## 505463745 05/07/2019

# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT5510548

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	CHANGE OF NAME

#### **CONVEYING PARTY DATA**

Name	Execution Date
LABORATORIOS DR. ESTEVE S.A.	06/25/2018

### **RECEIVING PARTY DATA**

Name:	ESTEVE PHARMACEUTICALS, S.A.		
Street Address:	PASSEIG DE LA ZONA FRANCA		
Internal Address:	109, 4A PLANTA		
City:	BARCELONA		
State/Country:	SPAIN		
Postal Code:	08038		

# **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	11169155

### **CORRESPONDENCE DATA**

**Fax Number:** (202)824-3001

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

**Email:** bwptopat@bannerwitcoff.com, dholbert@bannerwitcoff.com

Correspondent Name: BANNER & WITCOFF, LTD.

Address Line 1: 1100 13TH STREET, N.W.

Address Line 4: WASHINGTON, D.C. 20005

ATTORNEY DOCKET NUMBER:	006444.00042
NAME OF SUBMITTER:	BENJAMIN C. SPEHLMANN
SIGNATURE:	/Benjamin C. Spehlmann/
DATE SIGNED:	05/07/2019

### **Total Attachments: 10**

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EL REGISTRADOR MERCANTIL DE BARCELONA Y SU PROVINCIA QUE SUSCRIBE, tras examinar los Libros del Archivo y la base de datos informatizada existente en este Registro Mercantil de Barcelona con referencia a la Sociedad solicitada,

CERTIFICA: Que los datos relativos a esta Sociedad, que continúa vigente en este Registro, con personalidad jurídica, son los siguientes:

DENOMINACIÓN DEL EMPRESARIO: ESTEVE PHARMACEUTICALS, S.A. (Sociedad Unipersonal)

C.L.F.:

A08037236

Código LEI:

95980020140005174448

Côdigo EUID:

ES08005.000006987

Dicha Sociedad fue constituida mediante escritura otorgada el 18 de junio de 1.936, ante el Notario de Barcelona Don José Faura Bordas, que fue inscrita en este Registro con fecha 18 de septiembre de 1.936 y motivó la inscripción 1º de la hoja número 19.337, después hoja número 45.157-NG y hoy hoja número B-19.079, obrante al folio 148 del tomo 312 del archivo.

ÚLTIMOS DATOS DE INSCRIPCIÓN EN EL REGISTRO MERCANTIL: Tomo: 45827 Folio: 62 Hoja: B-19079 INSCRIPCIÓN 192

INCIDENCIAS ESPECIALES:

No existen incidencias especiales.

INSCRIPCIÓN SOLICITADA:

Que la inscripción 192° antes citada, relativa a cambio de denominación y traslado de domicilio, consta por fotocopia en 2 folios a una sola cara de papel timorado de este Registro, números A5780234 y A5780235, al dorso de cada folio, unidas a esta certificación como formando parte de la misma, cuyas fotocopias son reproducción fiel y exacta del original, habiéndose omitido los datos considerados sensibles por la legislación de protección de datos.

PATENT

REEL: 049107 FRAME: 0672





# SOCIO ÚNICO:

NOMBRE: CORPORACION QUIMICO FARMACEUTICA ESTEVE, S.A.

NJ.F/CJ.F.: **A58201914** 

INSCRIPCIÓN: 161, Tomo: 44277 Folio: 24 Hoja: B-19079 y nota que obra al

margen de la misma

DOMICILIO SOCIAL:

DIRECCIÓN: PASSEI DE LA ZONA FRANCA, 109, 4º Planta

POBLACIÓN: BARCELONA (ESPAÑA)

INSCRIPCIÓN: 192, Tomo: 45827 Folio: 62 Hoja: B-19079

# ASIENTOS DE PRESENTACIÓN VIGENTES:

Diario de presentación de inscripciones:

No tiene asientos vigentes,

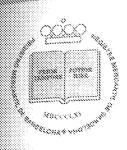
Diario de presentación de depósito de cuentas:

No tiene asientos vigentes.

