

## PATENT ASSIGNMENT COVER SHEET

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<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT	
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT	
<b>CONVEYING PARTY DATA</b>		
	<b>Name</b>	<b>Execution Date</b>
	SHANKER LAL GUPTA	05/13/2019
<b>RECEIVING PARTY DATA</b>		
<b>Name:</b>	UNITED STATES OF AMERICA, AS REPRESENTED BY THE SECRETARY, DEPT OF HEALTH AND HUMAN SERVICES, THE	
<b>Street Address:</b>	6011 EXECUTIVE BLVD, STE 325, MSC 7660	
<b>Internal Address:</b>	NIH/OD/OIR/OTT	
<b>City:</b>	BETHESDA	
<b>State/Country:</b>	MARYLAND	
<b>Postal Code:</b>	20892-7660	
<b>PROPERTY NUMBERS Total: 2</b>		
	<b>Property Type</b>	<b>Number</b>
	<b>Application Number:</b>	60264160
	<b>Patent Number:</b>	7109323
<b>CORRESPONDENCE DATA</b>		
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<b>ATTORNEY DOCKET NUMBER:</b>	E-116-2001-0-US-01; US-15	
<b>NAME OF SUBMITTER:</b>	SUSAN S. RUCKER	
<b>SIGNATURE:</b>	/Susan S. Rucker/	
<b>DATE SIGNED:</b>	05/14/2019	
<b>Total Attachments: 2</b>		
source=2019-05-13_Assignment_NIH_FDA_CDC_Inventors_Co-Owned_Third_Party_AIA_Blank_NIH_E-116-2001-0-US		
source=2019-05-13_Assignment_NIH_FDA_CDC_Inventors_Co-Owned_Third_Party_AIA_Blank_NIH_E-116-2001-0-US		

**ASSIGNMENT FOR NIH/FDA/CDC INVENTIONS AIA  
(NIH OR FDA OR CDC CO-INVENTORS – Jointly-Owned Inventions)**

**WHEREAS**, I/we,

1. Shanker Lal Gupta, an employee of the National Cancer Institute, National Institutes of Health,

a component of the United States Department of Health and Human Services having an address at the Office of Technology Transfer, National Institutes of Health, 6011 Executive Boulevard, Suite 325, MSC 7660, Bethesda, MD 20892-7660, have made certain inventions as described and claimed in:

1. Provisional US Patent Application Number 60/264,160, filed 1/25/2001, and which is entitled "Formulation of Boronic Acid Compounds" (NIH Ref: E-116-2001-0-US-01); and
2. US Patent Application Number 10/744,843, filed 12/23/2003, now US Patent 7,109,323 issued 09/19/2006 and which is entitled "Formulation of Boronic Acid Compounds" (NIH Ref: E-116-2001-0-US-15);

all of which are collectively referred to as "**The Patent Application(s)**".

**WHEREAS**, I along with employees of various non-government entities are the inventor(s) named in **The Patent Application(s)**; and

**WHEREAS**, the inventions described and claimed in **The Patent Application(s)** were made under conditions such as to entitle **THE UNITED STATES OF AMERICA, as represented by the Secretary, Department of Health and Human Services** [hereinafter **THE GOVERNMENT**] under Executive Order 10096 and its amendments, 35 USC §§200-201, §§206-207, and §210 and 37 CFR Part 501 to the entire right, title, and interest in such inventions made by employees of a component of the United States Department of Health and Human Services; and

**WHEREAS, THE GOVERNMENT** is desirous of acquiring all domestic and foreign right, title, and interest in the inventions made by employees of a component of the United States Department of Health and Human Services which are described and claimed in **The Patent Application(s)**; and

**NOW, THEREFORE**, having the legal obligation to assign our right, title and interest in the inventions described and claimed in **The Patent Application(s)** to **THE GOVERNMENT**, having a place of business at the Office of Technology Transfer, National Institutes of Health, 6011 Executive Boulevard, Suite 325, MSC 7660, Bethesda, MD 20892-7660 and for consideration, the receipt and sufficiency of which are hereby acknowledged, I/we hereby assign and transfer to **THE GOVERNMENT**:

the entire right, title, and interest in and to any and all inventions described and claimed in **The Patent Application(s)** and any and all Letters Patent(s) which may be granted thereon, and any and all continuations, divisions, reissues or extensions thereof; and

all rights to claim priority on the basis of **The Patent Application(s)** including but not limited to applications filed through the provisions of the Patent Cooperation Treaty ("PCT Applications"); and

all applications for Letters Patent(s) that may be filed for any and all inventions described and claimed in **The Patent Application(s)** that may be filed in any foreign country and all Letters Patents that may be granted thereon in any foreign country and all extensions, renewals, and reissues thereof; and

any and all such Letters Patents as may be granted in the United States or any foreign country to be held by **THE GOVERNMENT** to the end of the term for which the same would have been held by the inventors had this assignment not been made.

I/we further agree to make, execute, and deliver to **THE GOVERNMENT**, upon request, any and all papers, documents, affidavits, or other instruments that may be necessary in the prosecution of any application or applications for improvements or reissues of Letters Patent, and to assist **THE GOVERNMENT** in every way as may be requested in protecting said invention.

**The Patent Applications** name inventors who are not employees of **THE GOVERNMENT**. This assignment is not effective with respect to any inventors of **The Patent Applications** who are not employees of **THE GOVERNMENT**.

**IN WITNESS WHEREOF** we subscribe our hands and seals on the dates shown below:

<b>Inventor 1:</b>	
_____ Date	<div style="text-align: center;"><b>Shanker L. Gupta</b> -S</div> <div style="text-align: right; font-size: small; margin-top: 5px;">Digitally signed by Shanker L. Gupta -S Date: 2019.05.13 05:20:31 -04'00'</div> <div style="text-align: center; margin-top: 10px;">_____ Shanker Lal Gupta</div>
County of _____ Ss:	
State of _____	
Subscribed and sworn to before me this ____ day of _____.	
[Seal]	_____ Notary Public for _____ My Commission Expires: _____