### PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2

ersion v1.1 EPAS ID: PAT5500438

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
MI JUNG NOH	04/01/2019
JIK SOO KIM	04/01/2019
SANG BOK KIM	04/01/2019
JI HOON CHOI	04/01/2019
KOOK HYUN HAN	04/01/2019

#### **RECEIVING PARTY DATA**

Name:	SK INNOVATION CO., LTD.	
Street Address:	(SEORIN-DONG) 26, JONG-RO, JONGNO-GU	
City:	SEOUL	
State/Country:	KOREA, REPUBLIC OF	
Postal Code:	03188	

#### **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	16399407

#### **CORRESPONDENCE DATA**

**Fax Number:** (571)327-5452

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

**Phone:** 5713275450

Email: ipntlaw@ipntlaw.com

Correspondent Name: IP & T GROUP LLP

Address Line 1: 8230 LEESBURG PIKE

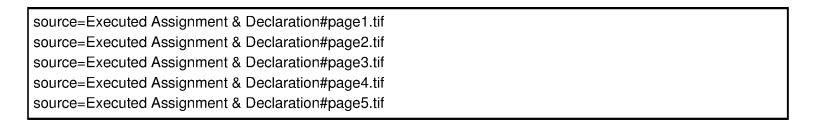
Address Line 4: VIENNA, VIRGINIA 22182

	This document serves as an Oath/Declaration (37 Of 11 1.03).	
	This document serves as an Oath/Declaration (37 CFR 1.63).	
DATE SIGNED:	04/30/2019	
SIGNATURE:	/Myung Soo KIM/	
NAME OF SUBMITTER:	MYUNG SOO KIM	
ATTORNEY DOCKET NUMBER:	19OP01003US	

Total Attachments: 5

PATENT REEL: 049038 FRAME: 0772

505453636



PATENT REEL: 049038 FRAME: 0773

Attorney Docket No.: <u>19OP01003US</u>

### ASSIGNMENT OF AND DECLARATION FOR APPLICATION FOR UNITED STATES LETTERS PATENT

WHEREAS NOH, Mi Jung
hereinafter referred to collectively as the assignor and inventor, has invented a certain improvement relating to
CATHODE ACTIVE MATERIAL FOR LITHIUM SECONDARY BATTERY AND LITHIUM
SECONDARY BATTERY INCLUDING THE SAME
[X] said application being attached hereto;
said application having been filed in the United States Patent and Trademark Office on
, as Application Serial No, and was amended
on(if applicable); [ ] the specification of which was filed under the Patent Cooperation Treaty on,
I I the specification of which was filed under the Patent Cooperation Treaty on,
Serial No, the United States of America being designated.
AND WHEREAS SK INNOVATION CO., LTD. of (Seorin-dong) 26, Jong-ro, Jongno-gu, Seoul, 03188, Republic of Korea
hereinafter referred to as the assignee or applicant, is desirous of acquiring the entire right, title and interest in and to said
application, including any and all divisions and continuations thereof, and in and to said invention and any and all patents
which may be granted therefor, including any and all renewals, reissues and prolongations thereof;
NOW THIS WITNESSETH, that for and in consideration of One Dollar (\$1.00), and other good and valuable
consideration paid by said assignee to said assignor, the receipt of which is hereby acknowledged, said assignor hereby
assigns, sells and transfers to said assignee, and said assignee's successors and assigns, the full and exclusive right, title and
interest in and to said application, including any and all divisions and continuations thereof, and in and to said invention and
any and all patents which may be granted therefor, including any and all renewals, reissues and prolongations thereof; said
assignee, and said assignee's successors and assigns, to have, hold, exercise and enjoy the said application, including any and
all divisions and continuations thereof, and the said invention and any and all patents which may be granted therefor,
including any and all renewals, reissues and prolongations thereof, with all the rights, powers, privileges and advantages in anywise arising from or appertaining thereto, for and during the term or terms of any and all such patents when granted,
including any and all renewals, reissues and prolongations thereof, for the use and benefit of said assignee, and said
assignee's successors and assigns, in as ample and beneficial a manner as the said assignor might or could have held and
enjoyed the same, if this assignment had not been made.
AND said assignor hereby agrees to perform, upon the request of said assignee, or said assignee's successors or
assigns, any and all acts relating to the obtaining or to the asserting of said patents, including any and all renewals, reissues
and prolongations thereof.
AND said assignor authorizes and requests the Commissioner of Patents and Trademarks to issue Letters Patent on
said application, and on any and all divisions and continuations thereof, to said assignee, and said assignee's successors and
assigns, in accordance herewith.
As an above-named inventor, I hereby declare that the above-identified application was made or authorized to be
made by me, and that I am the original inventor or an original joint inventor of a claimed invention in the application.
I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001
by fine or imprisonment of not more than five (5) years, or both.
ASSIGNOR (INVENTOR):
and of
Signature:
Date: Date:
Printed or Typed Name: NOH, Mi Jung