A los efectos del Reglamento General de Protección de Dates 2016/679 del Parlamento Europeo y del Consejo, de 27 de abril de 2016, relativo a la protección de las personas físicas en lo que respecta al tratamiento de datos personales y a la libre circulación de estos datos (en adelante, "RGPO"), queda informado:

- De conformidad, con lo dispuesto en la solicitud de publicidad registral, los datos personales expresados en la misma, ban sido y serán objeto de tratamiento e incorporados a los Libros y archivos del Regustro, cuyo responsable es el Registrador, siendo el uso y fin del tratamiento los recogidos y previstas expresamente en la normativa registrat, la cual sirve de base registrandera de este
- « Conforme al art. 6 de la Instrucción de la Dirección General de los Registros y del Notanado de 17 de fetuero de 1998, el titular de los datos queda informado que los mismos serán sedidos con el objeto de satisfacer el derecho del timbar de derecho/s inscrito/s en el Registro a ser informado, a su instancia, del nombre o de la denominación y domicilio de las personas úsicas o jurídicas que han recahado información respecto a su persona o bienes.
- El periodo de conservación de los datos se disterminará de acuerdo a los criterios establecidos en la legislación registral, resoluciones de la Dirección General de los Registros y del Notarndo e instrucciones colegiales. En el caso de la facturación de servicios, dichas periodos de conservación se determinarán de acuerdo con la normativa fiscal y tributaria aplicable en cada momento. En todo caso, el Registro podrá conservar los datos por un tiempo superior a los indicados conforme a dichos criterios normativos en aquellos supuestos en que sea necesario por la existencia de responsabilidades derivadas de la prestación del
- La información puesta a su disposición es para so uso exclusivo y tiene carácter intransferible y confidencial y únicamente podrá utilizarse para la finatidad por la que se soficitó la información. Queda prohibida la transmisión o cesión de la información por el usuario a cualquier otra persona, incluso de manera gratuita -
- De conformidad con la instrucción de la Dirección General de los Registros y del Notariado de 17 de febrero de 1998, queda prohibida la incorporación de los datos que constan en la información registral a ficheros o bajos informáticas para la consulta individualizada de prizonas físicas o jurídicas, incluso expresando la fuente de procedencia-

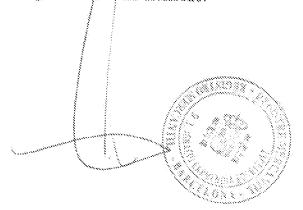
En suanto resulte compatible con la normativa específica y aplicable al Registro, se reconoce a los interesados los derechos de acceso, rectificación, supresion, oposición, limitación y portabilidad establecidos en el RGPD citado, pudiendo ejercitados dirigiendo un escrito a la dirección del Registro. Del mismo modo, el usuario podrá recismar aute la Agencia Española de

