505244711 12/19/2018

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2

SUBMISSION TYPE: CORRECTIVE ASSIGNMENT **NATURE OF CONVEYANCE:** Corrective Assignment to correct the ASSIGNOR: HATHORN, ROGER G. DOC DATE: 12/08/2018 previously recorded on Reel 047735 Frame 0026.

Assignor(s) hereby confirms the ASSIGNOR: HATHORN, ROGER G.

EPAS ID: PAT5291490

DOC DATE: 12/06/2018.

CONVEYING PARTY DATA

| Name | Execution Date |
|----------------------|----------------|
| DALE F. RIEDY | 12/06/2018 |
| SCOTT B. COMPTON | 12/06/2018 |
| SUSAN K. CANDELARIA | 12/07/2018 |
| ROGER G. HATHORN | 12/06/2018 |
| HARRY M. YUDENFRIEND | 12/06/2018 |

RECEIVING PARTY DATA

| Name: | INTERNATIONAL BUSINESS MACHINES CORPORATION |
|-----------------|---|
| Street Address: | NEW ORCHARD ROAD |
| City: | ARMONK |
| State/Country: | NEW YORK |
| Postal Code: | 10504 |

PROPERTY NUMBERS Total: 1

| Property Type | Number |
|---------------------|----------|
| Application Number: | 16213797 |

CORRESPONDENCE DATA

Fax Number: (310)556-7984

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 3105567983

Email: patricia@ipmatters.com

KONRAD RAYNES DAVDA & VICTOR LLP Correspondent Name:

Address Line 1: 350 S. BEVERLY DRIVE, SUITE 360 Address Line 4: **BEVERLY HILLS, CALIFORNIA 90212**

| ATTORNEY DOCKET NUMBER: | P201803188US01 18.840 |
|-------------------------|-----------------------|
| NAME OF SUBMITTER: | PATRICIA MCLAUGHLIN |
| SIGNATURE: | /Patricia McLaughlin/ |
| DATE SIGNED: | 12/19/2018 |

This document serves as an Oath/Declaration (37 CFR 1.63).

Total Attachments: 11

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UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

DECEMBER 12, 2018

PTAS

KONRAD RAYNES DAVDA & VICTOR LLP 350 S. BEVERLY DRIVE, SUITE 360 BEVERLY HILLS, CA 90212

505229302

UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

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PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE ASSIGNMENT RECORDATION BRANCH AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT RECORDATION BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 12/11/2018 REEL/FRAME: 047735/0026

NUMBER OF PAGES: 10

BRIEF: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

DOCKET NUMBER: P201803188US01 18.840

ASSIGNOR:

RIEDY, DALE F. DOC DATE: 12/06/2018

ASSIGNOR:

COMPTON, SCOTT B. DOC DATE: 12/06/2018

ASSIGNOR:

CANDELARIA, SUSAN K. DOC DATE: 12/07/2018

ASSIGNOR:

HATHORN, ROGER G. DOC DATE: 12/08/2018

ASSIGNOR:

YUDENFRIEND, HARRY M. DOC DATE: 12/06/2018

ASSIGNEE:

INTERNATIONAL BUSINESS MACHINES CORPORATION NEW ORCHARD ROAD ARMONK, NEW YORK 10504

APPLICATION NUMBER: 16213797 FILING DATE: PATENT NUMBER: ISSUE DATE:

TITLE: COMMUNICATION OF DIAGNOSTIC PARAMETERS OF A DATA MIRRORING

CONFIGURATION FROM A STORAGE CONTROLLER TO A HOST

ASSIGNMENT RECORDATION BRANCH PUBLIC RECORDS DIVISION

505229302 12/11/2018

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2

SUBMISSION TYPE: NEW ASSIGNMENT

NATURE OF CONVEYANCE: ASSIGNMENT

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| ROGER G. HATHORN | 12/08/2018 |
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| ATTORNEY DOCKET NUMBER: | P201803188US01 18.840 |
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| NAME OF SUBMITTER: | PATRICIA MCLAUGHLIN |
| SIGNATURE: | /Patricia McLaughlin/ |
| DATE SIGNED: | 12/11/2018 |
| This document serves as an Oath/Declaration (37 CFR 1.63). | |

Total Attachments: 8

PATENT REEL: 048965 FRAME: 0108

EPAS ID: PAT5276072

DECLARATION (37 C.F.R. 1.63) FOR UTILITY PATENT APPLICATION USING AN APPLICATION DATA SHEET (37 C.F.R. 1.76) AND ASSIGNMENT

Title of Invention: COMMUNICATION OF DIAGNOSTIC PARAMETERS OF A DATA MIRRORING CONFIGURATION FROM A STORAGE CONTROLLER TO A HOST

As a below named and undersigned inventor, I hereby declare that:

This declaration is directed to the attached application, or (if following box is checked):

| [X] | United States application or PCT international | application number | 16/213,797 | filed on |
|-----|--|--------------------|------------|----------|
| | 2018-12-07 | | | |

The above-identified application was made or authorized to be made by me.

I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.

I have reviewed and understand the contents of the application, including the claims.

