

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT5526250

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
KOC KAN HO	12/01/2016
WEIGUO QUAN	12/01/2016
JINGYE ZHOU	11/30/2016
RECEIVING PARTY DATA	
Name:	Eli Lilly and Company
Street Address:	Lilly Corporate Center
Internal Address:	Patent Division
City:	Indianapolis
State/Country:	INDIANA
Postal Code:	46285
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	16461475
CORRESPONDENCE DATA	
Fax Number:	(317)276-3861
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Email:	patents@lilly.com
Correspondent Name:	ELI LILLY AND COMPANY
Address Line 1:	P. O. BOX 6288
Address Line 2:	PATENT DIVISION
Address Line 4:	INDIANAPOLIS, INDIANA 46206-6288
ATTORNEY DOCKET NUMBER:	X21096
NAME OF SUBMITTER:	PATRICIA A FOOR
SIGNATURE:	/Patricia A Foor/
DATE SIGNED:	05/16/2019
Total Attachments: 6	
source=X21096 WO Provisional Assignment-Ho#page1.tif	
source=X21096 WO Provisional Assignment-Ho#page2.tif	
source=X21096 WO Provisional Assignment-Quan#page1.tif	

source=X21096 WO Provisional Assignment-Quan#page2.tif
source=X21096 WO Provisional Assignment-Zhou#page1.tif
source=X21096 WO Provisional Assignment-Zhou#page2.tif

ASSIGNMENT**WHEREAS, I,**

Koc Kan Ho, am an employee of Lilly China Research and Development Co., Ltd., a wholly owned subsidiary of Eli Lilly and Company, and a citizen of the United States, and currently reside in China, and I am a co-inventor of an invention that is the subject of a patent application ("Application") which is entitled Pyrrolidinone Compounds, for filing as an international application under the Patent Cooperation Treaty ("PCT"), with the State Intellectual Property Office (SIPO) of China acting as Receiving Office

December 1, 2016

PCT/CN2016/108240

on _____ and accorded Serial Number _____.

If this application is filed after the signing of this assignment document, I hereby give permission to insert above the serial number and filing date for the application when it is known.

WHEREAS ELI LILLY AND COMPANY, an Indiana corporation having its principal place of business at Lilly Corporate Center, Indianapolis, Indiana 46285, wishes to acquire the entire interest in all inventions disclosed in such Application;


NOW, THEREFORE, in consideration of my employment, any agreements related thereto, or other good and valuable consideration, the receipt of which is hereby acknowledged, I hereby assign to Eli Lilly and Company, its successors and assigns (collectively "Employer") my entire right, title and interest in, to and under the Application, including all priority rights for other countries arising therefrom, all inventions therein disclosed, and any and all present or future patent applications to such inventions that may be filed in any country, inclusive of, but not limited to, continuations, continuations-in-part, divisions, substitutions, reexaminations, reissues, international applications filed under the PCT, as well as all other intellectual property related to the Application, inclusive of, but not limited to, supplementary protection certificates; and any related patent term extensions which may be granted for Letters Patent with respect to the Application; all of the above to be held and enjoyed by Employer, or any subsequent owner, for its own use and enjoyment to the full end of the term or terms for which such Letters Patent and related intellectual property rights may be granted, as fully and entirely as the same would have been held and enjoyed by me had this Assignment and sale to Employer not been made.

I covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this Assignment.

I agree to communicate to Employer or any subsequent owner any facts known to me relating to such inventions or the history thereof. I further agree with Employer that upon request I will, without further consideration than that now paid, but at the expense of the Employer or any subsequent owner of the application, execute and deliver any application papers, affidavits, declarations, assignments, or other instruments; and do all other acts which, in the opinion of counsel for the patent owner, may be necessary or desirable to secure the grant of Letters Patent and related intellectual property to Employer in all countries where Employer or any subsequent owner may desire to have such inventions, or any of them, patented, with specifications and claims in such form as shall be approved by counsel for the owner.

I have executed this assignment on the date indicated below.

Dec. 1, 2016
Date


Koc Kan Ho

Witnesses:

1. Printed Name Lulu Yan
Address Building 8, No. 228, Jia Li Lane Rd.
Zhangjiang High-Tech Park
Shanghai 201203, China
Signature Lulu Yan
2. Printed Name Dan Dan Su
Address Building 8, No. 228, Jia Li Lane Road
Zhangjiang High-Tech Park
Shanghai 201203 China
Signature Dan Dan Su

Eli Lilly and Company, the assignee, hereby accepts the assignment from the co-inventors without any restrictions, and with all rights and obligations derived therefrom.


Authorized Representative for
ELI LILLY AND COMPANY

Printed Name Ann Whei Chen
Title Sr. Director, Assistant General Patent Counsel
Date Dec. 1, 2016

ASSIGNMENT**WHEREAS, I,**

Weiguo Quan, am an employee of Lilly China Research and Development Co., Ltd., a wholly owned subsidiary of Eli Lilly and Company, and a citizen of China, and currently reside in China, and I am a co-inventor of an invention that is the subject of a patent application ("Application") which is entitled Pyrrolidinone Compounds, for filing as an international application under the Patent Cooperation Treaty ("PCT"), with the State Intellectual Property Office (SIPO) of China acting as Receiving Office

December 1, 2016

PCT/CN2016/108240

on _____ and accorded Serial Number _____,

If this application is filed after the signing of this assignment document, I hereby give permission to insert above the serial number and filing date for the application when it is known.