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Attorney Docket No.: \_19OP01003US\_

## ASSIGNMENT OF AND DECLARATION FOR APPLICATION FOR UNITED STATES LETTERS PATENT

WHEREAS KIM, Jik Soo
nereinafter referred to collectively as the assignor and inventor, has invented a certain improvement relating to
CATHODE ACTIVE MATERIAL FOR LITHIUM SECONDARY BATTERY AND LITHIUM
SECONDARY BATTERY INCLUDING THE SAME,
[X] said application being attached hereto;
said application having been filed in the United States Patent and Trademark Office on
, as Application Serial No, and was amended
on(if applicable);  [ ] the specification of which was filed under the Patent Cooperation Treaty on,
Serial No, the United States of America being designated.
AND WHEREAS SK INNOVATION CO., LTD. of (Seorin-dong) 26, Jong-ro, Jongno-gu, Seoul, 03188,
Republic of Korea
nereinafter referred to as the assignee or applicant, is desirous of acquiring the entire right, title and interest in and to said application, including any and all divisions and continuations thereof, and in and to said invention and any and all patents which may be granted therefor, including any and all renewals, reissues and prolongations thereof;
NOW THIS WITNESSETH, that for and in consideration of One Dollar (\$1.00), and other good and valuable consideration paid by said assignee to said assigner, the receipt of which is hereby acknowledged, said assigner hereby assigns, sells and transfers to said assignee, and said assignee's successors and assigns, the full and exclusive right, title and interest in and to said application, including any and all divisions and continuations thereof, and in and to said invention and any and all patents which may be granted therefor, including any and all renewals, reissues and prolongations thereof; said assignee, and said assignee's successors and assigns, to have, hold, exercise and enjoy the said application, including any and all divisions and continuations thereof, and the said invention and any and all patents which may be granted therefor, including any and all renewals, reissues and prolongations thereof, with all the rights, powers, privileges and advantages in anywise arising from or appertaining thereto, for and during the term or terms of any and all such patents when granted, including any and all renewals, reissues and prolongations thereof, for the use and benefit of said assignee, and said assignee's successors and assigns, in as ample and beneficial a manner as the said assignor might or could have held and enjoyed the same, if this assignment had not been made.
AND said assignor hereby agrees to perform, upon the request of said assignee, or said assignee's successors or assigns, any and all acts relating to the obtaining or to the asserting of said patents, including any and all renewals, reissues and prolongations thereof.
AND said assignor authorizes and requests the Commissioner of Patents and Trademarks to issue Letters Patent on said application, and on any and all divisions and continuations thereof, to said assignee, and said assignee's successors and assigns, in accordance herewith.
As an above-named inventor, I hereby declare that the above-identified application was made or authorized to be made by me, and that I am the original inventor or an original joint inventor of a claimed invention in the application.
I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.
ASSIGNOR (INVENTOR):
Signature: Date: / Apr 2019
Printed or Typed Name: KIM, Jik Soo

