## 505424424 04/12/2019

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT5471215

| SUBMISSION TYPE:   |                                     | NEW ASSIGNMENT  |                           |
|--|-------------------------------------|---|---------------------------|
| ATURE OF CONVEYA   | NCE:                                | ASSIGNMENT  |                           |
| CONVEYING PARTY D  | ΑΤΑ                                 |   |                           |
|  |                                     | Name  | Execution Date            |
| SARBAJIT BANERJEE  |                                     |   | 12/28/2018                |
| GREGORY HORROCK  | S                                   |   | 01/06/2019                |
| RECEIVING PARTY DA   |                                     |   |                           |
| Name:  | THE RE<br>YORK                      | ESEARCH FOUNDATION FOR TH   | E STATE UNIVERSITY OF NEW |
| Street Address:  | TECHN                               | OLOGY TRANSFER, UNIVERSITY  | Y AT BUFFALO              |
| Internal Address:  | UB COI                              | MMONS, 520 LEE ENTRANCE, SU   | JITE 109                  |
| City:  | BUFFA                               | LO  |                           |
| State/Country:   | NEW Y                               | ORK   |                           |
| Postal Code:   | 14228-2                             | 2567  |                           |
|  |                                     |   |                           |
| PROPERTY NUMBERS   | ; Total: 1                          |   |                           |
| Property Type  |                                     | Number  |                           |
|  |                                     | 15506422  |                           |
| Application Number:  |                                     |   |                           |
| Application Number:  |                                     |   |                           |
|  | ΔΤΑ                                 | (716)849-0349   |                           |
| CORRESPONDENCE D<br>Fax Number:<br><i>Correspondence will b</i>  | DATA<br>be sent to                  | (716)849-0349<br>the e-mail address first; if that is<br>; if that is unsuccessful, it will be  |                           |
| CORRESPONDENCE E<br>Fax Number:<br><i>Correspondence will b</i><br><i>using a fax number, if</i>   | DATA<br>be sent to<br>provided      | the e-mail address first; if that is  |                           |
| CORRESPONDENCE E<br>Fax Number:<br><i>Correspondence will b</i><br><i>using a fax number, if</i><br>Phone:   | DATA<br>be sent to<br>provided      | the e-mail address first; if that is<br>; if that is unsuccessful, it will be   |                           |
| CORRESPONDENCE E<br>Fax Number:<br><i>Correspondence will b</i><br><i>using a fax number, if</i><br>Phone:<br>Email:<br>Correspondent Name:                    | DATA<br>be sent to<br>provided<br>i | <i>the e-mail address first; if that is</i><br><i>; if that is unsuccessful, it will be</i><br>(716)856-4000  |                           |
| CORRESPONDENCE E<br>Fax Number:<br><i>Correspondence will b</i><br><i>using a fax number, if</i><br>Phone:<br>Email:<br>Correspondent Name:<br>Address Line 1: | DATA<br>be sent to<br>provided<br>i | <i>the e-mail address first; if that is</i><br><i>; if that is unsuccessful, it will be</i><br>(716)856-4000<br>ipdocketing@hodgsonruss.com                     |                           |
| CORRESPONDENCE E<br>Fax Number:<br><i>Correspondence will b</i><br><i>using a fax number, if</i><br>Phone:<br>Email:<br>Correspondent Name:                    | DATA<br>be sent to<br>provided      | <i>the e-mail address first; if that is</i><br><i>; if that is unsuccessful, it will be</i><br>(716)856-4000<br>ipdocketing@hodgsonruss.com<br>HODGSON RUSS LLP |                           |

| DATE SIGNED:            | 04/12/2019        |
|-------------------------|-------------------|
| SIGNATURE:              | /blair k andrews/ |
| NAME OF SUBMITTER:      | BLAIR K. ANDREWS  |
| ATTORNEY DOCKET NUMBER: | 011520.01273      |

## **Total Attachments: 4**

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> PATENT REEL: 048866 FRAME: 0815

