

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT5469055

SUBMISSION TYPE:	NEW ASSIGNMENT	
NATURE OF CONVEYANCE:	ASSIGNMENT	
CONVEYING PARTY DATA		
	Name	Execution Date
	LUX BIOSCIENCES, INC.	02/27/2014
RECEIVING PARTY DATA		
Name:	AURINIA PHARMACEUTICALS, INC.	
Street Address:	4464 MARKHAM STREET	
Internal Address:	SUITE 1203	
City:	VICTORIA, BRITISH COLUMBIA	
State/Country:	CANADA	
Postal Code:	V8Z7X8	
PROPERTY NUMBERS Total: 1		
	Property Type	Number
	Application Number:	16270760
CORRESPONDENCE DATA		
Fax Number:	(617)897-0909	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>		
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ATTORNEY DOCKET NUMBER:	151505-010422/CON4	
NAME OF SUBMITTER:	DAVID J. DYKEMAN	
SIGNATURE:	/David J. Dykeman, Reg. No. 46,678/	
DATE SIGNED:	04/11/2019	
Total Attachments: 9		
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PATENT ASSIGNMENT

WHEREAS LUX BIOSCIENCES, INC., a Delaware corporation formerly with offices at Plaza 10, 14th floor, Harborside Financial Center, Jersey City, New Jersey 07302, U.S.A., and currently with an address c/o Faber Daeufer & Itrato PC, 950 Winter Street, Suite 4500, Waltham, MA 02451 (hereafter, together with any successors, legal representatives or assigns thereof, called "Assignor") is the owner of the patents and patent applications listed in Exhibit A (the "Patents"); and

WHEREAS, AURINIA PHARMACEUTICALS, INC., a Canadian corporation with an office at 4464 Markham Street, Suite 1203, Victoria, BC V8Z7X8 CANADA (hereafter, together with any successors, legal representatives or assigns thereof, called "Assignee") has acquired the entire right, title and interest in and to the Patents, and Assignor and Assignee desire to record such assignment.

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) in hand paid and other good and valuable consideration as described in the Termination, Assignment and Assumption Agreement entered into effective as of February 27, 2014, the receipt of which from Assignee is hereby acknowledged, Assignor has sold, assigned, transferred and set over, and does hereby sell, assign, transfer and set over to Assignee Assignor's entire right, title and interest in and to the Patents, including, without limitation, all domestic and foreign patent applications and registrations therefor (and all patents that issue therefrom and all divisions, continuations, continuations-in-part, reexaminations, substitutions, reissues, extensions, and renewals of such applications, registrations and patents, and the right to apply for any of the foregoing); all rights to causes of action and remedies related thereto (including, without limitation, the right to sue for past, present or future infringement, misappropriation or violation of rights related to the foregoing); and any and all other rights and interests arising out of, in connection with or in relation to the Patents.

AGREED as of this 27th day of February, 2014.

Assignor: **LUX BIOSCIENCES, INC.**

By

Name

Title of Signatory

Date



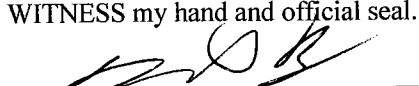
PAUL G. CHANEY

PRESIDENT

7 MARCH 2014

On the 7th day of MAR, 2014, before me, DAVID BYRN, Notary Public, personally appeared PAUL G. CHANEY, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.


NOTARY PUBLIC

ID# 2293252

EXP: OCT. 23, 2017

WITNESSED BY

WITNESS NAME

DATE

Assignee: AURINIA PHARMACEUTICALS, INC.

By Michael D. Marsden

Name Michael D. Marsden

Title of Signatory CEO

Date March 7, 2014

On the 7th day of MARCH 2014, before me, JOHN H. BAYNTUN, Notary Public, personally appeared Michael D. Marsden, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

NOTARY PUBLIC

JOHN H. BAYNTUN

Barrister & Solicitor

4520 West Saanich Road

Victoria, B.C. V8Z 3G4

CANADA

WITNESSED BY

TARA AMELL

Legal Assistant

WITNESS NAME Law Office of Jill K. Turner

4520 West Saanich Road

Victoria, BC V8Z 3G4

March 7, 2014

DATE

EXHIBIT A
LIST OF ASSIGNED PATENTS

LUX BIOSCIENCES, INC. PATENT APPLICATION STATUS REPORT

Updated March 7, 2014

3 Granted Patents Summary:

- 2 Granted U.S. Patents (US Patent No. 8,435,544 and US Patent No. 8,535,694),
- 1 Granted Mexico Patent No. 317447

17 Pending Patent Applications Summary:

- 2 Pending U.S. Utility Applications
- 15 Foreign Applications: 2 Australia, 2 Canada, 2 China, 2 Europe, 2 Hong Kong, 2 India, 2 Japan, 1 Mexico

