

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
 Stylesheet Version v1.2

EPAS ID: PAT5467739

<b>SUBMISSION TYPE:</b>	CORRECTIVE ASSIGNMENT	
<b>NATURE OF CONVEYANCE:</b>	Corrective Assignment to correct the INFORMALITY IN ONE OF THE ASSIGNEE'S NAME previously recorded on Reel 033085 Frame 0946. Assignor(s) hereby confirms the CORRECT ASSIGNEE NAME IS THE RESEARCH FOUNDATION FOR THE STATE UNIVERSITY OF NEW YORK.	
<b>CONVEYING PARTY DATA</b>		
	<b>Name</b>	<b>Execution Date</b>
	THOMAS F. FLOYD	08/15/2012
<b>RECEIVING PARTY DATA</b>		
<b>Name:</b>	THE TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA	
<b>Street Address:</b>	3160 CHESTNUT STREET	
<b>Internal Address:</b>	SUITE 200	
<b>City:</b>	PHILADELPHIA	
<b>State/Country:</b>	PENNSYLVANIA	
<b>Postal Code:</b>	19104	
<b>Name:</b>	THE RESEARCH FOUNDATION FOR THE STATE UNIVERSITY OF NEW YORK	
<b>Street Address:</b>	35 STATE STREET	
<b>City:</b>	ALBANY	
<b>State/Country:</b>	NEW YORK	
<b>Postal Code:</b>	12207	
<b>PROPERTY NUMBERS Total: 1</b>		
	<b>Property Type</b>	<b>Number</b>
	Application Number:	14364533
<b>CORRESPONDENCE DATA</b>		
<b>Fax Number:</b>	(215)568-3439	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>		
<b>Phone:</b>	2155683100	
<b>Email:</b>	assignments@woodcock.com	
<b>Correspondent Name:</b>	BAKER & HOSTETLER LLP	
<b>Address Line 1:</b>	2929 ARCH STREET	
<b>Address Line 2:</b>	12TH FLOOR, CIRA CENTRE	
<b>Address Line 4:</b>	PHILADELPHIA, PENNSYLVANIA 19104	

<b>ATTORNEY DOCKET NUMBER:</b>	103241.005959 / W5399
<b>NAME OF SUBMITTER:</b>	FAITH A. POORE
<b>SIGNATURE:</b>	/Faith A. Poore/
<b>DATE SIGNED:</b>	04/10/2019
<b>Total Attachments: 6</b> source=Corrective_Assignment_SUNY#page1.tif source=Corrective_Assignment_SUNY#page2.tif source=Corrective_Assignment_SUNY#page3.tif source=Corrective_Assignment_SUNY#page4.tif source=Corrective_Assignment_SUNY#page5.tif source=Corrective_Assignment_SUNY#page6.tif	



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND  
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

JUNE 13, 2014

PTAS

BAKER & HOSTETLER LLP  
2929 ARCH STREET  
CIRA CENTRE, 12TH FLOOR  
PHILADELPHIA, PA 19104

**502848425**

### UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

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RECORDATION DATE: 06/12/2014

REEL/FRAME: 033085/0946  
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

DOCKET NUMBER: 103241.005959 / W5399

ASSIGNOR:  
FLOYD, THOMAS F.

DOC DATE: 08/15/2012

ASSIGNEE:  
THE TRUSTEES OF THE UNIVERSITY OF  
PENNSYLVANIA  
3160 CHESTNUT STREET  
SUITE 200  
PHILADELPHIA, PENNSYLVANIA 19104

ASSIGNEE: *FOR THE*  
THE RESEARCH FOUNDATION *OF* STATE  
UNIVERSITY OF NEW YORK  
35 STATE STREET  
ALBANY, NEW YORK 12207

APPLICATION NUMBER: 14364533

FILING DATE:

PATENT NUMBER:

ISSUE DATE:

TITLE: FIBER OPTIC FLOW AND OXYGENATION MONITORING USING DIFFUSE  
CORRELATION AND REFLECTANCE

ASSIGNMENT RECORDATION BRANCH  
PUBLIC RECORDS DIVISION

**PATENT ASSIGNMENT COVER SHEET**

Electronic Version v1.1

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<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT												
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT												
<b>CONVEYING PARTY DATA</b>													
<table border="1"><tr><th>Name</th><th>Execution Date</th></tr><tr><td>THOMAS F. FLOYD</td><td>08/15/2012</td></tr></table>	Name	Execution Date	THOMAS F. FLOYD	08/15/2012									
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<b>Correspondent Name:</b>	BAKER & HOSTETLER LLP												
<b>Address Line 1:</b>	2929 ARCH STREET												

