# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT5447155

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
ARINDAM MANDAL	12/06/2018
KENICHI KUMATANI	12/06/2018
NIKKO STROM	12/04/2018
MINHUA WU	12/07/2018
SHIVA SUNDARAM	12/04/2018
BJORN HOFFMEISTER	12/04/2018
JEREMIE LECOMTE	12/04/2018

### **RECEIVING PARTY DATA**

Name:	AMAZON TECHNOLOGIES, INC.
Street Address:	PO BOX 81226
City:	SEATTLE
State/Country:	WASHINGTON
Postal Code:	98108-1226

### **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	16368331

## **CORRESPONDENCE DATA**

Fax Number:

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

**Email:** patent@pierceatwood.com

Correspondent Name: PIERCE ATWOOD LLP (ATTN: AMAZON DOCKETING)

Address Line 1: 100 SUMMER STREET

Address Line 4: BOSTON, MASSACHUSETTS 02110

ATTORNEY DOCKET NUMBER:	P46290-US01CIP	
NAME OF SUBMITTER:	ILAN N. BARZILAY	
SIGNATURE:	/llan N. Barzilay/	
DATE SIGNED:	03/28/2019	
	This document serves as an Oath/Declaration (37 CFR 1.63).	

PATENT REEL: 048733 FRAME: 0230

505400361

# Total Attachments: 10 source=P46290-US01 Assignment and Declaration#page1.tif source=P46290-US01 Assignment and Declaration#page2.tif source=P46290-US01 Assignment and Declaration#page3.tif source=P46290-US01 Assignment and Declaration#page4.tif source=P46290-US01 Assignment and Declaration#page5.tif source=P46290-US01 Assignment and Declaration#page6.tif source=P46290-US01 Assignment and Declaration#page7.tif source=P46290-US01 Assignment and Declaration#page8.tif source=P46290-US01 Assignment and Declaration#page9.tif source=P46290-US01 Assignment and Declaration#page9.tif

U.S. Patent Appln. No. <u>16/143,910</u> Attorney Docket No. <u>34703-3566</u>

Filing Date September 27, 2018 Client Docket No. P46290-US01

#### **PARTIES TO THE ASSIGNMENT**

## Assignor(s):

**Arindam Mandal** 

410 Terry Avenue North Seattle, WA 98109-5210

Kenichi Kumatani

410 Terry Avenue North Seattle, WA 98109-5210

Nikko Strom

410 Terry Avenue North Seattle, WA 98109-5210

Minhua Wu

410 Terry Avenue North Seattle, WA 98109-5210

Assignee:

Amazon Technologies, Inc. PO Box 81226 Seattle, WA 98108-1226

<u>AGREEMENT</u>

**Shiva Sundaram** 

410 Terry Avenue North Seattle, WA 98109-5210

**Bjorn Hoffmeister** 

410 Terry Avenue North Seattle, WA 98109-5210

Jeremie Lecomte

410 Terry Avenue North Seattle, WA 98109-5210

WHEREAS, ASSIGNOR(S) (listed above) are inventor(s) of an invention entitled

"<u>DEEP MULTI-CHANNEL ACOUSTIC MODELING</u>" (Invention) for which a non-provisional application for United States Letters Patent (Application):

,
was filed on September 27, 2018 and accorded U.S. Application No. 16/143,910; or
will be filed concurrently with the submission of this executed PATENT ASSIGNMENT
for recordation.

ASSIGNOR(S) hereby authorizes and requests ASSIGNEE'S legal representatives, of

U.S. Patent Appln. No. 16/143,910

Attorney Docket No. 34703-3566

Filing Date September 27, 2018

Client Docket No. P46290-US01

Title of Invention: DEEP MULTI-CHANNEL ACOUSTIC MODELING

Inventors: Arindam Mandal, Kenichi Kumatani, Nikko Strom, Minhua Wu, Shiva Sundaram,

Bjorn Hoffmeister, Jeremie Lecomte

Pierce Atwood LLP, associated with Customer No. 136714, to insert in the header above and here

in parentheses (U.S. Patent Application No. 16/143,910, filed September 27, 2018) this

application's U.S. application number and filing date, when known.