WHEREAS ELI LILLY AND COMPANY, an Indiana corporation having its principal place of business at Lilly Corporate Center, Indianapolis, Indiana 46285, wishes to acquire the entire interest in all inventions disclosed in such Application;

NOW, THEREFORE, in consideration of my employment, any agreements related thereto, or other good and valuable consideration, the receipt of which is hereby acknowledged, I hereby assign to Eli Lilly and Company, its successors and assigns (collectively "Employer") my entire right, title and interest in, to and under the Application, including all priority rights for other countries arising therefrom, all inventions therein disclosed, and any and all present or future patent applications to such inventions that may be filed in any country, inclusive of, but not limited to, continuations, continuations-in-part, divisions, substitutions, reexaminations, reissues, international applications filed under the PCT, as well as all other intellectual property related to the Application, inclusive of, but not limited to, supplementary protection certificates; and any related patent term extensions which may be granted for Letters Patent with respect to the Application; all of the above to be held and enjoyed by Employer, or any subsequent owner, for its own use and enjoyment to the full end of the term or terms for which such Letters Patent and related intellectual property rights may be granted, as fully and entirely as the same would have been held and enjoyed by me had this Assignment and sale to Employer not been made.

I covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this Assignment.

I agree to communicate to Employer or any subsequent owner any facts known to me relating to such inventions or the history thereof. I further agree with Employer that upon request I will, without further consideration than that now paid, but at the expense of the Employer or any subsequent owner of the application, execute and deliver any application papers, affidavits, declarations, assignments, or other instruments; and do all other acts which, in the opinion of counsel for the patent owner, may be necessary or desirable to secure the grant of Letters Patent and related intellectual property to Employer in all countries where Employer or any subsequent owner may desire to have such inventions, or any of them, patented, with specifications and claims in such form as shall be approved by counsel for the owner.

PATENT**REEL: 049197 FRAME: 0877**

I have executed this assignment on the date indicated below.

Dec. 01, 2016
Date

Weiguo Qian
Weiguo Qian

Witnesses:

1. Printed Name Lulu Yan
Address Building 8, No. 338, Tieda Lane Rd.
Zhangjiang High-Tech Park
Shanghai 201203, China
Signature Lulu Yan
2. Printed Name Dan Dan Su
Address Building 8, No. 338, Tieda Lane Rd.
Zhangjiang High-Tech Park
Shanghai 201203, China
Signature Dan Dan Su

Eli Lilly and Company, the assignee, hereby accepts the assignment from the co-inventors without any restrictions, and with all rights and obligations derived therefrom.

[Signature]
Authorized Representative for
ELI LILLY AND COMPANY

Printed Name Ann Whei Chen
Title Sr. Director, Assistant General Patent Counsel
Date DEC. 1, 2016

ASSIGNMENT**WHEREAS, I,**

Jingye Zhou, am an employee of Lilly China Research and Development Co., Ltd., a wholly owned subsidiary of Eli Lilly and Company, and a citizen of China, and currently reside in China, and I am a co-inventor of an invention that is the subject of a patent application ("Application") which is entitled Pyrrolidinone Compounds, for filing as an international application under the Patent Cooperation Treaty ("PCT"), with the State Intellectual Property Office (SIPO) of China acting as Receiving Office

December 1, 2016 PCT/CN2016/108240
on _____ and accorded Serial Number _____,

If this application is filed after the signing of this assignment document, I hereby give permission to insert above the serial number and filing date for the application when it is known.

WHEREAS ELI LILLY AND COMPANY, an Indiana corporation having its principal place of business at Lilly Corporate Center, Indianapolis, Indiana 46285, wishes to acquire the entire interest in all inventions disclosed in such Application;

NOW, THEREFORE, in consideration of my employment, any agreements related thereto, or other good and valuable consideration, the receipt of which is hereby acknowledged, I hereby assign to Eli Lilly and Company, its successors and assigns (collectively "Employer") my entire right, title and interest in, to and under the Application, including all priority rights for other countries arising therefrom, all inventions therein disclosed, and any and all present or future patent applications to such inventions that may be filed in any country, inclusive of, but not limited to, continuations, continuations-in-part, divisions, substitutions, reexaminations, reissues, international applications filed under the PCT, as well as all other intellectual property related to the Application, inclusive of, but not limited to, supplementary protection certificates; and any related patent term extensions which may be granted for Letters Patent with respect to the Application; all of the above to be held and enjoyed by Employer, or any subsequent owner, for its own use and enjoyment to the full end of the term or terms for which such Letters Patent and related intellectual property rights may be granted, as fully and entirely as the same would have been held and enjoyed by me had this Assignment and sale to Employer not been made.

I covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this Assignment.

I agree to communicate to Employer or any subsequent owner any facts known to me relating to such inventions or the history thereof. I further agree with Employer that upon request I will, without further consideration than that now paid, but at the expense of the Employer or any subsequent owner of the application, execute and deliver any application papers, affidavits, declarations, assignments, or other instruments; and do all other acts which, in the opinion of counsel for the patent owner, may be necessary or desirable to secure the grant of Letters Patent and related intellectual property to Employer in all countries where Employer or

any subsequent owner may desire to have such inventions, or any of them, patented, with specifications and claims in such form as shall be approved by counsel for the owner.

I have executed this assignment on the date indicated below.

11/30/2016
Date

Jingye Zhou
Jingye Zhou