

PATENT ASSIGNMENT COVER SHEET

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 Stylesheet Version v1.2

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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
JOHN HARTLEY	12/03/2018
RECEIVING PARTY DATA	
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Street Address:	GOWER STREET
City:	LONDON
State/Country:	UNITED KINGDOM
Postal Code:	WC1E 6BT
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	16197891
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ATTORNEY DOCKET NUMBER:	MEWB-37414/US-1/CON
NAME OF SUBMITTER:	MELISSA E. KOLOM
SIGNATURE:	/MELISSA E. KOLOM/
DATE SIGNED:	04/04/2019
Total Attachments: 15	
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ASSIGNMENT OF INVENTION & PATENT RIGHTS

Parties

- (1) **HARTLEY, JOHN** c/o University College London, Gower Street, London, WC1E 6BT, United Kingdom (the **"Inventor"**);
- (2) **UNIVERSITY COLLEGE LONDON** an institution incorporated in England and Wales by Royal Charter with company number RC000631 and whose principal address is at Gower Street, London, WC1E 6BT, United Kingdom (**"UCL"**);
- (3) **ADC THERAPEUTICS SA** incorporated and registered in the Swiss Confederation and whose principle address is Route de la Corniche 3b, 1066 Epalinges, Switzerland (**"ADCT SA"**); and
- (4) **MEDIMMUNE LIMITED** incorporated and registered in England & Wales with company number 02451177 whose registered office is at Milstein Building, Granta Park, Cambridge, CB21 6GH (**"MedImmune"**)

Agreed terms

1. Definitions

The definitions in this clause apply in this Assignment.

"Completion Applications" means the completion patent applications, short particulars of which are set out in Part 2 of the Schedule.

"Invention" means the inventions entitled COMBINATION THERAPY being the subject matter of the Priority Applications.

"Patent Applications" means the Priority Applications, the Completion Applications and any future applications linked by one or more priorities to the Priority Applications or the Completion Applications and all matter contained in such applications and any and all:

- (a) national and regional phase applications of all such applications; and
- (b) divisions, continuations and continuations-in-part of all such applications; and
- (c) patents that may be granted pursuant to any of the aforesaid applications for their full period, including any re-issues, re-examinations, renewals, extensions and Supplementary Protection Certificates.

"Priority Applications" means the priority patent applications, short particulars of which are set out in Part 1 of the Schedule.

2. Assignment

In consideration of the sum of £1 (sufficiency and receipt of which the Inventor, UCL and ADCT SA each expressly acknowledge), the Inventor hereby assigns absolutely to UCL all its right, title and interest, thereafter UCL hereby assigns absolutely to ADCT SA all its right, title

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and interest, and thereafter ADCT SA hereby assigns absolutely to MedImmune a one half share in all its right, title and interest in all countries, regions and territories of the world in, to and arising from the Invention and, with effect from immediately prior to the filing of each, the Patent Applications, and to the effect that all patents granted therefrom shall vest in and shall proceed jointly in the names of ADCT SA and MedImmune, and including (but not limited to):

- (a) in respect of the Invention and any invention that shall be disclosed in, and all matter that shall be contained in, any of the Patent Applications, the right to file applications, claim priority from such applications, and prosecute and obtain grant of patent or other intellectual property protection in respect of any country, region or territory in the world;
- (b) in respect of each and any Patent Application:
 - (i) the right to claim priority from and to prosecute and obtain grant of patents; and
 - (ii) the right to file divisional applications based on the Patent Applications and to prosecute and obtain grant of patent on each and any such divisional application;
- (c) the right to extend to, or register in or in respect of any country, region or territory in the world, each and any of the Patent Applications and to extend to or register in, or in respect of, any country, region or territory in the world any patent or other intellectual property protection granted on any of such applications;
- (d) the absolute entitlement to any patents granted pursuant to any Patent Application; and
- (e) the right to bring, make, oppose or defend claims or actions, appeal proceedings and obtain relief (and to retain any damages recovered) in respect of any infringement, or any other cause of action arising from ownership, of the Inventions and the Patent Applications, whether occurring before on or after the date of this Assignment.