WHEREAS, ASSIGNEE, a corporation of the State of Nevada, is desirous of acquiring the

entire right, title and interest in and to the Invention and in and to any letters patent that may be

granted therefor in the United States and in any and all foreign countries.

NOW, THEREFORE, in exchange for good and valuable consideration, the receipt of which

is hereby acknowledged, ASSIGNOR(S) hereby sell, assign and transfer unto ASSIGNEE its

successors and assigns, the entire right, title and interest in and to said Invention and any

improvements thereto, said Application and any and all letters patent which may be granted for

said Invention in the United States of America and its territorial possessions and in any and all

foreign countries, and in any and all provisional, divisions, reissues, re-examinations and

continuations thereof, including the right to file foreign applications directly in the name of

ASSIGNEE and to claim priority rights deriving from said Application to which said foreign

applications are entitled by virtue of international convention, treaty or otherwise, said Invention,

Application and all letters patent on said Invention to be held and enjoyed by ASSIGNEE and its

successors and assigns for their use and benefit and of their successors and assigns as fully and

entirely as the same would have been held and enjoyed by ASSIGNOR(S) had this assignment,

transfer and sale not been made. ASSIGNOR(S) hereby authorize and request the Commissioner

of Patents and Trademarks, as well as all other applicable patent offices throughout the world, to

issue all letters patent on said Invention to ASSIGNEE. ASSIGNOR(S) agree to execute all

Page 2 of 10 {W6903066.1}

U.S. Patent Appln. No. 16/143,910

Attorney Docket No. 34703-3566

Filing Date September 27, 2018

Client Docket No. P46290-US01

Title of Invention: DEEP MULTI-CHANNEL ACOUSTIC MODELING

Inventors: Arindam Mandal, Kenichi Kumatani, Nikko Strom, Minhua Wu, Shiva Sundaram,

Bjorn Hoffmeister, Jeremie Lecomte

instruments and documents required for the making and prosecution of applications for United

States and foreign letters patent on said Invention, for litigation regarding said letters patent, or

for the purpose of protecting title to said Invention or letters patent therefor.

AND ASSIGNOR(S) DOES HEREBY sell, assign, transfer, and convey to ASSIGNEE, its

successors, legal representatives, and assigns all claims for damages and all remedies arising out

of any violation of the rights assigned hereby that may have accrued prior to the date of

assignment to ASSIGNEE, or may accrue hereafter, including, but not limited to, the right to sue

for, collect, and retain damages for past infringements of said letters patent before or after

issuance.

AND ASSIGNOR(S) DOES HEREBY covenant and agree that ASSIGNOR(S) will communicate

to ASSIGNEE, its successors, legal representatives and assigns, any facts known to ASSIGNOR

respecting the Invention or said Application, and testify in any legal proceeding, assist in the

preparation of any other patent property relating to the Application and the Invention or any

improvements made thereto, sign/execute all lawful papers, provide all requested documents,

execute and make all rightful oaths and/or declarations in connection with the Application and

the Invention including any improvements made thereto, any patent applications filed therefrom,

and any continuing application filed from any of the aforementioned applications, and generally

do everything possible to aid ASSIGNEE, its successors, legal representatives and assigns, to obtain

and enforce proper patent protection for the Invention in all countries. These provisions are

binding upon ASSIGNOR's heirs, legal representatives, administrators, and assigns.

