

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
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EPAS ID: PAT5507686

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
SELINA CHEN-KIANG	07/17/2017
MAURIZIO DI LIBERTO	07/17/2017
RECEIVING PARTY DATA	
Name:	CORNELL UNIVERSITY
Street Address:	395 PINE TREE ROAD, SUITE 310
City:	ITHACA
State/Country:	NEW YORK
Postal Code:	14850
PROPERTY NUMBERS Total: 2	
Property Type	Number
Application Number:	15101240
Application Number:	16396391
CORRESPONDENCE DATA	
Fax Number:	(612)339-3061
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
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ATTORNEY DOCKET NUMBER:	1676.104US1/1676.104US2
NAME OF SUBMITTER:	DEBRA E BARTELL
SIGNATURE:	/Debra E Bartell/
DATE SIGNED:	05/05/2019
Total Attachments: 4	
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source=1676104US1_assign_rec_f1#page2.tif	
source=1676104US1_assign_rec_f1#page3.tif	
source=1676104US1_assign_rec_f1#page4.tif	

RECORDATION FORM COVER SHEET
PATENTS ONLY

Atty Ref/Docket No.: 1676.104US1

Patent and Trademark Office

To the Director of the U.S. Patent and Trademark Office: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Selina Chen-Kiang, Maurizio Di Liberto

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement ☐ Change of Name

☐ Other

Execution Date: July 17, 2017, July 17, 2017

2. Name and address of receiving party(ies):

Name: Cornell University

Street Address: 395 Pine Tree Road, Suite 310

City: Ithaca State: NY Zip: 14850

Country: United States of America

Additional name(s) & address(es) attached? ☐ Yes
☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

Serial No. 15/101,240

B. Patent Applications No.(s)

Serial No. 16/396,391

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Ricardo J. Moran

Address:

Schwegman Lundberg & Woessner, P.A.

P.O. Box 2938

Minneapolis, Minnesota 55402

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$ 0.00

☐ Enclosed

☐ Authorized to be charged to deposit account
19-0743

8. Please charge any additional fees or credit any over payments to our Deposit Account No.: 19-0743

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Ricardo J. Moran/Reg. No. 48,735

Name of Person Signing



Signature

3 May 2019

Date

Total number of pages including cover sheet: 4

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks

Mail Stop Assignment Recordation Services

P.O. Box 1450

Alexandria, VA 22313-1450

PATENT
REEL: 049086 FRAME: 0176

Cornell University ASSIGNMENT

WHEREAS, We, Selina Chen-Kiang, a citizen of United States of America, residing at 370 Riverside Drive, Apt. 12A, New York, NY 10025; and, Maurizio Di Liberto, a citizen of United States of America, residing at 119 Stacey Court, Rivervale, NJ 07675 have invented certain new and useful improvements in **METHODS FOR TREATING B CELL PROLIFERATIVE DISORDERS** for which an application for Letters Patent in the United States was filed as U.S. Serial No. 15/101,240 on June 2, 2016; claiming priority to U.S. Provisional Application No. 61/910,876 on December 2, 2013;

AND WHEREAS, Cornell University, with its principal place of business at the Center for Technology Licensing ("CTL") at Cornell University, 395 Pine Tree Road, Suite 310, Ithaca, New York 14850 USA, is entitled to acquire the entire right, title and interest in and to the said improvements and the said Application:

NOW, THEREFORE, for good and valuable consideration, including salary or payment for the making of inventions, or employee benefits, the receipt of which is hereby acknowledged, we, the said inventors, do hereby acknowledge that we have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said Cornell University, its successors, legal representatives and assigns, the entire right, title and interest throughout the world in, to and under the said improvements, and the said application and all divisions, renewals, and continuations thereof, and all Letters Patent of the United States which may be granted thereon and all reissues and extensions thereof, and all rights of priority under International Conventions and applications for Letters Patent which may hereafter be filed for said improvements in any country or countries foreign to the United States, and all Letters Patent which may be granted for said improvements in any country or countries foreign to the United States and all extensions, renewals and reissues thereof, and we hereby authorize and request the Commissioner of Patents of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said improvements to the said Cornell University, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant and agree that we will communicate to the said Cornell University, its successors, legal representatives, and assigns, any facts known to us respecting said improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, and reissue applications, make all rightful oaths and generally do everything possible to aid the said Cornell University, its successors, legal representatives, and assigns, to obtain and enforce proper patent protection for said improvements in all countries.

Witness Option

Assignor:

Date:

Selina Chen-Kiang

Witnessed By:

Witnessed By:

First Witness Signature

Second Witness Signature

Printed Name: _____

Printed Name: _____

Date: _____

Date: _____

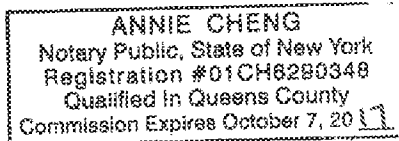
Notary Option

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this
17 day of July, 2017.

Selina Chen-Kiang

STATE OF New York
COUNTY OF New York

This 17 day of July, 2017, before me personally came the
above-named Selina Chen-Kiang, to me personally known as the individual who executed
the same of his/her own free will for the purposes therein set forth.



Notary Public

Commission expires on 10/7/17

Witness Option

Assignor:

Date:

Maurizio Di Liberto

Witnessed By:

Witnessed By:

First Witness Signature

Second Witness Signature

Printed Name: _____ Printed Name: _____

Date: _____ Date: _____

Notary Option

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this
17 day of July, 2017.

Maurizio Di Liberto

STATE OF New York :
COUNTY OF New York :

This 17 day of July, 2017, before me personally came the
above-named Maurizio Di Liberto, to me personally known as the individual who executed
the same of his/her own free will for the purposes therein set forth.

ANNIE CHENG
Notary Public, State of New York
Registration #01CH6290348
Qualified in Queens County
Commission Expires October 7, 2017

Notary Public

Commission expires on 10/7/17