

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT5507795

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
LUMILEDS LIGHTING U.S., LLC	02/11/2011
LUMILEDS LIGHTING, U.S., LLC	02/11/2011
LUMILEDS LIGHTING, U.S. LLC	02/11/2011
LUMILEDS LIGHTING U.S. LLC	02/11/2011
RECEIVING PARTY DATA	
Name:	PHILIPS LUMILEDS LIGHTING COMPANY LLC
Street Address:	370 WEST TRIMBLE ROAD
City:	SAN JOSE
State/Country:	CALIFORNIA
Postal Code:	95131
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	09732326
CORRESPONDENCE DATA	
Fax Number:	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Email:	paralegal.maxval@maxval.com
Correspondent Name:	CONVERGENCE INTELLECTUAL PROPERTY LAW P.C.
Address Line 1:	2251 GRANT RD. SUITE B
Address Line 4:	LOS ALTOS, CALIFORNIA 94024
ATTORNEY DOCKET NUMBER:	M-8901-1C US
NAME OF SUBMITTER:	KRISHNAKUMAR
SIGNATURE:	/Krishnakumar/
DATE SIGNED:	05/06/2019
Total Attachments: 15	
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Form **PTO-1595** (Rev. 07/05)
OMB No. 0651-0027 (exp. 6/30/2008)

U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

LUMILEDS LIGHTING U.S., LLC
LUMILEDS LIGHTING, U.S., LLC
LUMILEDS LIGHTING, U.S. LLC
LUMILEDS LIGHTING U.S. LLC

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) FEBRUARY 11, 2011

- ☐ Assignment ☐ Merger
☐ Security Agreement ☒ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)

Name: PHILIPS LUMILEDS LIGHTING COMPANY LLC

Internal Address: _____

Street Address: 370 WEST TRIMBLE ROAD

City: SAN JOSE

State: CA

Country: USA Zip: 95131

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

A. Patent Application No.(s)

SEE ATTACHED ANNEX 1

☐ This document is being filed together with a new application.

B. Patent No.(s)

SEE ATTACHED ANNEX 1

Additional numbers attached? ☒ Yes ☐ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: PHILIPS ELECTRONICS NORTH AMERICA CORP.

Internal Address: P.O. BOX 3001

Street Address: 345 SCARBOROUGH ROAD

City: BRIARCLIFF MANOR

State: NY Zip: 10510-8001

Phone Number: (914) 945-6000

Fax Number: (914) 332-0615

Email Address: MARIANNE.FOX@PHILIPS.COM

6. Total number of applications and patents involved: 230**7. Total fee (37 CFR 1.21(h) & 3.41) \$ 9200**

- ☐ Authorized to be charged by credit card
☒ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers _____
Expiration Date _____