<u>GT Docket No.</u>	<u>Title</u>	<u>Inventors</u>	<u>Filing Date</u> <u>Application No.</u>	<u>Status</u>
095691-010400/PRO	Nonirritating Ophthalmic Compositions Comprising Calcineurin Inhibitors	Ashim K. Mitra Poonam R. Velagaleti Subramanian Natesan	Filed 10/8/07 U.S.S.N. 60/997,796	- Provisional Converted to Utility and PCT Applications on 10/8/08 - Assignment Recorded at Reel/Frame 020147/0975
095691-010401/US	Ophthalmic Compositions Comprising Calcineurin Inhibitors or mTOR Inhibitors	Ashim K. Mitra Poonam R. Velagaleti Subramanian Natesan	Filed 10/8/08 U.S.S.N. 12/247,701	- U.S. Patent No. 8,435,544 Issued on 5/7/2013 - U.S. Publication No. 2009/0092665 on 4/9/09 - Assignment Recorded at Reel/Frame 021830/0260
095691-010402/PCT	Ophthalmic Compositions Comprising Calcineurin Inhibitors or mTOR Inhibitors	Ashim K. Mitra Poonam R. Velagaleti Subramanian Natesan	Filed 10/8/08 Application No. PCT/US08/79170	- Entered National Phase in 8 countries: Australia, Canada, China, Europe, Hong Kong, India, Japan, and Mexico - PCT Publication No. WO 2009/048929 on 4/16/09
095691-010403/AU	Ophthalmic Compositions Comprising Calcineurin Inhibitors or mTOR Inhibitors	Ashim K. Mitra Poonam R. Velagaleti Subramanian Natesan	Filed 10/8/08 Application No. 2008310956	

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095691-010404/CA	Ophthalmic Compositions Comprising Calcineurin Inhibitors or mTOR Inhibitors	Ashim K. Mitra Poonam R. Velagaleti Subramanian Natesan	Filed 10/8/08 Application No. 2,701,482	[REDACTED]
095691-010405/CN	Ophthalmic Compositions Comprising Calcineurin Inhibitors or mTOR Inhibitors	Ashim K. Mitra Poonam R. Velagaleti Subramanian Natesan	Filed 10/8/08 Application No. 200880110526.8	[REDACTED]
095691-010406/EP	Ophthalmic Compositions Comprising Calcineurin Inhibitors or mTOR Inhibitors	Ashim K. Mitra Poonam R. Velagaleti Subramanian Natesan	Filed 10/8/08 Application No. 08837729.6	- Chinese Publication No. 101918019 on 12/15/10
095691-010407/IN	Ophthalmic Compositions Comprising Calcineurin Inhibitors or mTOR Inhibitors	Ashim K. Mitra Poonam R. Velagaleti Subramanian Natesan	Filed 10/8/08 Application No. 1220/KOLNP/2010	- European Publication No. 2197461 on 6/23/10
095691-010408/JP	Ophthalmic Compositions Comprising Calcineurin Inhibitors or mTOR Inhibitors	Ashim K. Mitra Poonam R. Velagaleti Subramanian Natesan	Filed 10/8/08 Application No. 2010-528993	[REDACTED]
095691-010409/MX	Ophthalmic Compositions Comprising Calcineurin Inhibitors or mTOR Inhibitors	Ashim K. Mitra Poonam R. Velagaleti Subramanian Natesan	Filed 10/8/08 Application No. MX/a/2010/003364	- Japan Publication No. 2010-540682 on 12/24/10
095691-010410/HK	Ophthalmic Compositions Comprising Calcineurin Inhibitors or mTOR Inhibitors	Ashim K. Mitra Poonam R. Velagaleti Subramanian Natesan	Filed 5/17/11 Application No. 11104896.4	- Mexico Patent No. 317447 Issued on 1/24/2014
				- Hong Kong Publication No. 1150771A [REDACTED]

