

## PATENT ASSIGNMENT COVER SHEET

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 Stylesheet Version v1.2

EPAS ID: PAT5310573

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
DAVID BRAUN KANNE	03/19/2018
CHUDI OBIOMA NDUBAKU	03/12/2018
JACOB ROBERT BRUML	03/12/2018
THANH NGOC LAN LE	03/12/2018
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	ADURO BIOTECH, INC.
<b>Street Address:</b>	740 HEINZ AVENUE
<b>City:</b>	BERKELEY
<b>State/Country:</b>	CALIFORNIA
<b>Postal Code:</b>	94710
<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
<b>Application Number:</b>	16315612
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	(858)350-9691
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
<b>Phone:</b>	6192033186
<b>Email:</b>	kristen@acuitylg.com
<b>Correspondent Name:</b>	MICHAEL A. WHITTAKER
<b>Address Line 1:</b>	12707 HIGH BLUFF DRIVE, SUITE 200
<b>Address Line 2:</b>	ACUITY LAW GROUP, P.C.
<b>Address Line 4:</b>	SAN DIEGO, CALIFORNIA 92130
<b>ATTORNEY DOCKET NUMBER:</b>	ADR-1008-US
<b>NAME OF SUBMITTER:</b>	KRISTEN LEMME
<b>SIGNATURE:</b>	/Kristen Lemme/
<b>DATE SIGNED:</b>	01/04/2019
<b>Total Attachments: 7</b>	
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source=ADR-1008-PCT+Aduro+Executed+Assignment#page7.tif

**ASSIGNMENT**

**WHEREAS, we:**

**David Braun Kanne**  
5 Flying Cloud Course  
Corte Madera, California 94925  
Citizenship: USA

**Chudi Obioma Ndubaku**  
6031 Chabelyn Terrace  
Oakland, California 94618  
Citizenship: USA

**Jacob Robert Bruml**  
177 Townsend St. Unit 1029  
San Francisco, California 94107  
Citizenship: USA

**Thanh Ngoc Lan Le**  
1611 Russell St. Apt. 8  
Berkeley, California 94703  
Citizenship: Vietnam

have invented new and useful improvements in **LOCKED NUCLEIC ACID CYCLIC  
DINUCLEOTIDE COMPOUNDS AND USES THEREOF**, for which an International Patent  
Application (PCT) was filed on **July 1, 2017**, and assigned Application Number  
**PCT/US2017/040535**; and

**WHEREAS, ADURO BIOTECH, INC.**, a corporation, whose post-office address is  
740 Heinz Avenue, Berkeley, California, 94710, USA, is desirous of acquiring our entire interest  
in the same;

**NOW, THEREFORE**, for good and valuable consideration, the receipt of which is  
hereby acknowledged, we, hereby do sell, assign and transfer unto said **ADURO BIOTECH,  
INC.** our entire right, title and interest in and to said invention and application throughout the

world, including, without limitation, any Letters Patent which may issue thereon, and any subsequent application claiming priority to the above-identified application, reissue, reexamination, divisional, continuation-in-part, extension or continuation thereof and all rights of priority under the Paris Convention arising from said application; the same for our legal representatives and assigns, as fully and entirely as the same would have been held by us had this assignment and sale not been made;

**AND**, we hereby bind ourselves, our heirs, legal representatives, administrators and assigns properly to execute without further consideration any and all applications, petitions, oaths and assignments or other papers and instruments which may be necessary in order to carry into full force and effect, the sale, assignment, transfer and conveyance hereby made or intended to be made and generally do everything possible to aid **ADURO BIOTECH, INC.**, its legal representatives and assigns, to obtain and enforce proper protection for said invention in all countries throughout the world.

Five (5) signature pages follow.

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 19 day of  
March, 2018.

