505421606 04/10/2019

PATENT ASSIGNMENT COVER SHEET

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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

CONVEYING PARTY DATA

Name	Execution Date
PO SHAN HSU	06/25/2014
MELVIN MCLAURIN	06/25/2014
THIAGO P. MELO	06/25/2014
JAMES W. RARING	06/25/2014

RECEIVING PARTY DATA

Name:	SORAA LASER DIODE, INC.
Street Address:	485 PINE AVENUE
City:	GOLETA
State/Country:	CALIFORNIA
Postal Code:	93117

PROPERTY NUMBERS Total: 1

Property Type	Number			
Application Number:	15961759			

CORRESPONDENCE DATA

Fax Number: (415)576-0300

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

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Address Line 4: SAN FRANCISCO, CALIFORNIA 94111

ATTORNEY DOCKET NUMBER:	096019-1085203(100720US)
NAME OF SUBMITTER:	KELLY MAK
SIGNATURE:	/Kelly Mak/
DATE SIGNED:	04/10/2019

Total Attachments: 3

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PATENT 505421606 REEL: 048851 FRAME: 0199

Attorney Docket No.: 096019-1085203(100720US)

Attorney Docket No. 96019-912111(100700US)

ASSIGNMENT

(Patent Application)

We [I], the undersigned, have invented certain inventions and improvements disclosed in a utility (provisional or non-provisional) or design patent application entitled

"EPITAXIAL GROWTH OF CLADDING REGIONS FOR A GALLIUM AND NITROGEN CONTAINING LASER DIODE."

th	e specificat	tion of	which is	provided	with	this	Assignment	and	identified by	the
Attorney	Docket	No.	above.	(Ser	ial	No.	14/315,	687	filed	on
June 26	, 2014	****	.)							

For other good and valuable consideration, the receipt and sufficiency of which we [I] acknowledge, we [I]:

- 1. Agree to assign, transfer, convey, and sell, hereby assign, transfer, convey, and sell and have assigned, transferred, conveyed, and sold to Soraa Laser Diode, Inc., having a principal place of business at 485 Pine Avenue, Goleta, California 93117 ("Assignee"), the entire right, title, and interest in and to:
 - (a) all intellectual property (including, without limitation, any innovation, information, invention, discovery, product, process, work or design) disclosed, embodied, shown, or claimed in the above-referenced patent application, implicitly or explicitly;
 - (b) the above-referenced patent application, the right to claim priority to the above-referenced patent application, all applications based in whole or in part upon the above-referenced patent application, including, without limitation, all applications that are a provisional, non-provisional, design, divisional, continuation, continuation-in-part, registration, utility model, industrial design, reissue, renewal, substitute, extension, reexamination, post-grant review, inter partes review, supplemental examination or non-U.S. patent application or application for other rights based in whole or in part on the above-referenced patent application;
 - (c) all patents (including, without limitation, all U.S. and non-U.S. patents, registrations, utility models, industrial designs, design patents, counterparts, continuations, continuations-in-part, divisionals, reissues, renewals, substitutes, extensions, reexaminations, post-grant reviews, inter partes reviews and supplemental examinations) that are granted or issued upon, or that claim priority to, any and all applications described in (b) of this paragraph or that disclose or claim intellectual property described in (a) of this paragraph, in whole or in part; and

PATENT REEL: 048851 FRAME: 0200 Attorney Docket No.: 096019-1085203(100720US)

ASSIGNMENT

EPITAXIAL GROWTH OF CLADDING REGIONS FOR A GALLIUM AND

NITROGEN CONTAINING LASER DIODE Attorney Docket No.: 96019-912111(100700US)

Page 2 of 3

- (d) all claims for damages by reason of past infringement of any rights under the applications or patents described in (a), (b) or (c) of this paragraph (including provisional rights to reasonable royalties pursuant to 35 U.S.C. §154(d)) and the right to sue for and collect such damages and royalties for Assignee's own use.
- 2. Authorize and request the U.S. Patent and Trademark Office or any other U.S. or non-U.S. agency to issue to the Assignee any and all patent(s), or other rights or documents, resulting from the intellectual property, patent application(s) and patents described in paragraph 1 of this Assignment.
- 3. Agree to sign all papers and documents, including without limitation, applications, declarations, oaths and petitions, and, at the Assignee's expense, perform any other acts that are necessary in connection with prosecution of patent application(s) or intellectual property described in paragraph 1 of this Assignment and the enforcement of patent(s) or other rights resulting from such patent application(s) or intellectual property.
- 4. Agree that the terms, covenants, and conditions of this Assignment shall inure to the benefit of the Assignee, its successors, assigns and other legal representative, and shall be binding upon us [me], as well as our [my] heirs, legal representatives, and assigns.
- 5. Promise and affirm that we [I] have not entered, and will not enter, into any assignment, contract, or understanding that conflicts with this Assignment.
- 6. Authorize Kilpatrick Townsend & Stockton to insert above the application number and filing date of the above-described patent application when known.

Signed on the dates indicated beside our [my] signatures [signature].

PATENT REEL: 048851 FRAME: 0201

NITROGEN	. GROWTH OF CLADDING REGIONS FOR A GAI CONTAINING LASER DIODE cket No.: 96019-912111(100700US)	LLIUM AND
Signature:	Po Shan Hsu	Date: 6/25/14
Signature:	Melvin McLaurin	Date: <u>6/25/2019</u>
Signature:	Thiago P. Malo	Date: <u>06/25/14</u>
Signature:	James W. Raring	Date:

Attorney Docket No.: 096019-1085203(100720US)

ASSIGNMENT

RECORDED: 04/10/2019

PATENT REEL: 048851 FRAME: 0202