

PATENT ASSIGNMENT COVER SHEET

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 Stylesheet Version v1.2

EPAS ID: PAT5443280

SUBMISSION TYPE:	NEW ASSIGNMENT	
NATURE OF CONVEYANCE:	ASSIGNMENT	
CONVEYING PARTY DATA		
	Name	Execution Date
	ROCHE R&D CENTER (CHINA) LTD.	05/14/2018
RECEIVING PARTY DATA		
Name:	F. HOFFMANN-LA ROCHE AG	
Street Address:	GRENZACHERSTRASSE 124	
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State/Country:	SWITZERLAND	
Postal Code:	4070	
PROPERTY NUMBERS Total: 1		
	Property Type	Number
	Application Number:	16358371
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NAME OF SUBMITTER:	CHIAKI KOKKA	
SIGNATURE:	/Chiaki Kokka/	
DATE SIGNED:	03/27/2019	
Total Attachments: 3		
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source=Assignment 4 (Roche R&D Center CN Ltd to FHRLR)#page3.tif		



Case No. P34670

TO BE NOTARIZED

ASSIGNMENT AGREEMENT

Roche R&D Center (China) Ltd. ("ASSIGNOR") a corporation duly organized under the laws of the People's Republic of China and having its principal place of business at Building 5, No. 720, Cailun Road, Shanghai, 201203, China

acknowledges the previous transfer to

F. Hoffmann-La Roche AG ("ASSIGNEE") a corporation duly organized under the laws of Switzerland, and having its principal place of business at 124 Grenzacherstrasse, CH-4070 Basle, Switzerland

for good and valuable consideration paid to ASSIGNOR by ASSIGNEE, the receipt and sufficiency of which is hereby acknowledged, of all right, title, and interest in, to, and deriving from the invention(s) disclosed in the patent application(s) set forth below for all countries of the world by operation of law, contract, or otherwise, and any Letters Patent which may hereafter be granted on the same in all countries of the world including any application claiming priority, divisions, renewals, continuations in whole or in part, substitutions, conversions, reissues, reexaminations, prolongations or extensions thereof.

As far as the right, title, and interest of ASSIGNOR in, to, and deriving from the invention(s) disclosed in the patent application(s) set forth below may not have been transferred, for good and valuable consideration paid to ASSIGNOR by ASSIGNEE, the receipt and sufficiency of which is hereby acknowledged, ASSIGNOR hereby assigns, sells and transfers to ASSIGNEE, and to ASSIGNEE'S successors and assigns, for all countries of the world all of ASSIGNOR'S right, including the right to claim priority, title and interest in, to, and deriving from the invention(s) described in the patent application(s) listed below, including any divisions, renewals, continuations in whole or in part, substitutions, conversions, reissues, reexaminations, prolongations or extensions thereof, or other patent applications claiming the benefit of the filing date of the following patent application(s):

Case No.	Country	Filing Date	Serial No.
P34670	WO	28. March 2018	PCT/CN2018/080820

entitled

PEPTIDE MACROCYCLES AGAINST ACINETOBACTER BAUMANNII

ASSIGNEE, by virtue of this Assignment, shall have the right to file and own patent applications that claim priority to and are based in whole or in part on the patent application(s) cited above in all countries of the world and to own Letters Patents that issue thereon. Accordingly, ASSIGNOR hereby authorizes and requests the patent offices to issue any Letters Patent which may issue for such patent applications, including any divisions, renewals, continuations in whole or in part, substitutions, conversions, reissues, reexaminations, prolongations or extensions thereof, to ASSIGNEE, its successors and assigns.

ASSIGNOR does hereby covenant and agree with ASSIGNEE, its successors and assigns, that ASSIGNOR will not execute any writing or do any act conflicting with this assignment, and ASSIGNOR further agrees that he will, without charge to said ASSIGNEE, but at ASSIGNEE'S expense, cooperate with ASSIGNEE in the prosecution of said Letters Patent and/or applications, execute, verify, acknowledge and deliver all such further papers, including applications for Letters Patent and for the reissue thereof, and instruments of assignment and transfer thereof, and will perform such other acts as ASSIGNEE lawfully may request, to obtain or maintain Letters Patent for said invention and improvement in any and all countries, and to vest title thereto in said ASSIGNEE, or ASSIGNEE'S successors and assigns.

Case No. P34670

TO BE NOTARIZED

This agreement shall be governed and construed in accordance with substantive laws of Switzerland, with the exclusion of the Vienna Convention on the International Sale of Goods dated April 11, 1980. The competent courts of Basel City, Switzerland shall have exclusive jurisdiction.

ASSIGNOR

Roche R&D Center (China) Ltd.

Shanghai May 22, 2018 Yingxian Zhu
Place, Date Signatures

ASSIGNEE

F. Hoffmann-La Roche AG

Basel May 22, 2018 Antonio Natoli Ina Gengelbach
Place, Date Signatures
Antonio Natoli
Holder of procuration
Ina Gengelbach
Mandatory



Legalization

The undersigned Notary Public of the Canton of Basel-Stadt (Switzerland), Dr. Christoph Nertz, herewith legalizes the foregoing signature of

Roche R&D Center (China) Ltd., a company with legal domicile in Shanghai (China), rendered by Mister Yingxian Zhu, born on April 30th, 1976, Chinese citizen, residing in Shanghai (China), duly entitled to sign documents wherein property of the company is alienated.

Legalization based on comparison with authentic signature.

Basel (Switzerland), this 24th (twenty-fourth) day of August 2018 (two thousand and eighteen).



Yingxian Zhu

35R 1056 / 2018

Legalization

The undersigned Notary Public of the Canton of Basel-Stadt (Switzerland), Dr. Christoph Nertz, herewith legalizes the overleaf signature of

F. Hoffmann-La Roche AG, a stock company with legal domicile in Basel (Switzerland), rendered by

Mister Antonio Natoli, citizen of and residing in Basel (Switzerland), and

Miss Ina Gengelbach, German citizen, residing in Grenzach-Wyhlen (Germany),

both duly entitled to sign documents wherein property of the company is alienated.

Signers and signatures personally known.

Basel (Switzerland), this 7th (seventh) day of June 2018 (two thousand and eighteen).



BR 819/2018