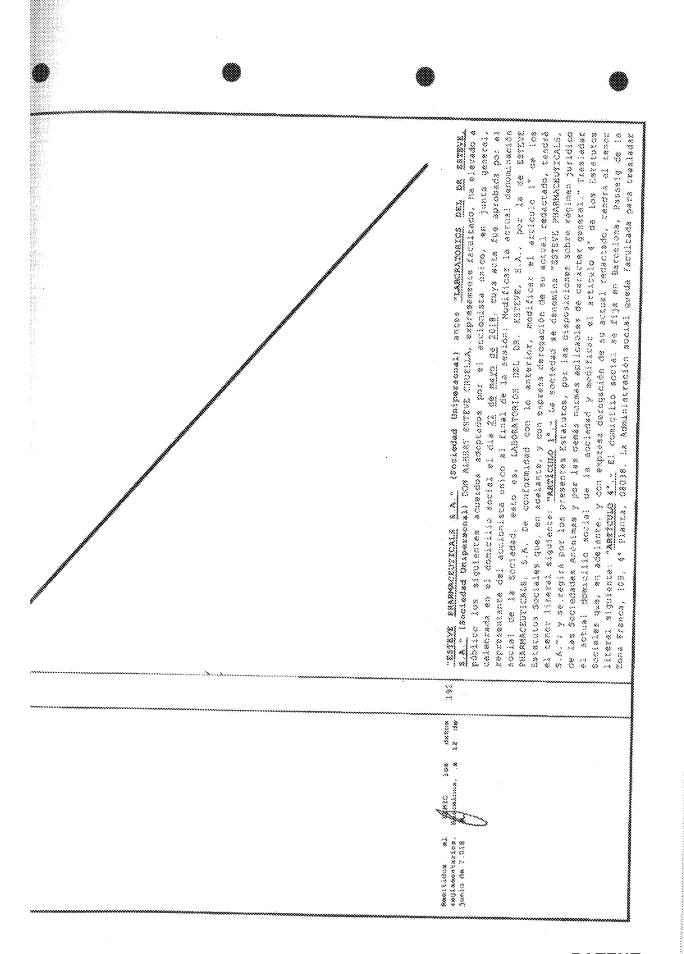


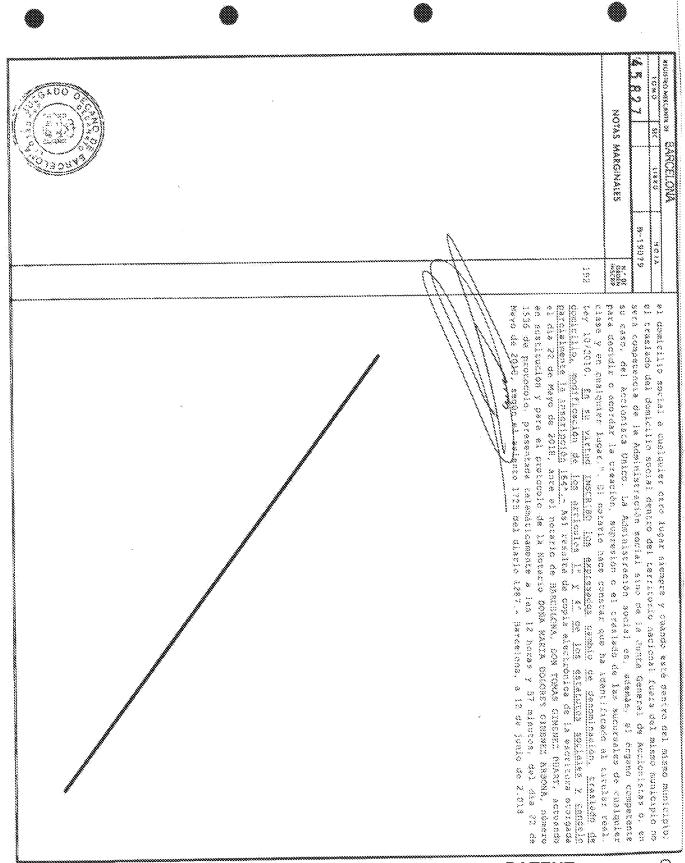


Protección de Dans (AEPD): <u>men appd.gs</u>. Sin perjuicio de ello, el interesado podrá ponerse en contacto con el delegado de protección de dans del Registro, dirigiendo un escrito a la dirección <u>dirección descuentes</u>.

Y para que conste, expido la presente certificación en el folio número B3245436 y los 2 siguientes, a una sola cara y con el membrete de este Registro. Barcelona, a las 8:55 horas del día veinticinco de junio de dos mil dicciocho.







[Logo: BARCELONA COMPANIES REGISTRY - BARCELONA COMPANIES REGISTRY - PRIOR TEMPORE POTIOR IURE MDCCCLXI]

BARCELONA COMPANIES REGISTRY
[BARCODE]
B3245436

THE UNDERSIGNED COMPANIES REGISTRAR OF BARCELONA AND ITS PROVINCE, having examined the Books in the Archive and the computerised database in Barcelona Companies Registry concerning the company the request concerns;

CERTIFIES: the details concerning this company, which remains validly registered in this registry and has legal personality, are as follows:

BUSINESS'S NAME:

ESTEVE PHARMACEUTICALS, S.A. (Sociedad Unipersonal)
[SINGLE MEMBER COMPANY]

Tax ID Code: **A08037236** 

LEI Code:

95980020140005174448

EUID Code:

ES08005.000006987

The said Company was incorporated through a deed executed on 18 June 1936, before the Notary Public José Faura Bordas, which was registered in this Registry on 18 September 1936 and which gave rise to entry 1 on page number 19,337, later page number 45,157-NG and now page number B-167,948, on sheet 148 in volume 312 of the archive.