6/13/2014

Assignment

<b>Address Line 2:</b> CIRA CENTRE, 12TH FLOOR	
<b>Address Line 4:</b> PHILADELPHIA, PENNSYLVANIA 19104	
<b>ATTORNEY DOCKET NUMBER:</b>	103241.005959 / W 5399
<b>NAME OF SUBMITTER:</b>	VALERIE CHICCHI
<b>Signature:</b>	/Valerie Chicchi/
<b>Date:</b>	06/12/2014
<b>Total Attachments: 2</b> source=EXECUTE_PENN_AND_SUNY_ASSIGNMENT#page1.tif source=EXECUTE_PENN_AND_SUNY_ASSIGNMENT#page2.tif	
<b>RECEIPT INFORMATION</b>  <b>EPAS ID:</b> PAT2895022 <b>Receipt Date:</b> 06/12/2014	

**ASSIGNMENT**

WHEREAS, I, **Thomas F. Floyd**, a citizen of **United States**, residing at **822 Parkridge Drive, Media, Pennsylvania 19603**, ASSIGNOR, am an inventor of one or more inventions disclosed, described and/or claimed in the application referred to as Docket Number **UPN-5625 / W5399 / R-8397** ("the invention"), assigned application number **61/570,349** filed **December 14, 2011**, which is entitled **FIBER OPTIC FLOW AND OXYGENATION MONITORING USING DIFFUSE CORRELATION AND RELECTANCE** ("the subject application").

AND WHEREAS, **The Trustees Of The University Of Pennsylvania**, ASSIGNEE, having a place of business at **3160 Chestnut Street, Suite 200, Philadelphia, Pennsylvania 19104**, AND **The Research Foundation of The State University Of New York**, ASSIGNEE, having a place of business at **35 State Street, Albany, New York 12207**, are desirous of obtaining my entire right, title, and interest in, to, and under the invention: 04-09-19

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, I, the ASSIGNOR, hereby sell, assign, transfer, and set over, unto each ASSIGNEE, its successors, legal representatives, and assigns, my entire right, title, and interest in, to, and under the invention, and all applications for industrial property protection, including without limitation, all applications for patents, utility models, inventors' certificates, and designs that have been filed, and that may hereafter be filed, in any country, that disclose, describe, and/or claim the invention, including, without limitation, the subject application and the priority application, and all extensions, renewals, and reissues of such applications, including all divisions and continuations in whole or in part; all patents granted on such applications in any country, and all extensions, renewals, and reissues of such patents, including all divisions and continuations in whole or in part; the right to file such applications in any country; and the right to claim for such applications in any country the priority rights derived from the priority application and the subject application under the International Convention for the Protection of Industrial Property, the Patent Laws of the United States, any other international agreement or protection, or the domestic laws of any country in which any such application is filed, as may be applicable.

AND I HEREBY covenant and agree that I have full right to convey my entire interest herein assigned, that I will not execute, any agreement in conflict herewith, and that this assignment supersedes any and all existing assignments.

AND I HEREBY further covenant and agree that I will communicate to each ASSIGNEE, its successors, legal representatives, and assigns, any facts known to me respecting the invention, and testify in any legal proceeding, sign all lawful papers, execute all disclaimers and all divisional, continuing, reissue, and foreign applications, make all rightful oaths, deliver upon request all papers, documents, affidavits, or other instruments that may be necessary or helpful in the prosecution of any application for industrial property protection describing and/or claiming the invention, and generally do everything possible to aid each ASSIGNEE, its

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successors, legal representatives, and assigns, to obtain and enforce proper protection for the invention in all countries.

IN TESTIMONY WHEREOF, I have signed my name below on the date indicated above my signature.

Executed this 15<sup>th</sup> day of August, year of 2012.

  
Signature -- Thomas F. Floyd

\*\*\*\*\*


STATEMENT OF NOTARY PUBLIC

STATE OF New York:

: SS

COUNTY OF Suffolk:

On this 15<sup>th</sup> day of August, year of 2012, before me personally came the above named **Thomas F. Floyd** to me personally known and known to me to be the same individual who executed the foregoing assignment, and who acknowledged to me that execution of the same was of that person's own free will for the use and purposes therein set forth.

  
Notary Public

MARIANNE RUSSO  
NOTARY PUBLIC, State of New York  
No. 30-4607259  
Qualified in Suffolk County  
Commission Expires May 27, 2014