b. Deposit Account Number 14-1270

Authorized User Name MICHAEL E. MARION

9. Signature:


Signature

2/15/11
Date

MICHAEL E. MARION
Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

15

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

CH \$8560.00 141270 10278390

AAPL#	Patent	Publication number	Current recorded Assignee
10278390	NONE	<u>US20030095417</u>	<u>LUMILEDS LIGHTING U.S. LLC</u>
9879627	<u>6576488</u>	<u>US20020187571</u>	<u>LUMILEDS LIGHTING U.S. LLC</u>
10260090	<u>6744077</u>	<u>US20040061124</u>	<u>LUMILEDS LIGHTING U.S. LLC</u>
10104883	<u>6756186</u>	<u>US20030181122</u>	<u>LUMILEDS LIGHTING U.S. LLC</u>
10614878	<u>6933767</u>	<u>US20040095185</u>	<u>LUMILEDS LIGHTING U.S. LLC</u>
10652798	<u>7019330</u>	<u>US20050045893</u>	<u>LUMILEDS LIGHTING U.S. LLC</u>
10678541	<u>7052152</u>	<u>US20050073495</u>	<u>LUMILEDS LIGHTING U.S. LLC</u>
10977294	<u>7256483</u>	<u>US20060091409</u>	<u>LUMILEDS LIGHTING U.S. LLC</u>
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7646835	<u>5113685</u>		<u>LUMILEDS LIGHTING, U.S. LLC</u>
8678276	<u>5777433</u>		<u>LUMILEDS LIGHTING, U.S. LLC</u>
8684608	<u>5814239</u>		<u>LUMILEDS LIGHTING, U.S. LLC</u>
8892049	<u>5847507</u>		<u>LUMILEDS LIGHTING, U.S. LLC</u>
9018776	<u>5919715</u>		<u>LUMILEDS LIGHTING, U.S. LLC</u>
8833813	<u>5927995</u>		<u>LUMILEDS LIGHTING, U.S. LLC</u>
9052368	<u>6046465</u>		<u>LUMILEDS LIGHTING, U.S. LLC</u>
9326337	<u>6177359</u>		<u>LUMILEDS LIGHTING, U.S. LLC</u>
9212150	<u>6194743</u>		<u>LUMILEDS LIGHTING, U.S. LLC</u>
9162708	<u>6229151</u>		<u>LUMILEDS LIGHTING, U.S. LLC</u>
8979895	<u>6258614</u>		<u>LUMILEDS LIGHTING, U.S. LLC</u>
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9263654	<u>6327288</u>		<u>LUMILEDS LIGHTING, U.S. LLC</u>
9586406	<u>6526082</u>		<u>LUMILEDS LIGHTING, U.S. LLC</u>
9562978	<u>6537513</u>		<u>LUMILEDS LIGHTING, U.S. LLC</u>
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9652194	<u>6946685</u>		<u>LUMILEDS LIGHTING, U.S. LLC</u>
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9122568	NONE	<u>US20010020703</u>	<u>LUMILEDS LIGHTING, U.S., LLC</u>
9245503	NONE	<u>US20010042866</u>	<u>LUMILEDS LIGHTING, U.S., LLC</u>
9846980	NONE	<u>US20020157596</u>	<u>LUMILEDS LIGHTING, U.S., LLC</u>
9977144	NONE	<u>US20020047131</u>	<u>LUMILEDS LIGHTING, U.S., LLC</u>

10170882	NONE	<u>US20030230977</u>	<u>LUMILEDS LIGHTING, U.S., LLC</u>
10256706	NONE	<u>US20040061810</u>	<u>LUMILEDS LIGHTING, U.S., LLC</u>
7237797	<u>4912532</u>		<u>LUMILEDS LIGHTING, U.S., LLC</u>
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9317647	<u>6222207</u>		<u>LUMILEDS LIGHTING, U.S., LLC</u>
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9858833	<u>6455878</u>		<u>LUMILEDS LIGHTING, U.S., LLC</u>
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9390006	<u>6504301</u>		<u>LUMILEDS LIGHTING, U.S., LLC</u>
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9849042	<u>6598998</u>	<u>US20020163810</u>	<u>LUMILEDS LIGHTING, U.S., LLC</u>
9556770	<u>6603258</u>		<u>LUMILEDS LIGHTING, U.S., LLC</u>
9882493	<u>6604839</u>	<u>US20020191394</u>	<u>LUMILEDS LIGHTING, U.S., LLC</u>
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9407231	<u>6630691</u>		<u>LUMILEDS LIGHTING, U.S., LLC</u>
9870330	<u>6630692</u>	<u>US20020190259</u>	<u>LUMILEDS LIGHTING, U.S., LLC</u>
9823823	<u>6635904</u>	<u>US20020171092</u>	<u>LUMILEDS LIGHTING, U.S., LLC</u>
9879548	<u>6642618</u>	<u>US20020070449</u>	<u>LUMILEDS LIGHTING, U.S., LLC</u>