LATEST COMPANIES REGISTRY FILING DETAILS:

Volume: 45827 Sheet: 62 Page: B-19079 ENTRY 192

SPECIAL INCIDENTS:

No special incidents.

**REGISTRATION REQUESTED:** 

The aforementioned entry 192 concerning change of name and moving of registered office is photocopied on one side of 2 sheets of this Registry's stamped paper, numbers A5780234 and A5780235, on the back of each sheet, joined to this certificate and forming part of it. The photocopies are a true and exact copy of the original with the omission of data considered sensitive under the data protection legislation.

[Stamp: Mr J. IGNACIO GARMENDIA RODRIGUEZ – BARCELONA COMPANIES REGISTRY]
[Illegible signatures]

REEL: 049107 FRAME: 0677

[Logo: BARCELONA COMPANIES REGISTRY - BARCELONA COMPANIES REGISTRY - PRIOR TEMPORE POTIOR IURE MDCCCLXI]

SOLE SHAREHOLDER:

BARCELONA COMPANIES REGISTRY
[BARCODE]
B3245437

NAME: CORPORACION QUIMICO FARMACEUTICA ESTEVE, S.A.

TAX ID NUMBER/CODE: A58201914

ENTRY: 161, Volume: 44277 Sheet: 24 Page: B-19079 and a note in the margin

REGISTERED OFFICE:

ADDRESS: PASSEIG DE LA ZONA FRANCA, 109, 4ª Planta

TOWN/CITY: BARCELONA (SPAIN)

ENTRY: 192, Volume: 45827 Sheet: 62 Page: B-19079

**CURRENT FILING ENTRIES:** 

Filing ledger:

No outstanding entries.

Accounts filing ledger: **No outstanding entries.** 

For the purpose of the General Data Protection Regulation of the European Parliament and of the Council of 27 April 2016 on the protection of individuals with regard to the processing of personal data and on the free movement of such data (hereinafter referred to as the "GDPR"), you are hereby informed:

- In accordance with that set forth in the registry announcement request, the personal data stated in it have been and will be processed and included in the registry's books and files, for which the Registrar is responsible. The use and purpose of the processing is as set out and expressly stated in the registry regulations, which is the basis authorising this processing.
- Pursuant to article 6 of the instruction of the Directorate General of Registries and Notaries Public of 17 February 1998, the data subject is informed that the data will be assigned in order to fulfil the right of the holders of right's registered in the registry to be informed, on request, of the name or company name and address/registered office of the natural or legal persons that have collected information about their person or property.
- The period during which the data will be kept shall be determined in accordance with the criteria stipulated in the registry legislation, the decisions of the Directorate-General of Registries and Notaries Public and instructions given by professional associations. When services are invoiced, the periods for which the data shall be kept shall be determined in accordance with the tax regulations applicable at any time. In any case, the registry may keep the data for a longer period of time than required by said regulatory criteria in cases in which it is necessary due to the existence of responsibilities arising from performance of the service.
- The information made available to you is for your use alone and is non-transferable. You may only use the information for the purpose for which it was requested. It is prohibited for the user to transfer or assign the information to any other person, even free of charge.
- Pursuant to the Instruction of the Directorate General of Registries and Notaries Public of 17 February 1998, it is prohibited to include the data in this registry information in computer files or databases for individual searching of natural or legal persons, even if the source of the information is stated.