Page 3 of 10 {W6903066.1}

U.S. Patent Appln. No. <u>16/143,910</u>	Attorney Docket No. <u>34703-3566</u>
Filing Date <u>September 27, 2018</u>	Client Docket No. P46290-US01
Title of Invention: <u>DEEP MULTI-CHANNEL ACOUSTIC MODELI</u>	<u>NG</u>
Inventors: Arindam Mandal, Kenichi Kumatani, Nikko Stroi Bjorn Hoffmeister, Jeremie Lecomte	m, Minhua Wu, Shiva Sundaram,
DECLARATION	
As a below named inventor, I hereby declare that:	
This declaration is directed to:	
the attached application; or	
$igotimes$ United States Patent Application number $\underline{16/14}$	<u>3,910</u> filed on <u>September 27, 2018</u> ; or
PCT International Application number	filed on
The above-identified application was made or authorized to	be made by me.
I believe that I am the original inventor or an original joint in	nventor of a claimed Invention in the
application.	
I have reviewed and understand the contents of the above-	identified application, and
acknowledge the duty to disclose to the US Patent & Trader	
me to be material to the patentability as defined in 37 CFR	1.50.
I hereby acknowledge that any willful false statement made	e in this declaration is punishable
under 18 USC 1001 by fine or imprisonment of not more that	
Docusigned by:  Arindam Mandal	
0894543BF8BF4CF	
Arindam Mandal	
December 6, 2018	
Date	

{W6903066.1} Page 4 of 10

U.S. Patent Appln. No. <u>16/143,910</u>	Attorney Docket No. <u>34703-3566</u>
Filing Date <u>September 27, 2018</u>	Client Docket No. P46290-US01
Title of Invention: <u>DEEP MULTI-CHANNEL ACOUSTIC MODELING</u>	ì
Inventors: <b>Arindam Mandal</b> , <b>Kenichi Kumatani</b> , <b>Nikko Strom</b> , <b>Bjorn Hoffmeister</b> , <b>Jeremie Lecomte</b>	Minhua Wu, Shiva Sundaram,
DECLARATION	
As a below named inventor, I hereby declare that:	
This declaration is directed to:	
the attached application; or	
$igstyle$ United States Patent Application number $\underline{16/143,9}$	<u>10</u> filed on <u>September 27, 2018</u> ; or
PCT International Application number	filed on
The above-identified application was made or authorized to be	e made by me.
I believe that I am the original inventor or an original joint inve	entor of a claimed Invention in the
application.	
I have reviewed and understand the contents of the above-ide	entified application, and
acknowledge the duty to disclose to the US Patent & Tradema	rk Office all information known to
me to be material to the patentability as defined in 37 CFR 1.5 $$	6.
I hereby acknowledge that any willful false statement made in	this declaration is punishable
under 18 USC 1001 by fine or imprisonment of not more than	five (5) years, or both.
، DocuSigned by:	
/kenichi kumatani/	
2D678E15CDC7484	
Kenichi Kumatani	
December 6, 2018	
Date	

{W6903066.1} Page 5 of 10

U.S. Patent Appln. No. <u>16/143,910</u>	Attorney Docket No. <u>34703-3566</u>
Filing Date <u>September 27, 2018</u>	Client Docket No. P46290-US01
Title of Invention: <u>DEEP MULTI-CHANNEL ACOUSTIC MODELI</u>	<u>NG</u>
Inventors: <b>Arindam Mandal</b> , <b>Kenichi Kumatani</b> , <b>Nikko Stror Bjorn Hoffmeister</b> , <b>Jeremie Lecomte</b>	m, Minhua Wu, Shiva Sundaram,
<u>DECLARATION</u>	
As a below named inventor, I hereby declare that:	
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United States Patent Application number 16/14:	3,910 filed on <u>September 27, 2018</u> ; or
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The above-identified application was made or authorized to	be made by me.
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I have reviewed and understand the contents of the above-	identified application, and
acknowledge the duty to disclose to the US Patent & Trader	mark Office all information known to
me to be material to the patentability as defined in 37 CFR	1.56.
I hereby acknowledge that any willful false statement made	e in this declaration is punishable
under 18 USC 1001 by fine or imprisonment of not more that	an five (5) years, or both.
/Mkko Strom/	
858060C9446D438	
Nikko Strom	
December 4, 2018	
Date	