David Braun Kanne  
David Braun Kanne

A Notary Public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

STATE OF CALIFORNIA }

COUNTY OF Alameda }

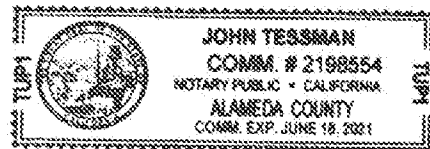
On March 19, 2018 before me, John Tessman  
(insert name and title of the officer)

Notary Public, personally appeared David Braun Kanne  
who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are  
subscribed to the within instrument and acknowledged to me that he/she/they executed the same  
in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument  
the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

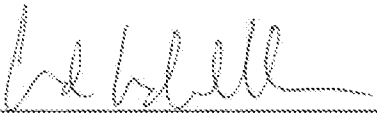
I certify under PENALTY OF PERJURY under the laws of the State of California that the  
foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature [Signature] (Seal)



IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 12<sup>th</sup> day of  
March, 2018.

  
Chudi Obioma Ndubaku

A Notary Public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

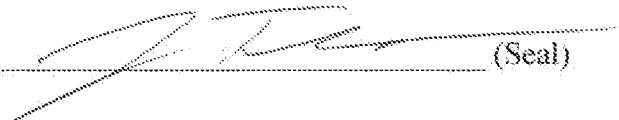
STATE OF CALIFORNIA }  
COUNTY OF Alameda }

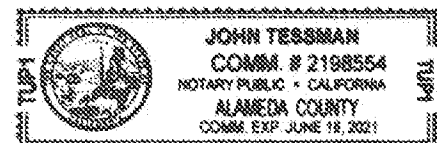
On March 12, 2018 before me, John Tessman  
(insert name and title of the officer)

Notary Public, personally appeared Chudi Obioma Ndubaku,  
who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are  
subscribed to the within instrument and acknowledged to me that he/she/they executed the same  
in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument  
the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the  
foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature  (Seal)



IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 12<sup>th</sup> day of  
March, 2018.

  
\_\_\_\_\_  
Jacob Robert Bruml

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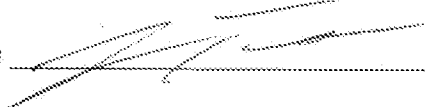
STATE OF CALIFORNIA }  
COUNTY OF Alameda }

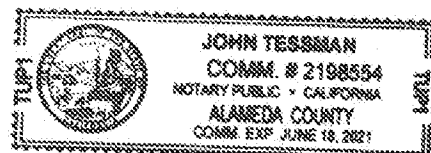
On March 12, 2018 before me, John Tessman  
(insert name and title of the officer)

Notary Public, personally appeared Jacob Robert Bruml,  
who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are  
subscribed to the within instrument and acknowledged to me that he/she/they executed the same  
in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument  
the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the  
foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature  (Seal)



IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 41 day of  
March, 2018.



Thanh Ngoc Lan Le

A Notary Public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

STATE OF CALIFORNIA }

COUNTY OF Alameda }

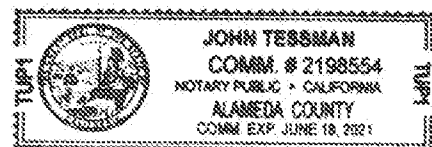
On March 12, 2018 before me, John TESSMAN  
(insert name and title of the officer)

Notary Public, personally appeared Thanh Ngoc Lan Le,  
who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are  
subscribed to the within instrument and acknowledged to me that he/she/they executed the same  
in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument  
the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the  
foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature [Handwritten Signature] (Seal)





Accepted for and on behalf of ADURO BIOTECH, INC.

By: [Signature]

Name: Blaine Templeman

Title: EVP, General Counsel

Date: 3/20/2018

A Notary Public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

STATE OF CALIFORNIA }

COUNTY OF Alameda }

On March 20, 2018 before me, John Tessman  
(insert name and title of the officer)

Notary Public, personally appeared Blaine Templeman,  
who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are  
subscribed to the within instrument and acknowledged to me that he/she/they executed the same  
in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument  
the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the  
foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature [Signature] (Seal)