I am aware of the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in 37 C.F.R. § 1.56.

Whereas, I ("ASSIGNOR") have made certain inventions, improvements, and discoveries (herein referred to as the "Invention") disclosed in the above-identified patent application and further identified by the IBM Docket Number provided above in the header of this document;

Whereas, International Business Machines Corporation (herein referred to as the "ASSIGNEE"), a corporation of New York having a place of business at Armonk, New York, desires to acquire, and I desire to grant to the ASSIGNEE, my entire worldwide right, title, and interest in and to the Invention and in and to any and all patent applications and patents directed thereto;

Now, therefore, for good and valuable consideration, the receipt and sufficiency thereof being hereby acknowledged, I hereby sell or have sold, assign or have assigned, and otherwise transfer or have transferred to the ASSIGNEE, its successors, legal representatives, and assigns, my entire worldwide right, title, and interest in and to the Invention, the above-identified United States patent application, and any and all other patent applications and patents for the Invention which may be applied for or granted therefor in the United States and in all foreign countries and jurisdictions, including all divisions, continuations, reissues, reexaminations, renewals, extensions, counterparts, substitutes, and extensions thereof, and all rights of priority resulting from the filing of such applications and granting of such patents. In addition, I hereby authorize and request the Director of the United States Patent and Trademark Office to issue any United States Patent, and foreign patent authorities to issue any foreign patent, granted for the Invention, to the ASSIGNEE, its successors, legal representatives, and assigns, my entire worldwide right, title, and interest in and to the same to be held and enjoyed by the ASSIGNEE, its successors, legal representatives, and assigns to the full end of the terms for which any and all such patents may be granted, as fully and entirely as would have been held and enjoyed by me had this Assignment not been made; and I agree to execute any and all documents and instruments and perform all lawful acts reasonably related to recording this Assignment or perfecting title to the Invention and all related patents and applications, in the ASSIGNEE, its successors, legal representatives, and assigns, whenever requested by the ASSIGNEE, its

Page 1 of 2- Dec & Assign

successors, legal representatives, or assigns.

I acknowledge my prior and ongoing obligations to sell, assign, and transfer my rights under this Assignment to the ASSIGNEE and am unaware of any reason why I may not have the full and unencumbered right to sell, assign, and transfer my rights hereby sold, assigned, and transferred, and have not executed, and will not execute, any document or instrument in conflict herewith. I also hereby grant the ASSIGNEE, its successors, legal representatives, and assigns, the right to insert in this Assignment any further identification (including, but not limited to, patent Application Number) which may be necessary or desirable for recordation of this Assignment. This Assignment is governed by the substantive laws of the State of New York, and any disputes will be resolved in a New York state court or federal court sited in New York.

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. § 1001 by fine or imprisonment of not more than five (5) years, or both.

| (1) Legal Name of Inventor: Dale F. RIEDY | |
|--|-------------------|
| SIGNATURE: Dale F. Rudy | DATE: 12/6/2019 |
| (2) Legal Name of Inventor: Scott B. COMPTON | |
| SIGNATURE: LUTT & Compto | DATE: (2 -06-2018 |
| (3) Legal Name of Inventor: Susan K. CANDELARIA | |
| SIGNATURE: | DATE: |
| (4) Legal Name of Inventor: Roger G. HATHORN | |
| SIGNATURE: | DATE: |
| (5) Legal Name of Inventor: Harry M. YUDENFRIEND | |
| SIGNATURE: | DATE: |

Page 2 of 2- Dec & Assign

DECLARATION (37 C.F.R. 1.63) FOR UTILITY PATENT APPLICATION USING AN APPLICATION DATA SHEET (37 C.F.R. 1.76) AND ASSIGNMENT

Title of Invention: COMMUNICATION OF DIAGNOSTIC PARAMETERS OF A DATA MIRRORING CONFIGURATION FROM A STORAGE CONTROLLER TO A HOST

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| [X] United States application or PCT international application number16/213,797 | filed on |

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Page 1 of 2- Dec & Assign

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|--|-----------------|
| SIGNATURE: | DATE: |
| (2) Legal Name of Inventor: Scott B. COMPTON | |
| SIGNATURE: | DATE: |
| (3) Legal Name of Inventor: Susan K. CANDELARIA | |
| SIGNATURE: <u>Susan K Candilaria</u> | 8100/15/L : TAD |
| (4) Legal Name of Inventor: Roger G. HATHORN | |
| SIGNATURE: | DATE: |
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Page 2 of 2- Dec & Assign

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| SIGNATURE: | DATE: |
| (3) Legal Name of Inventor: Susan K. CANDELARIA | |
| SIGNATURE: | DATE: |
| (4) Legal Name of Inventor: Roger G. HATHORN SIGNATURE: | DATE: 12/8/18 |
| (5) Legal Name of Inventor: Harry M. YUDENFRIEND | |
| SIGNATURE: | DATE: |

Page 2 of 2- Dec & Assign

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| SIGNATURE: Approx 14 Special cont | BATE: 12/6/28/8 |

Page 2 of 2- Dec & Assign

PATENT REEL: 048965 FRAME: 0116

RECORDED: 12/19/2018