Insofar as is compatible with the specific regulations applicable to the registry, the data subjects are recognised as holding the rights of access, rectification, erasure, objection, limitation and portability stipulated in the aforementioned GDPR and may exercise them by writing to the address of the registry. In the same way, the user may submit complaints to the Spanish Data

[Stamp: Mr J. IGNACIO GARMENDIA RODRIGUEZ – BARCELONA COMPANIES REGISTRY]

[Illegible signature] PATENT
REEL: 049107 FRAME: 0678

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BARCELONA COMPANIES REGISTRY
[BARCODE]
B3245438

Protection Agency (Agencia Española de Protección de Datos - AEPD): <a href="www.agpd.es">www.agpd.es</a>. Notwithstanding this, the data subject may contact the registry's data protection officer by writing to the following address: <a href="mailto:dpo@corpme.es">dpo@corpme.es</a>

In witness whereof, I issue this certificate on page number B3245436 and the following 2 pages, on a single side, bearing this Registry's letterhead, in Barcelona, at 8.55 a.m. on the **twenty-fifth** of June two thousand and eighteen.

[Stamp: Mr J. IGNACIO GARMENDIA RODRIGUEZ – BARCELONA COMPANIES REGISTRY] [Illegible signature]

[Stamp: Additional Provision 3 Act 8/89 PROCEDURE/S WITH NO BASE AMOUNT]

The regulatory data have been sent to the RIMIC. Barcelona, on 12 June 2018

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"ESTEVE **PHARMACEUTICALS** S.A." (Sociedad Unipersonal) [single-member company] formerly "LABORATORIOS DR ESTEVE, S.A." (Sociedad Unipersonal) [single-member company]. Mr ALBERT ESTEVE CRUELLA, who has been expressly authorised, has recorded, in a public deed, the following resolutions passed by the sole shareholder, in a general shareholders meeting, held at the registered office on 22 May 2018, the minutes of which were approved by the sole shareholder at the end of the meeting: To modify the Company's current company name, i.e. LABORATORIOS DEL DR. ESTEVE, S.A. to ESTEVE PHARMACEUTICALS, S.A. Pursuant to the foregoing, to modify article 1 of the Articles of Association. Its current wording is expressly revoked and it shall henceforth be as follows verbatim: "ARTICLE 1." The company is called "ESTEVE PHARMACEUTICALS, S.A." and shall be governed by these Articles of Association, the provisions concerning the legal framework for Spanish limited liability companies and the other rules that generally apply. To move the company's current registered office and modify article 4 of the Articles of Association. Its current wording is expressly revoked and it shall henceforth be as follows verbatim: "ARTICLE 4." The registered office is in Barcelona at Passeig de la Zona Franca, 109, 4ª Planta, 08038. The company's management is authorised to move the

BARCELONA COMPANIES REGISTRY		S REGISTRY	registered office to any other place provided it is in the same	063	
VOLUME	SEC.	BOOK	PAGE	municipality; the company's management is not authorised to	
45827			B-19079	move the registered office within Spain outside of the same	
MARGINAL NOTES		ENTRY	municipality; the General Shareholders Meeting or, when		
			ORDER NO.	applicable, the Sole Shareholder, is authorised to do so. The	
			192	company's management is also the competent body to decide on	
				and pass resolutions to create, close or move branches of any	
				kind and in any place." The notary public states that he has	
				identified the beneficial owner. Act 10/2010. By virtue thereof, I	
				RECORD the aforementioned change of name, moving of	
				registered office, modification of articles 1 and 4 of the articles	
				of association and I partially cancel entry 164. This is as stated	
				in an electronic copy of the deed executed on 22 May 2018,	
				before the Notary Public of BARCELONA, Mr TOMAS	
				GIMENEZ DUART, acting as substitute for the records of the	
				Notary Public Ms MARIA DOLORES GIMENEZ ARBONA,	
				with number 1536 of her records, submitted electronically at	
				12.57am on 22 May 2018, according to entry 1725 in ledger	
				1287. Barcelona, on 12 June 2018.	
[Stamp:	BARCEL	ONA		[Illegible signature]	
SENIC	R COUF	RT -			
PRESIDE	NT'S OF	FICE -			
BAR	CELON A	<b>A</b> ]			

I, Paola Tormo Gómez, official translator from Spanish to English appointed by the Spanish Ministry of Foreign Affairs hereby certify that the following translation is a true and accurate rendering of the original document in Spanish.

In Barcelona, on 05 July 2018

PATENT REEL: 049107 FRAME: 0681

**RECORDED: 05/07/2019**