3. Further assurance

The Inventor, UCL and ADCT SA shall, at ADCT SA's and MedImmune's joint cost, perform all further acts and things, and execute all further documents, required by law or which ADCT SA or MedImmune request to vest in ADCT SA or MedImmune the full benefit of the right, title and interest assigned to ADCT SA and MedImmune under this Assignment, including (but not limited to):

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brought by or against ADCT SA or MedImmune by any third party or in the resolution of any question concerning the Invention, or the Patent Applications or any inventions that shall be disclosed in any of the Patent Applications.

4. Right to amend the Schedule

The Inventor, UCL, ADCT SA and MedImmune grant the firm of Mewburn Ellis LLP the right to insert in Part 3 of the Schedule to this Assignment any further identification of any existing or future patent applications in any countries, regions or territories of the world, which shall fall within the scope of clause 2 above, or as may be necessary or desirable in order to comply with the rules of a patent office for the recordal of this Assignment.

5. Counterparts

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6. Governing law and jurisdiction

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Schedule: The Patent Applications

Part 1: Priority Applications

Country	Application No.	Application Date	Title
GB	1706261.3	20 April 2017	COMBINATION THERAPY
GB	1706260.5	20 April 2017	COMBINATION THERAPY
GB	1706259.7	20 April 2017	COMBINATION THERAPY
GB	1706258.9	20 April 2017	COMBINATION THERAPY
GB	1706257.1	20 April 2017	COMBINATION THERAPY
GB	1706256.3	20 April 2017	COMBINATION THERAPY
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GB	1706251.4	20 April 2017	COMBINATION THERAPY
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GB	1706231.6	20 April 2017	COMBINATION THERAPY
GB	1706230.8	20 April 2017	COMBINATION THERAPY
GB	1706229.0	20 April 2017	COMBINATION THERAPY
GB	1706228.2	20 April 2017	COMBINATION THERAPY
GB	1706227.4	20 April 2017	COMBINATION THERAPY
GB	1706226.6	20 April 2017	COMBINATION THERAPY
GB	1706225.8	20 April 2017	COMBINATION THERAPY
GB	1706224.1	20 April 2017	COMBINATION THERAPY
GB	1706223.3	20 April 2017	COMBINATION THERAPY
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GB	1706236.5	20 April 2017	COMBINATION THERAPY
GB	1706235.7	20 April 2017	COMBINATION THERAPY
GB	1706234.0	20 April 2017	COMBINATION THERAPY
GB	1706233.2	20 April 2017	COMBINATION THERAPY
GB	1706221.7	20 April 2017	COMBINATION THERAPY
GB	1706232.4	20 April 2017	COMBINATION THERAPY
GB	1802947.0	23 February 2018	COMBINATION THERAPY
GB	1805189.6	29 March 2018	COMBINATION THERAPY
GB	1805660.6	05 April 2018	COMBINATION THERAPY

Part 2: Completion Applications

Country/region	Application No.	Application Date	Title
WO	PCT/EP2018/060215	20 April 2018	COMBINATION THERAPY
WO	PCT/EP2018/060214	20 April 2018	COMBINATION THERAPY
WO	PCT/EP2018/060209	20 April 2018	COMBINATION THERAPY
WO	PCT/EP2018/060210	20 April 2018	COMBINATION THERAPY

Part 3: Identification of some, but not necessarily all, patent applications falling within the scope of clause 2 of this Assignment

Country/region	Application No.	Application Date	Title	Signature for Mewburn Ellis LLP

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Executed by **JOHN HARTLEY** in the
presence of:

SIGNATURE OF JOHN HARTLEY

SIGNATURE OF WITNESS

NAME:
ADDRESS:
OCCUPATION:
DATE:

Executed by **UNIVERSITY COLLEGE
LONDON** acting by _____, an
Authorised Signatory, in the presence of:

SIGNATURE OF AN AUTHORISED
SIGNATORY

SIGNATURE OF WITNESS

NAME:
ADDRESS:
OCCUPATION:
DATE:

Executed by **ADC THERAPEUTICS SA** acting
by Michael Forer, an Authorised Signatory,
in the presence of:

SIGNATURE OF MICHAEL FORER

SIGNATURE OF WITNESS

NAME: Dulce Goncalves
ADDRESS: Biopôle, Route de la Corniche
3B, 1066 Epalinges, Switzerland
OCCUPATION: General Counsel
DATE: 29 August 2018

Executed by **MEDIMMUNE LIMITED** acting
by Christopher S Winter, an Authorised
Signatory, in the presence of:

SIGNATURE OF CHRISTOPHER S WINTER

SIGNATURE OF WITNESS

NAME:
ADDRESS:
OCCUPATION:
DATE:

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Executed by **JOHN HARTLEY** in the
presence of:

D. Bohner

SIGNATURE OF WITNESS

NAME: DANIEL HOCHHAUSEL

ADDRESS: VLL CANCER INSTITUTE, 72 HAMLET ST, LONDON

OCCUPATION: PROFESSION OF ONCOLOGIST

DATE: 3/12/18

John Hartley
SIGNATURE OF JOHN HARTLEY

Executed by **UNIVERSITY COLLEGE**

LONDON acting by ANNELANE, an

Authorised Signatory, in the presence of:

Selina Mir

SIGNATURE OF WITNESS

NAME: SELINA MIR

ADDRESS: 97 TOTTENHAM COURT ROAD, LONDON, W1T 4TP

OCCUPATION: LEGAL AFFAIRS OFFICER

DATE: 4/12/18

Annelane

SIGNATURE OF AN AUTHORISED
SIGNATORY

Executed by **ADC THERAPEUTICS SA** acting
by Michael Forer, an Authorised Signatory,
in the presence of:

Dulce Goncalves

SIGNATURE OF WITNESS

NAME: Dulce Goncalves

ADDRESS: Biopôle, Route de la Corniche

3B, 1066 Epalinges, Switzerland

OCCUPATION: General Counsel

DATE:

Michael Forer
SIGNATURE OF MICHAEL FORER

Executed by **MEDIMMUNE LIMITED** acting
by Christopher S Winter, an Authorised
Signatory, in the presence of:

Christopher S Winter

SIGNATURE OF WITNESS

NAME:

ADDRESS:

OCCUPATION:

DATE:

Christopher S Winter
SIGNATURE OF CHRISTOPHER S WINTER

ASSIGNMENT OF INVENTION & PATENT RIGHTS

Parties

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- (e) the right to bring, make, oppose or defend claims or actions, appeal proceedings and obtain relief (and to retain any damages recovered) in respect of any infringement, or any other cause of action arising from ownership, of the Inventions and the Patent Applications, whether occurring before on or after the date of this Assignment.

3. Further assurance

The Inventor, UCL and ADCT SA shall, at ADCT SA's and MedImmune's joint cost, perform all further acts and things, and execute all further documents, required by law or which ADCT SA or MedImmune request to vest in ADCT SA or MedImmune the full benefit of the right, title and interest assigned to ADCT SA and MedImmune under this Assignment, including (but not limited to):

- (a) documents required to be signed by or on behalf of the Inventor, UCL or ADCT SA in the course of any applications which relate to the Invention or to any inventions that shall be disclosed in any of the Patent Applications;
- (b) registration of ADCT SA or MedImmune as co-applicant for, or co-proprietor of, the Patent Applications; and
- (c) assisting ADCT SA or MedImmune in obtaining, defending and enforcing any of the Patent Applications, and assisting with any other proceedings which may be

Assignment of invention and PCT/EP2018/060215, PCT/EP2018/060214, PCT/EP2018/060209, PCT/EP2018/060210; RKA/FP7351844, RKA/FP7351851, RKA/FP7351901, RKA/FP7351893 & Patent 56 - 63 & 103 WO, Patent 64 - 71 WO, Patent 87 - 95 WO, Patent 80 - 86 WO

brought by or against ADCT SA or MedImmune by any third party or in the resolution of any question concerning the Invention, or the Patent Applications or any inventions that shall be disclosed in any of the Patent Applications.

4. Right to amend the Schedule

The Inventor, UCL, ADCT SA and MedImmune grant the firm of Mewburn Ellis LLP the right to insert in Part 3 of the Schedule to this Assignment any further identification of any existing or future patent applications in any countries, regions or territories of the world, which shall fall within the scope of clause 2 above, or as may be necessary or desirable in order to comply with the rules of a patent office for the recordal of this Assignment.

5. Counterparts

This Assignment may be executed in any number of counterparts, each of which when executed shall constitute an original of this Assignment, but all the counterparts shall together constitute the same agreement.

6. Governing law and jurisdiction

This Assignment is governed by the laws of England and Wales and subject to the exclusive jurisdiction of its courts.

