# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT5469382

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
SERGEI GRUAZNOV	03/26/2019
LEONID BEIGELMAN	03/20/2019
THEODORE YUN	04/02/2019

### **RECEIVING PARTY DATA**

Name:	ALIOS BIOPHARMA, INC.
Street Address:	260 E. GRAND AVE., 2ND FLOOR
City:	SOUTH SAN FRANCISCO
State/Country:	CALIFORNIA
Postal Code:	94080

### **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	16179786

### CORRESPONDENCE DATA

Fax Number: (510)248-2366

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 5102482326

Email: crubalca@its.jnj.com JOSEPH F. SHIRTZ **Correspondent Name:** Address Line 1: **JOHNSON & JOHNSON** 

Address Line 2: ONE JOHNSON & JOHNSON PLAZA

Address Line 4: NEW BRUNSWICK, NEW JERSEY 08933-7003

ATTORNEY DOCKET NUMBER:	ALP0043USNP1
NAME OF SUBMITTER:	CANDIDA RUBALCABA-RIVERA
SIGNATURE:	/Candida Rubalcaba-Rivera/
DATE SIGNED:	04/11/2019
	This document serves as an Oath/Declaration (37 CFR 1.63).

## **Total Attachments: 6**

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Attorney Docket No.: ALP0043USNP1 COMBINED DECLARATION AND ASSIGNMENT Title of Invention: OLIGONUCLEOTIDE CONSTRUCTS AND USES THEREOF This declaration and assignment is directed to: The attached or filed herewith application of (list of named inventors) or X The United States application or PCT international application number 16/179,786 filed on 02 Nov 2018 **Declaration** As the below named inventor, I hereby declare that: The above-identified application ("Application") was made or authorized by me. I believe that I am the original inventor or an original inventor of a claimed invention or discovery in the Application. I have reviewed and understood the contents of the Application, including the claims, and I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, the United States Code of Federal Regulations, §1.56 for filings of this Application in the United States of America. I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. § 1001 by fine or imprisonment of not more than five (5) years, or both for filings of this Application in the United States of America. Assignment ALIOS BIOPHARMA, INC. 260 East Grand Avenue, 2<sup>nd</sup> Floor South San Francisco, CA 94080 United States of America A corporation of the state or country of Delaware (hereinafter designated as the "Assignee") I hereby acknowledge that I have assigned the above-identified invention by previous assignment (attached hereto) to Assignee which is hereby conformed for recordation in the US Patent Office and I confirm I have and do assign and transfer:

 $\mathbf{or}$ 

For good and valuable consideration, the sufficiency of which is acknowledged, I hereby assign and transfer and/or have assigned  $\boxtimes$ and transferred to Assignee:

my entire right, title, and interest in, to, and under the Application, including all priority rights for other countries arising therefrom and the right to claim priority to the Application, all inventions or discoveries therein disclosed, and any and all Letters Patent of the United States, European Patent Office and of all other countries, which may be granted for such inventions or discoveries, or any of them, all such inventions or discoveries and all rights in such Application including any and all provisionals, substitutions, divisions, and continuations thereof, and to all Letters Patent that may be granted for said inventions and discoveries, and in and to all extensions, supplementary protection certificates, reexaminations, renewals, and reissues thereof, to be held and enjoyed by Assignee for its own use and enjoyment to the full end of the term or terms for which such Letters Patent may be granted, as fully and entirely as the same would have been held and enjoyed by me had this assignment and sale not been made.

I shall execute all papers necessary in connection with the Application in the United States Patent and Trademark Office, European Patent Office, any other patent offices, and under the Patent Cooperation Treaty, and any continuing, divisional, or reissue applications thereof,

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Attorney Docket No.: ALP0043USNP1

any reexamination of any of such applications, and any patent term extensions or supplementary protection certificates of any such applications and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient.

I shall execute all papers necessary in connection with any litigation or any other judicial proceeding in the United States or other country, or any administrative proceeding in the United States Patent and Trademark Office, European Patent Office, any other patent office, or under the Patent Cooperation Treaty concerning the Application(s) or any continuation, divisional, or reissue applications thereof, or any reexamination of any such applications, or any Letters Patent issued therefrom or any patent term extensions or supplementary protection certificates of any such applications and to cooperate with the Assignee in every way possible in obtaining evidence and going forward with such litigation or proceeding.

I shall execute all papers and documents and perform any act which may be necessary in connection with claims or provisions of the International Convention for Protection of Industrial Property or similar agreements.

