505467591 05/09/2019

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT5514394

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	EXECUTIVE ORDER 9424, CONFIRMATORY LICENSE

CONVEYING PARTY DATA

Name	Execution Date
CATERPILLAR INC.	03/29/2019

RECEIVING PARTY DATA

Name:	U.S. DEPARTMENT OF ENERGY	
Street Address:	1000 INDEPENDENCE AVE., SW	
City:	WASHINGTON	
State/Country:	D.C.	
Postal Code:	20585	

PROPERTY NUMBERS Total: 1

Property Type	Number
Patent Number:	8236261

CORRESPONDENCE DATA

Fax Number: (202)586-7127

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

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NAME OF SUBMITTER:	BRENDA K. BANKS	
SIGNATURE:	/BRENDA K. BANKS/	
DATE SIGNED:	05/09/2019	

Total Attachments: 1

source=S-117383 CATERPILLAR INC. (07-831.1) PN 8236261 8-7-2012 CONF LIC#page1.tif

PATENT 505467591 REEL: 049125 FRAME: 0884

CONFIRMATORY LICENSE

Title of Invention: EXHAUST SYSTEM HAVING A GOLD-PLATINUM GROUP METAL

CATALYST

Inventor(s): Christie Susan Ragle, Ronald G. Silver, Svetlana Mikhailovna Zemskova and

Colleen J. Eckstein

Issued Patent No: 8,236,261

Issue Date: 08-07-2012

Name of Contractor: Caterpillar Inc.

DOE Contract No.: DE-AC06-76RLO1830

DOE Case No.: S-117383

Contractor Docket No.: 07-831.1

The Contractor has reported the above-identified invention as a Subject Invention to DOE and has elected to retain title thereto.

Accordingly, this document is confirmatory of the nonexclusive, nontransferable, irrevocable, paid-up, worldwide license in this subject invention, patent application and any resulting patent, including any continuation, divisional, reissue, or supplemental application thereof, to practice or to have practiced for or on behalf of the United States throughout the world; and of all other rights acquired by the Government by the patent rights clause in the above-identified contract.

It is understood and agreed that this license does not preclude the Government from asserting rights under the provisions of said contract or of any other agreement between the Government and the Contractor, or from asserting any other rights of the Government with respect to the above-identified subject invention.

The Government is hereby granted an irrevocable power to inspect and make copies of the aboveidentified patent application.

Signed this 29 day of March , 20 19

(Sign)

Daniel E. Henderson, Director Innovation and Emerging Technology

Official's Name and Title (Typed)

Caterpillar Inc., 14009 Old Galena Road, Mossville, IL 61552-7547

Business Address

Caterpillar: Confidential Green

RECORDED: 05/09/2019

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PATENT REEL: 049125 FRAME: 0885