Schedule: The Patent Applications

Part 1: Priority Applications

Country	Application No.	Application Date	Title
GB	1706261.3	20 April 2017	COMBINATION THERAPY
GB	1706260.5	20 April 2017	COMBINATION THERAPY
GB	1706259.7	20 April 2017	COMBINATION THERAPY
GB	1706258.9	20 April 2017	COMBINATION THERAPY
GB	1706257.1	20 April 2017	COMBINATION THERAPY
GB	1706256.3	20 April 2017	COMBINATION THERAPY
GB	1706254.8	20 April 2017	COMBINATION THERAPY
GB	1706253.0	20 April 2017	COMBINATION THERAPY
GB	1706252.2	20 April 2017	COMBINATION THERAPY
GB	1706251.4	20 April 2017	COMBINATION THERAPY
GB	1706250.6	20 April 2017	COMBINATION THERAPY
GB	1706249.8	20 April 2017	COMBINATION THERAPY
GB	1706248.0	20 April 2017	COMBINATION THERAPY
GB	1706247.2	20 April 2017	COMBINATION THERAPY

Assignment of invention and PCT/EP2018/060215, PCT/EP2018/060214, PCT/EP2018/060209, PCT/EP2018/060210; RKA/FP7351844, RKA/FP7351851, RKA/FP7351901, RKA/FP7351893 & Patent 56 - 63 & 103 WO, Patent 64 - 71 WO, Patent 87 - 95 WO, Patent 80 - 86 WO

GB	1706246.4	20 April 2017	COMBINATION THERAPY
GB	1706245.6	20 April 2017	COMBINATION THERAPY
GB	1706231.6	20 April 2017	COMBINATION THERAPY
GB	1706230.8	20 April 2017	COMBINATION THERAPY
GB	1706229.0	20 April 2017	COMBINATION THERAPY
GB	1706228.2	20 April 2017	COMBINATION THERAPY
GB	1706227.4	20 April 2017	COMBINATION THERAPY
GB	1706226.6	20 April 2017	COMBINATION THERAPY
GB	1706225.8	20 April 2017	COMBINATION THERAPY
GB	1706224.1	20 April 2017	COMBINATION THERAPY
GB	1706223.3	20 April 2017	COMBINATION THERAPY
GB	1706237.3	20 April 2017	COMBINATION THERAPY
GB	1706236.5	20 April 2017	COMBINATION THERAPY
GB	1706235.7	20 April 2017	COMBINATION THERAPY
GB	1706234.0	20 April 2017	COMBINATION THERAPY
GB	1706233.2	20 April 2017	COMBINATION THERAPY
GB	1706221.7	20 April 2017	COMBINATION THERAPY
GB	1706232.4	20 April 2017	COMBINATION THERAPY
GB	1802947.0	23 February 2018	COMBINATION THERAPY
GB	1805189.6	29 March 2018	COMBINATION THERAPY
GB	1805660.6	05 April 2018	COMBINATION THERAPY

Part 2: Completion Applications

Country/region	Application No.	Application Date	Title
WO	PCT/EP2018/060215	20 April 2018	COMBINATION THERAPY
WO	PCT/EP2018/060214	20 April 2018	COMBINATION THERAPY
WO	PCT/EP2018/060209	20 April 2018	COMBINATION THERAPY
WO	PCT/EP2018/060210	20 April 2018	COMBINATION THERAPY

Part 3: Identification of some, but not necessarily all, patent applications falling within the scope of clause 2 of this Assignment

Country/region	Application No.	Application Date	Title	Signature for Mewburn Ellis LLP

Assignment of invention and PCT/EP2018/060215, PCT/EP2018/060214, PCT/EP2018/060209,
PCT/EP2018/060210; RKA/FP7351844, RKA/FP7351851, RKA/FP7351901, RKA/FP7351893 & Patent
56 - 63 & 103 WO, Patent 64 - 71 WO, Patent 87 - 95 WO, Patent 80 - 86 WO

Executed by **JOHN HARTLEY** in the
presence of:

SIGNATURE OF JOHN HARTLEY

SIGNATURE OF WITNESS

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ADDRESS:
OCCUPATION:
DATE:

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LONDON** acting by _____, an
Authorised Signatory, in the presence of:

SIGNATURE OF AN AUTHORISED
SIGNATORY

SIGNATURE OF WITNESS

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ADDRESS:
OCCUPATION:
DATE:

Executed by **ADC THERAPEUTICS SA** acting
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in the presence of:

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DATE: 9th JANUARY 2019