I shall do all other acts which, in the opinion of Assignee, may be necessary or desirable to secure the grant of Letters Patent to Assignee or its nominees, in the United States, by the European Patent Office and in all other countries where Assignee may desire to have such inventions or discoveries, or any of them, patented, with specifications and claims in such form as shall be approved by Assignee and to vest and confirm in Assignee or its nominees the full and complete legal and equitable title to all such Letters Patent.

I hereby (i) authorize and request the Commissioner of Patents to issue any and all Letters Patent of the United States resulting from the Application or any divisional, continuation, or reissue applications thereof, and any reexamination of any of such applications, to Assignee, and (ii) covenant that I have full right to convey the interest herein assigned, and that I have not executed, and will not execute, any agreement in conflict herewith.

I hereby grant the attorney of record the power to insert on this assignment any further identification which may be necessary or desirable in order to obtain legal recordation of this document.

LEGAL NAME OF INVENTOR	
Sergi Cinfaluar	03-26-2019
SERGEI GRYAZNOY	Date

Attorney Docket No :: ALP0043USNP1

COMBINED DECLARATION AND ASSIGNMENT	
or	attached or filed herewith application of (list of named inventors)  United States application or PCT international application
	ber <u>16/179786</u> filed on <u>02 Nov 2018</u>
Declaration	
As the below named inventor, I hereby declare the	at:
The above-identified application ("Application")	was made or authorized by me.
I believe that I am the original inventor or an orig	inal inventor of a claimed invention or discovery in the Application.
I have reviewed and understood the contents of information which is material to patentability as defined in Application in the United States of America.	the Application, including the claims, and I acknowledge the duty to disclose Title 37, the United States Code of Federal Regulations, §1.56 for filings of this
I hereby acknowledge that any willful false state imprisonment of not more than five (5) years, or both for it	ment made in this declaration is punishable under 18 U.S.C. § 1001 by fine or illings of this Application in the United States of America.
Assignment	
260 E South	IOS BIOPHARMA, INC. ast Grand Avenue, 2 <sup>nd</sup> Floor San Francisco, CA 94080 nited States of America
A corporation (hereinafte	of the state or country of <u>Delaware</u> or designated as the "Assignee")
I hereby acknowledge that I have assigned the all which is hereby conformed for recordation in the US Pater	pove-identified invention by previous assignment (attached hereto) to Assignee at Office and I confirm I have and do assign and transfer:
or	
For good and valuable consideration, the sufficier and transferred to Assignee:	ncy of which is acknowledged, I hereby assign and transfer and/or have assigned
right to claim priority to the Application, all inventions or a European Patent Office and of all other countries, which inventions or discoveries and all rights in such Application thereof, and to all Letters Patent that may be granted for protection certificates, reexaminations, renewals, and reiss to the full end of the term or terms for which such Letters and enjoyed by me had this assignment and sale not been a	
I shall execute all papers necessary in connection with the Office, any other patent offices, and under the Patent Coop	Application in the United States Patent and Trademark Office, European Patent eration Treaty, and any continuing, divisional, or reissue applications thereof,

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Attorney Docket No.: ALP0043USNP1

any reexamination of any of such applications, and any patent term extensions or supplementary protection certificates of any such applications and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient.

I shall execute all papers necessary in connection with any litigation or any other judicial proceeding in the United States or other country, or any administrative proceeding in the United States Patent and Trademark Office, European Patent Office, any other patent office, or under the Patent Cooperation Treaty concerning the Application(s) or any continuation, divisional, or reissue applications thereof, or any reexamination of any such applications, or any Letters Patent issued therefrom or any patent term extensions or supplementary protection certificates of any such applications and to cooperate with the Assignee in every way possible in obtaining evidence and going forward with such litigation or proceeding.

I shall execute all papers and documents and perform any act which may be necessary in connection with claims or provisions of the International Convention for Protection of Industrial Property or similar agreements.

I shall do all other acts which, in the opinion of Assignee, may be necessary or desirable to secure the grant of Letters Patent to Assignee or its nominees, in the United States, by the European Patent Office and in all other countries where Assignee may desire to have such inventions or discoveries, or any of them, patented, with specifications and claims in such form as shall be approved by Assignee and to vest and confirm in Assignee or its nominees the full and complete legal and equitable title to all such Letters Patent.

I hereby (i) authorize and request the Commissioner of Patents to issue any and all Letters Patent of the United States resulting from the Application or any divisional, continuation, or reissue applications thereof, and any reexamination of any of such applications, to Assignee, and (ii) covenant that I have full right to convey the interest herein assigned, and that I have not executed, and will not execute, any agreement in conflict herewith.