{W6903066.1} Page 6 of 10

U.S. Patent Appln. No. <u>16/143,910</u>	Attorney Docket No. <u>34703-3566</u>
Filing Date <u>September 27, 2018</u>	Client Docket No. <u>P46290-US01</u>
Title of Invention: <u>DEEP MULTI-CHANNEL A</u>	COUSTIC MODELING
Inventors: Arindam Mandal, Kenichi Kuma Bjorn Hoffmeister, Jeremie Lecomte	atani, Nikko Strom, Minhua Wu, Shiva Sundaram,
<u>DECLARATION</u>	
As a below named inventor, I hereby decla	ire that:
This declaration is directed to:	
$\hfill\Box$ the attached application; or	
United States Patent Application	on number <u>16/143,910</u> filed on <u>September 27, 2018</u> ; or
PCT International Application r	number filed on
The above-identified application was made	e or authorized to be made by me.
I believe that I am the original inventor or	an original joint inventor of a claimed Invention in the
application.	
I have reviewed and understand the cente	into of the chave identified application and
	ents of the above-identified application, and
	S Patent & Trademark Office all information known to
me to be material to the patentability as d	elinea in 37 CFR 1.56.
I hereby acknowledge that any willful false	e statement made in this declaration is punishable
under 18 USC 1001 by fine or imprisonmen	
aa. 10 000 1001 8,	te et mee mer e than mee (e), y ears, et e eeth
Minhua WW	
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Minhua Wu	
December 7, 2018	
Date	

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U.S. Patent Appln. No. <u>16/143,910</u>	Attorney Docket No. <u>34703-3566</u>
Filing Date <u>September 27, 2018</u>	Client Docket No. <u>P46290-US01</u>
Title of Invention: <u>DEEP MULTI-CHANNEL</u>	ACOUSTIC MODELING
Inventors: <b>Arindam Mandal</b> , <b>Kenichi Kum Bjorn Hoffmeister</b> , <b>Jeremie Lecomte</b>	natani, Nikko Strom, Minhua Wu, Shiva Sundaram,
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under 18 USC 1001 by fine or imprisonme	ent of not more than five (5) years, or both.
ـــــــ DocuSigned by:	
/Shiva Sundaram/	
49ACBA0EF78E487	
Shiva Sundaram	
- 1 2010	
December 4, 2018	
Date	

{W6903066.1} Page 8 of 10

U.S. Patent Appln. No. <u>16/143,910</u>	Attorney Docket No. <u>34703-3566</u>
Filing Date <u>September 27, 2018</u>	Client Docket No. P46290-US01
Title of Invention: <u>DEEP MULTI-CHANNEL ACOUSTIC</u>	MODELING
Inventors: <b>Arindam Mandal</b> , <b>Kenichi Kumatani</b> , <b>Ni Bjorn Hoffmeister</b> , <b>Jeremie Lecomte</b>	kko Strom, Minhua Wu, Shiva Sundaram,
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acknowledge the duty to disclose to the US Patent	
me to be material to the patentability as defined in	
me to be material to the patentability as defined in	. 57 6.11. 2.56.
I hereby acknowledge that any willful false stateme	ent made in this declaration is punishable
under 18 USC 1001 by fine or imprisonment of not	more than five (5) years, or both.
/Byorn Hoffmeister/	
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Bjorn Hoffmeister	
December 4, 2018	
Date	

{W6903066.1} Page 9 of 10

{W6903066.1}

**RECORDED: 03/28/2019** 

## **PATENT ASSIGNMENT AND DECLARATION (37 CFR 1.63)**

U.S. Patent Appln. No. <u>16/143,910</u>	Attorney Docket No. 34703-3566
Filing Date <u>September 27, 2018</u>	Client Docket No. P46290-US01
Title of Invention: <u>DEEP MULTI-CHANNEL ACOUSTIC MODI</u>	ELING
Inventors: <b>Arindam Mandal, Kenichi Kumatani, Nikko Strom, Minhua Wu, Shiva Sundaram, Bjorn Hoffmeister, Jeremie Lecomte</b>	
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I hereby acknowledge that any willful false statement made in this declaration is punishable	
under 18 USC 1001 by fine or imprisonment of not more than five (5) years, or both.	
Docusigned by:  / Juvumic Luomtu/	
December 4, 2018	
Date	

Page 10 of 10