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095691-010411/DIV	Ophthalmic Compositions Comprising Calcineurin Inhibitors or mTOR Inhibitors	Ashim K. Mitra Poonam R. Velagaleti Subramanian Natesan	Filed 8/19/11 U.S.S.N. 13/213,451	- U.S. Patent No. 8,535,694 Issued on 9/17/13 - [REDACTED] - U.S. Publication No. 2011/0300195 on 12/8/11 - Assignment Recorded at Reel/Frame 027936/0980
095691-010412/CON	Ophthalmic Compositions	Ashim K. Mitra Poonam R. Velagaleti Subramanian Natesan	Filed 8/23/13 U.S.S.N. 13/974,241	- [REDACTED] - U.S. Publication No. 2013/0345185 on 12/26/13 - Assignment Recorded at Reel/Frame 031276/0679
095691-010500/PRO	Non-toxic and Nonirritating Ophthalmic Compositions Comprising Calcineurin Inhibitors	Ashim K. Mitra Poonam R. Velagaleti Subramanian Natesan	Filed 12/4/07 U.S.S.N. 60/992,205	- Provisional Converted to Utility and PCT Applications on 10/8/08 - Assignment Recorded at Reel/Frame 020239/0398
095691-010600/PRO	Ophthalmic Compositions Comprising Calcineurin or mTOR Inhibitors	Ashim K. Mitra Poonam R. Velagaleti Subramanian Natesan	Filed 3/20/08 U.S.S.N. 61/038,223	- Provisional Converted to Utility and PCT Applications on 10/8/08 - Assignment Recorded at Reel/Frame 020745/0209
095691-010800/PRO	Topical Drug Delivery Platform	Ashim K. Mitra Poonam R. Velagaleti Uli M. Grau	Filed 9/23/08 U.S.S.N. 61/099,420	- Provisional Converted to Utility and PCT Applications on 10/8/08 - Assignment Recorded at Reel/Frame 022874/0874
095691-010900/PRO	Topical Drug Delivery Systems and Methods of Treatment	Ashim K. Mitra Poonam R. Velagaleti Uli M. Grau	Filed 6/9/09 U.S.S.N. 61/185,396	- Provisional Converted to Utility and PCT Applications on 5/5/10 - Assignment Recorded at Reel/Frame 022875/0174

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LUX BIOSCIENCES, INC. PATENT APPLICATION STATUS REPORT

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095691-010901/US	Topical Drug Delivery Systems for Ophthalmic Use	Ashim K. Mitra Poonam R. Velagaleti Uli M. Grau	Filed 5/5/10 Appln. No. 12/774,600	- U.S. Publication No. 2010/0310642 on 12/9/10 - Assignment Recorded at Reel/Frame 024580/0911
095691-010902/PCT	Topical Drug Delivery Systems for Ophthalmic Use	Ashim K. Mitra Poonam R. Velagaleti Uli M. Grau	Filed 5/5/10 Appln. No. PCT/US10/33779	- Entered National Phase in 8 countries: Australia, Canada, China, Europe, Hong Kong, India, Japan, and Mexico - PCT Publication No. WO 2010/144194 on 12/16/10
095691-010903/AU	Topical Drug Delivery Systems for Ophthalmic Use	Ashim K. Mitra Poonam R. Velagaleti Uli M. Grau	Filed 5/5/10 Appln. No. 2010259184	- Entered Australian National Phase on 11/24/11
095691-010904/CA	Topical Drug Delivery Systems for Ophthalmic Use	Ashim K. Mitra Poonam R. Velagaleti Uli M. Grau	Filed 5/5/10 Appln. No. 2,764,635	- Entered Canadian National Phase on 12/6/11
095691-010905/CN	Topical Drug Delivery Systems for Ophthalmic Use	Ashim K. Mitra Poonam R. Velagaleti Uli M. Grau	Filed 5/5/10 Appln. No. 201080030848.9	- Chinese Publication No. 102458370A on 5/16/12

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095691-010906/EP	Topical Drug Delivery Systems for Ophthalmic Use	Ashim K. Mitra Poonam R. Velagaleti Uli M. Grau	Filed 5/5/10 Appln. No. 10786539.6	- [REDACTED] - European Publication No. EP 2440185 on 4/18/12 - Entered European National Phase on 12/19/11
095691-010907/IN	Topical Drug Delivery Systems for Ophthalmic Use	Ashim K. Mitra Poonam R. Velagaleti Uli M. Grau	Filed 5/5/10 Appln. No. 2587/MUMNP/2011	- [REDACTED] - Entered Indian National Phase on 12/2/11
095691-010908/JP	Topical Drug Delivery Systems for Ophthalmic Use	Ashim K. Mitra Poonam R. Velagaleti Uli M. Grau	Filed 5/5/10 Appln. No. TBD	- [REDACTED] - Published on 11/22/12, No. 2012-529509 - Entered Japanese National Phase on 12/8/11
095691-010909/MX	Topical Drug Delivery Systems for Ophthalmic Use	Ashim K. Mitra Poonam R. Velagaleti Uli M. Grau	Filed 5/5/10 Appln. No. MX/a/2011/012969	- [REDACTED] - Entered Mexican National Phase on 12/2/11
095691-010910/HK	Topical Drug Delivery Systems for Ophthalmic Use	Ashim K. Mitra Poonam R. Velagaleti Uli M. Grau	Filed 5/5/10 Appln. No. 12110836.3	- Entered Hong Kong on 11/8/12 - Published 2/15/13 as 1169958.A

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