I hereby grant the attorney of record the power to insert on this assignment any further identification which may be necessary or desirable in order to obtain legal recordation of this document.

LEGAL NAME OF INVENTOR

LEONID BEIGELMAN

March 20, 20

Attorney Docket No .: ALP0043USNP1

COMBINED DECLARATION AND ASSIGNMENT	
Title of Invention: OLIGONUCLEOTIDE CONSTRUCTS AND USES THEREOF This declaration and assignment is directed to:	
The attached or filed herewith application of (list of named inventors)	
or	
The United States application or PCT international application number 16/179,786 filed on 02 Nov 2018	
Declaration	
As the below named inventor, I hereby declare that:	
The above-identified application ("Application") was made or authorized by me.	
I believe that I am the original inventor or an original inventor of a claimed invention or discovery in the Application.	
I have reviewed and understood the contents of the Application, including the claims, and I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, the United States Code of Federal Regulations, §1.56 for filings of this Application in the United States of America.	
I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. § 1001 by fine of imprisonment of not more than five (5) years, or both for filings of this Application in the United States of America.	
Assignment	
ALIOS BIOPHARMA, INC. 260 East Grand Avenue, 2 <sup>nd</sup> Floor South San Francisco, CA 94080 United States of America	
A corporation of the state or country of <u>Delaware</u> (hereinafter designated as the "Assignee")	
I hereby acknowledge that I have assigned the above-identified invention by previous assignment (attached hereto) to Assigned which is hereby conformed for recordation in the US Patent Office and I confirm I have and do assign and transfer:	
or	
For good and valuable consideration, the sufficiency of which is acknowledged, I hereby assign and transfer and/or have assigned and transferred to Assignee:	
my entire right, title, and interest in, to, and under the Application, including all priority rights for other countries arising therefrom and the right to claim priority to the Application, all inventions or discoveries therein disclosed, and any and all Letters Patent of the United States, European Patent Office and of all other countries, which may be granted for such inventions or discoveries, or any of them, all such inventions or discoveries and all rights in such Application including any and all provisionals, substitutions, divisions, and continuations thereof, and to all Letters Patent that may be granted for said inventions and discoveries, and in and to all extensions, supplementary protection certificates, reexaminations, renewals, and reissues thereof, to be held and enjoyed by Assignee for its own use and enjoyment to the full end of the term or terms for which such Letters Patent may be granted, as fully and entirely as the same would have been held and enjoyed by me had this assignment and sale not been made.	
I shall execute all papers necessary in connection with the Application in the United States Patent and Trademark Office, European Patent Office, any other patent offices, and under the Patent Cooperation Treaty, and any continuing, divisional, or reissue applications thereof,	
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Attorney Docket No.: ALP0043USNP1

any reexamination of any of such applications, and any patent term extensions or supplementary protection certificates of any such applications and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient,

I shall execute all papers necessary in connection with any litigation or any other judicial proceeding in the United States or other country, or any administrative proceeding in the United States Patent and Trademark Office, European Patent Office, any other patent office, or under the Patent Cooperation Treaty concerning the Application(s) or any continuation, divisional, or reissue applications thereof, or any reexamination of any such applications, or any Letters Patent issued therefrom or any patent term extensions or supplementary protection certificates of any such applications and to cooperate with the Assignee in every way possible in obtaining evidence and going forward with such litigation or proceeding.

I shall execute all papers and documents and perform any act which may be necessary in connection with claims or provisions of the International Convention for Protection of Industrial Property or similar agreements.

I shall do all other acts which, in the opinion of Assignee, may be necessary or desirable to secure the grant of Letters Patent to Assignee or its nominees, in the United States, by the European Patent Office and in all other countries where Assignee may desire to have such inventions or discoveries, or any of them, patented, with specifications and claims in such form as shall be approved by Assignee and to vest and confirm in Assignee or its nominees the full and complete legal and equitable title to all such Letters Patent.

I hereby (i) authorize and request the Commissioner of Patents to issue any and all Letters Patent of the United States resulting from the Application or any divisional, continuation, or reissue applications thereof, and any reexamination of any of such applications, to Assignee, and (ii) covenant that I have full right to convey the interest herein assigned, and that I have not executed, and will not execute, any agreement in conflict herewith.

I hereby grant the attorney of record the power to insert on this assignment any further identification which may be necessary or desirable in order to obtain legal recordation of this document.

LEGAL NAME OF INVENTOR	<b>4 7</b>
	4/2/2019
THEODORE YUN	Date
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