Page 2 of 5

Attorney Docket No.: <u>190P01003US</u>

# ASSIGNMENT OF AND DECLARATION FOR APPLICATION FOR UNITED STATES LETTERS PATENT

WHEREAS KIM, Sang Bok
hereinafter referred to collectively as the assignor and inventor, has invented a certain improvement relating to
CATHODE ACTIVE MATERIAL FOR LITHIUM SECONDARY BATTERY AND LITHIUM SECONDARY BATTERY INCLUDING THE SAME
[X] said application being attached hereto;  [] said application having been filed in the United States Patent and Trademark Office on
AND WHEREAS SK INNOVATION CO., LTD. of (Seorin-dong) 26, Jong-ro, Jongno-gu, Seoul, 03188, Republic of Korea
hereinafter referred to as the assignee or applicant, is desirous of acquiring the entire right, title and interest in and to said application, including any and all divisions and continuations thereof, and in and to said invention and any and all patents which may be granted therefor, including any and all renewals, reissues and prolongations thereof;
NOW THIS WITNESSETH, that for and in consideration of One Dollar (\$1.00), and other good and valuable consideration paid by said assignee to said assigner, the receipt of which is hereby acknowledged, said assigner hereby assigns, sells and transfers to said assignee, and said assignee's successors and assigns, the full and exclusive right, title and interest in and to said application, including any and all divisions and continuations thereof, and in and to said invention and any and all patents which may be granted therefor, including any and all renewals, reissues and prolongations thereof; said assignee, and said assignee's successors and assigns, to have, hold, exercise and enjoy the said application, including any and all divisions and continuations thereof, and the said invention and any and all patents which may be granted therefor, including any and all renewals, reissues and prolongations thereof, with all the rights, powers, privileges and advantages in anywise arising from or appertaining thereto, for and during the term or terms of any and all such patents when granted, including any and all renewals, reissues and prolongations thereof, for the use and benefit of said assignee, and said assignee's successors and assigns, in as ample and beneficial a manner as the said assignor might or could have held and enjoyed the same, if this assignment had not been made.
AND said assignor hereby agrees to perform, upon the request of said assignee, or said assignee's successors or assigns, any and all acts relating to the obtaining or to the asserting of said patents, including any and all renewals, reissues and prolongations thereof.
AND said assignor authorizes and requests the Commissioner of Patents and Trademarks to issue Letters Patent on said application, and on any and all divisions and continuations thereof, to said assignee, and said assignee's successors and assigns, in accordance herewith.
As an above-named inventor, I hereby declare that the above-identified application was made or authorized to be made by me, and that I am the original inventor or an original joint inventor of a claimed invention in the application.
I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.
ASSIGNOR (INVENTOR):
Signature: Date:
Printed or Typed Name: KIM, Sang Bok

Page 3 of 5

Attorney Docket No.: \_19OP01003US\_

### ASSIGNMENT OF AND DECLARATION FOR APPLICATION FOR UNITED STATES LETTERS PATENT

WHEREAS <u>CHOI, Ji Hoon</u> ,
hereinafter referred to collectively as the assignor and inventor, has invented a certain improvement relating to
CATHODE ACTIVE MATERIAL FOR LITHIUM SECONDARY BATTERY AND LITHIUM
SECONDARY BATTERY INCLUDING THE SAME
[X] said application being attached hereto;
said application having been filed in the United States Patent and Trademark Office on
as Application Serial No. , and was amended (if applicable).
on(if applicable); [ ] the specification of which was filed under the Patent Cooperation Treaty on,
Serial No, the United States of America being designated.
AND WHEREAS SK INNOVATION CO., LTD. of (Seorin-dong) 26, Jong-ro, Jongno-gu, Seoul, 03188,
Republic of Korea
hereinafter referred to as the assignee or applicant, is desirous of acquiring the entire right, title and interest in and to said application, including any and all divisions and continuations thereof, and in and to said invention and any and all patents
which may be granted therefor, including any and all renewals, reissues and prolongations thereof;
may be granted allower, mendanig any and an renowals, reissues and protongations increor,
NOW THIS WITNESSETH, that for and in consideration of One Dollar (\$1.00), and other good and valuable
consideration paid by said assignee to said assignor, the receipt of which is hereby acknowledged, said assignor hereby
assigns, sells and transfers to said assignee, and said assignee's successors and assigns, the full and exclusive right, title and
interest in and to said application, including any and all divisions and continuations thereof, and in and to said invention and
any and all patents which may be granted therefor, including any and all renewals, reissues and prolongations thereof; said assignee, and said assignee's successors and assigns, to have, hold, exercise and enjoy the said application, including any and
all divisions and continuations thereof, and the said invention and any and all patents which may be granted therefor,
including any and all renewals, reissues and prolongations thereof, with all the rights, powers, privileges and advantages in
anywise arising from or appertaining thereto, for and during the term or terms of any and all such patents when granted,
including any and all renewals, reissues and prolongations thereof, for the use and benefit of said assignee, and said
assignee's successors and assigns, in as ample and beneficial a manner as the said assignor might or could have held and
enjoyed the same, if this assignment had not been made.
AND said assignor hereby agrees to perform, upon the request of said assignee, or said assignee's successors or
assigns, any and all acts relating to the obtaining or to the asserting of said patents, including any and all renewals, reissues
and prolongations thereof.
AND said assignor authorizes and requests the Commissioner of Patents and Trademarks to issue Letters Patent on
said application, and on any and all divisions and continuations thereof, to said assignee, and said assignee's successors and assigns, in accordance herewith.
assigns, in accordance incoving
As an above-named inventor, I hereby declare that the above-identified application was made or authorized to be
made by me, and that I am the original inventor or an original joint inventor of a claimed invention in the application.
I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.
by the of impresentation for more than rive (5) years, or both.
ASSIGNOR (INVENTOR):
Signature:
Printed or Typed Name: CHOI, Ji Hoon