9879547	6642652	US20020185965	LUMILEDS LIGHTING, U.S., LLC
9688053	6650044		LUMILEDS LIGHTING, U.S., LLC
9755935	6657300	US20020008243	LUMILEDS LIGHTING, U.S., LLC
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9887954	6682207	US20010036083	LUMILEDS LIGHTING, U.S., LLC
9993862	6683327	US20030089917	LUMILEDS LIGHTING, U.S., LLC
9405947	6686691		LUMILEDS LIGHTING, U.S., LLC
9405938	6696703	US20020030444	LUMILEDS LIGHTING, U.S., LLC
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9169218	6794731	US20020003237	LUMILEDS LIGHTING, U.S., LLC
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9859154	6885035	US20010032985	LUMILEDS LIGHTING, U.S., LLC
10317309	6900067	US20040115853	LUMILEDS LIGHTING, U.S., LLC
10158360	6903376	US20020190260	LUMILEDS LIGHTING, U.S., LLC
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10699433	6933535	US20050093007	LUMILEDS LIGHTING, U.S., LLC
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11248945	7543959	US20070081336	LUMILEDS LIGHTING, U.S., LLC
9823732	6489636	US20020171091	LUMILEDS LIGHTING, U.S., LLC
10782248	NONE	US20050179041	LUMILEDS LIGHTING, U.S., LLC
10804810	NONE	US20050205883	LUMILEDS LIGHTING, U.S., LLC

10840459	NONE	<u>US20050247944</u>	<u>LUMILEDS LIGHTING U.S., LLC</u>
10867606	NONE	<u>US20050274970</u>	<u>LUMILEDS LIGHTING U.S., LLC</u>
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11156105	NONE	<u>US20060284187</u>	<u>LUMILEDS LIGHTING U.S., LLC</u>
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11252421	NONE	<u>US20070086184</u>	<u>LUMILEDS LIGHTING U.S., LLC</u>
11262192	NONE	<u>US20070097696</u>	<u>LUMILEDS LIGHTING U.S., LLC</u>
11297287	NONE	<u>US20060105482</u>	<u>LUMILEDS LIGHTING U.S., LLC</u>
11361109	NONE	<u>US20070200121</u>	<u>LUMILEDS LIGHTING U.S., LLC</u>
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10805424	<u>7808011</u>	<u>US20050205884</u>	<u>LUMILEDS LIGHTING U.S., LLC</u>

NAME CHANGE RECORDATION

PHILIPS LUMILEDS LIGHTING COMPANY LLC, a limited liability corporation, having its office at 370 West Trimble Road, San Jose, CA 95131, USA hereby requests that a name change be recorded in all United States Patents and United States Patent Applications including all divisions, continuations, reissues and extensions listed in Annex 1

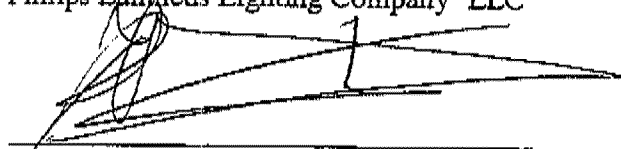
from **LUMILEDS LIGHTING U.S., LLC**, also referred to as **LUMILEDS LIGHTING, U.S., LLC**, **LUMILEDS LIGHTING, U.S. LLC** and **LUMILEDS LIGHTING U.S. LLC**

to **PHILIPS LUMILEDS LIGHTING COMPANY LLC**, a limited liability corporation, having its office at 370 West Trimble Road, San Jose, CA 95131, USA, as recorded in the State of Delaware on December 27, 2005, and a copy of the certificate of amendment is attached in Annex 2.

EXECUTED for and on behalf of:

Philips Lumileds Lighting Company LLC

Date: 11-Feb-2011



Name: Jan Bouten

Title: CFO, Philips Lumileds Lighting Company LLC

Annex 1

Annex 2

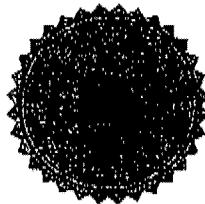
Delaware

PAGE 1

The First State

I, HARRIET SMITH WINDSOR, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF AMENDMENT OF "LUMILEDS LIGHTING U.S., LLC", CHANGING ITS NAME FROM "LUMILEDS LIGHTING U.S., LLC" TO "PHILIPS LUMILEDS LIGHTING COMPANY LLC", FILED IN THIS OFFICE ON THE TWENTY-SEVENTH DAY OF DECEMBER, A.D. 2005, AT 3 O'CLOCK P.M.

