Konim- Hermes Kamimura
				Witness Signature
				Witness Name

#### ACCEPTANCE BY ASSIGNEE

In connection with an assignment in any jurisdiction in which an acceptance by ASSIGNEE is required, I hereby accept this assignment on behalf of The Trustees of Columbia University in the City of New York, I declare under penalty of perjury under the laws of the United States of America, and under penalty of the laws of any other jurisdiction before which this document may be presented, that (check all that apply):

am an o	fficer of the above-id	entified ASSIGNEE,		
I have sig		n behalf of ASSIGNEE	with the full a	uthority of the

and that all the foregoing is true and correct,

Name: Title:

Thomas H. Burrows Jr., J.D. Associate General Counsel Columbia University

New York, N.Y. U.S.A.

(212) 854-0681

-5-

Active 39896677.1

RECORDED: 03/21/2019