Page 4 of 5

Attorney Docket No.: 19OP01003US

### ASSIGNMENT OF AND DECLARATION FOR APPLICATION FOR UNITED STATES LETTERS PATENT

WHEREAS HAN, Kook Hyun
hereinafter referred to collectively as the assignor and inventor, has invented a certain improvement relating to
CATHODE ACTIVE MATERIAL FOR LITHIUM SECONDARY BATTERY AND LITHIUM
SECONDARY BATTERY INCLUDING THE SAME
SECONDINCT BITTER! MODOBING THE GAME
[X] said application being attached hereto;
said application having been filed in the United States Patent and Trademark Office on
, as Application Serial No, and was amended
on(if applicable); [ ] the specification of which was filed under the Patent Cooperation Treaty on,
the specification of which was filed under the Patent Cooperation Treaty on,
Serial No, the United States of America being designated.
AND WHEREAS SECTION OF THE SCOOL IS NOT A STORY
AND WHEREAS SK INNOVATION CO., LTD. of (Seorin-dong) 26, Jong-ro, Jongno-gu, Seoul, 03188, Republic of Korea
hereinafter referred to as the assignee or applicant, is desirous of acquiring the entire right, title and interest in and to said
application, including any and all divisions and continuations thereof, and in and to said invention and any and all patents
which may be granted therefor, including any and all renewals, reissues and prolongations thereof;
NOW THIS WITNESSETH, that for and in consideration of One Dollar (\$1.00), and other good and valuable
consideration paid by said assignee to said assignor, the receipt of which is hereby acknowledged, said assignor hereby
assigns, sells and transfers to said assignee, and said assignee's successors and assigns, the full and exclusive right, title and
interest in and to said application, including any and all divisions and continuations thereof, and in and to said invention and
any and all patents which may be granted therefor, including any and all renewals, reissues and prolongations thereof; said assignee, and said assignee's successors and assigns, to have, hold, exercise and enjoy the said application, including any and
all divisions and continuations thereof, and the said invention and any and all patents which may be granted therefor,
including any and all renewals, reissues and prolongations thereof, with all the rights, powers, privileges and advantages in
anywise arising from or appertaining thereto, for and during the term or terms of any and all such patents when granted,
including any and all renewals, reissues and prolongations thereof, for the use and benefit of said assignee, and said
assignee's successors and assigns, in as ample and beneficial a manner as the said assignor might or could have held and
enjoyed the same, if this assignment had not been made.
AND said assignor hereby agrees to perform, upon the request of said assignee, or said assignee's successors or
assigns, any and all acts relating to the obtaining or to the asserting of said patents, including any and all renewals, reissues
and prolongations thereof.
AND said assignor authorizes and requests the Commissioner of Patents and Trademarks to issue Letters Patent on
said application, and on any and all divisions and continuations thereof, to said assignee, and said assignee's successors and
assigns, in accordance herewith.
As an above-named inventor, I hereby declare that the above-identified application was made or authorized to be
made by me, and that I am the original inventor or an original joint inventor of a claimed invention in the application.
I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001
by fine or imprisonment of not more than five (5) years, or both.
ASSIGNOR (INVENTOR):
Well to I would a 10 cm
Signature: HAM, Kaok HYUM Date: 2019, 4, 1
Deinted or Torred Name. II AN I Mach Weign
Printed or Typed Name: HAN, Kook Hyun

Page 5 of 5

RECORDED: 04/30/2019