3109881 8100
060346404



Harriet Smith Windsor
Harriet Smith Windsor, Secretary of State
AUTHENTICATION: 4665543

DATE: 04-13-06

PATENT
REEL: 029880 FRAME: 0080

State of Delaware
Secretary of State
Division of Corporations
Delivered 03:00 PM 12/27/2005
FILED 03:00 PM 12/27/2005
SRV 051064324 - 3109881 FILE

STATE OF DELAWARE
CERTIFICATE OF AMENDMENT

1. Name of Limited Liability Company: LUMILEDS LIGHTING U.S., LLC
2. The Certificate of Formation of the limited liability company is hereby amended as follows:
FIRST: THE NAME OF THE LIMITED LIABILITY
COMPANY IS PHILIPS LUMILEDS LIGHTING
COMPANY LLC

IN WITNESS WHEREOF, the undersigned have executed this Certificate on
the 13 day of DECEMBER, A.D. 2005.

By: W. C. S. S. S.
Authorized Officer

Name: N. T. BASTOCK
Print or Type

Apr 13 08 01:37p

Lumileds Finance Dept

(408) 435-5869

p.18

State of Delaware
Office of the Secretary of State

PAGE 1

I, EDWARD J. FREEL, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF FORMATION OF "LUMILEDS LIGHTING U.S., LLC", FILED IN THIS OFFICE ON THE TWELFTH DAY OF OCTOBER, A.D. 1999, AT 1 O'CLOCK P.M.



Edward J. Freel, Secretary of State

3109881 8100

991430234

OCT-13-1999 11:07

6504283901

AUTHENTICATION: 0020630

DATE: 10-12-99

94%
04/13/2008 THU 17:34 [TX/RX NO 95971] 0018

PATENT

REEL: 029880 FRAME: 0082

Apr 13 06 01:38p

Lumileds Finance Dept

(408)435-5869

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Delaware

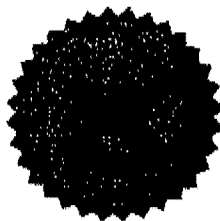
PAGE 1

The First State

I, HARRIET SMITH WINDSOR, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY "LUMILEDS LIGHTING U.S., LLC" IS DULY FORMED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND HAS A LEGAL EXISTENCE SO FAR AS THE RECORDS OF THIS OFFICE SHOW, AS OF THE FIFTEENTH DAY OF OCTOBER, A.D. 2002.

AND I DO HEREBY FURTHER CERTIFY THAT THE SAID "LUMILEDS LIGHTING U.S., LLC" WAS FORMED ON THE TWELFTH DAY OF OCTOBER, A.D. 1999.

AND I DO HEREBY FURTHER CERTIFY THAT THE ANNUAL TAXES HAVE BEEN PAID TO DATE.



Harriet Smith Windsor
Harriet Smith Windsor, Secretary of State

AUTHENTICATION: 2034470

3109881 8300

020635041

DATE: 10-15-02
04/13/2006 THU 17:34 [TX/RX NO 9597] 019

PATENT

REEL: 029880 FRAME: 0086

Apr 13 06 01:38p

LumiLeds Finance Dept

(408) 435-5869

P.20

CERTIFICATE OF FORMATION
OF
LUMILEDS LIGHTING U.S., LLC

This Certificate of Formation of LumiLeds Lighting U.S., LLC (the "LLC"), dated as of October 12, 1999, is being duly executed and filed by the undersigned, as an authorized person, to form a limited liability company under the Delaware Limited Liability Company Act (6 Del. C. § 18-101, *et seq.*).

FIRST. The name of the limited liability company formed hereby is

LumiLeds Lighting U.S., LLC

SECOND. The address of the registered office of the LLC in the State of Delaware is c/o The Corporation Trust Company, 1209 Orange Street, Wilmington, Delaware 19801, County of New Castle.

THIRD. The name and address of the registered agent for service of process on the LLC in the State of Delaware is The Corporation Trust Company, 1209 Orange Street, Wilmington, Delaware 19801, County of New Castle.

IN WITNESS WHEREOF, the undersigned has executed this Certificate of Formation as of the date first above written.



Name: NEIL THOMAS BASTOCK
Authorized Person