## ASSIGNMENT

In exchange for good and valuable consideration, including the use of university facilities and/or salary and/or employee benefits, the receipt of which is hereby acknowledged, Sarbajit Banerjee, residing at 4122 Shall Creek Loop, College Station, Texas 77845; and Gregory Horrocks, residing at 412 University Oaks Boulevard, College Station, Texas 77840, 4400 Walker. Oga Trl, Benurcash, old, 45430 the undersigned

Hereby sell, assign and transfer to **The Research Foundation for the State University** of New York, having a place of business at Technology Transfer, University at Buffalo, 520 Lee Entrance, Suite 109, UB Commons, Amherst, New York 14228, its successors, assigns and legal representatives, the entire right, title and interest in the United States and all foreign countries, in and to any and all inventions disclosed in Foundation Docket **R-6892 titled "VO2 and V2O5 Nano- and Micro-Materials and Process of Making and Uses of Same"**, as claimed in the following patent applications (hereinafter, collectively, "the enumerated applications"), and including tangible research materials, computer software, and any unique or novel innovation in the technical arts or any new and useful improvements thereof, including methods or processes for creating an object or result (a way of doing or making things), machines, devices, products of manufacture, product designs, or composition, maskworks or layout designs for printed circuit boards or integrated circuits, compositions of matter, materials, any variety of plant, ("Inventions"), as well as any know-how essential to the practice or enablement of such Inventions, and improvements in and to any and all Inventions, whether or not patentable:

| Country/Int.<br>Authority | Patent Application<br>No. | Filing Date | Title   |
|---------------------------|---------------------------|-------------|---|
| US                        | 15/506,422                |             | VO2 and V2O5 Nano- and<br>Micro-Materials and Process of<br>Making and Uses of Same |
| WO                        | PCT/US2015/046968         |             | VO2 and V2O5 Nano- and<br>Micro-Materials and Process of<br>Making and Uses of Same |

Assignment to The Research Foundation for The State University of New York

| US | 62/042,025 | August 26, 2014 | VO2 and V2O5 Nano- and         |
|----|------------|-----------------|--------------------------------|
|    |            | -               | Micro-Materials and Process of |
|    |            |                 | Making                         |

And in and to the enumerated applications and all applications, United States patents or similar legal protections that claim benefit or priority of any or all of the enumerated applications, including all non-provisionals or national / regional stage applications under any and all International Conventions or Treaties, including the Patent Cooperation Treaty and the European Patent Convention, and all divisionals, continuations, continuations-in-part, reissues, renewals and extensions thereof, and all substitutes of any of the foregoing, which have been or shall be filed or issued in the United States and in any or all foreign countries on any or all of said inventions; for the entire term thereof, as fully and entirely as the same would have been held by us had this sale, assignment and transfer not been made;

And the right to file, prosecute to issuance and pursue any post-grant proceedings regarding any or all of the afore-mentioned applications, patents of the United States or similar legal protections claiming benefit or priority of the enumerated applications in any and all countries or under any and all International Conventions or Treaties in the name of said Assignee and/or in the name(s) of the undersigned;

And we agree that, when requested, we shall execute all rightful oaths or declarations, assignments, powers of attorney and other papers; communicate to the Assignee, its successors, assigns and legal representatives any facts known to us respecting said inventions, testify in all legal proceedings and generally do everything possible to aid in securing and maintaining proper United States patents or similar legal protections for said inventions;

And covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, license, other agreement, mortgage or other encumbrance affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

[signature page(s) follow]

Page 2 of 4

Assignment to The Research Foundation for The State University of New York

IN WITNESS WHEREOF, the undersigned has caused this Assignment to be executed as of the date signed below.

12/28/2018 Date:

Sarboy-HBalgon\_

Sarbajit Banerjee

Page 3 of 4

PATENT REEL: 048866 FRAME: 0818 IN WITNESS WHEREOF, the undersigned has caused this Assignment to be executed as

| of the date signed below.          |   |              |
|------------------------------------|---|--------------|
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| Date: 1614                         | Gregory Horrocks  |              |

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**RECORDED: 04/12/2019**