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# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT5537759

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

# **CONVEYING PARTY DATA**

Name	Execution Date
DAVID THOMPSON	01/26/2018
BENJAMIN SCHMIEGE	01/25/2018
JEFFREY W. ANTHIS	01/25/2018
ABHIJIT BASU MALLICK	05/22/2019
SUSMIT SINGHA ROY	02/22/2018
ZIQING DUAN	02/08/2018

# **RECEIVING PARTY DATA**

Name:	APPLIED MATERIALS, INC.	
Street Address:	3050 BOWERS AVENUE	
City:	SANTA CLARA	
State/Country:	CALIFORNIA	
Postal Code:	95054	

# **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	15718148

# **CORRESPONDENCE DATA**

Fax Number:

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Email: Imurphy@dsiplaw.com
Correspondent Name: SERVILLA WHITNEY LLC
Address Line 1: 33 WOOD AVENUE SOUTH

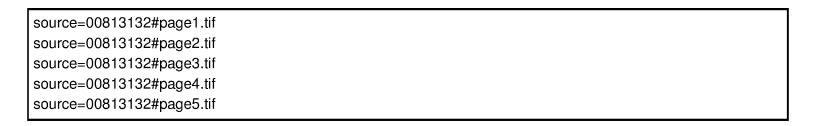
Address Line 2: SUITE 830

Address Line 4: ISELIN, NEW JERSEY 08830

NAME OF SUBMITTER:  REBECCA A. SMIRK  SIGNATURE:  /Rebecca A. Smirk, Reg. #61295/  DATE SIGNED:  05/23/2019	ATTORNEY DOCKET NUMBER:	024287USA
	NAME OF SUBMITTER:	REBECCA A. SMIRK
DATE SIGNED: 05/23/2019	SIGNATURE:	/Rebecca A. Smirk, Reg. #61295/
DATE SIGNED:   05/25/2019	DATE SIGNED:	05/23/2019

**Total Attachments: 5** 

PATENT REEL: 049267 FRAME: 0917



PATENT REEL: 049267 FRAME: 0918

# ASSIGNMENT FOR APPLICATION FOR PATENT

#### WHEREAS:

# Names and Addresses of Inventors

1)	David Thompson 2193 Bentley Ridge Drive San Jose, CA, 95138 United States of America
2)	Benjamin Schmiege 1134 Monroe St. Santa Clara, CA, 95050 United States of America
3)	Jeffrey W. Anthis 3115 Franela Drive San Jose, CA, 95124 United States of America
4)	Abhijit Basu Mallick 2637 Alma Street Palo Alto, CA, 94306 United States of America

5)	Susmit Singha Roy 426 Escuela Avenue Apt. 16 Mountain View, CA, 94040 United States of America
6)	Ziqing Duan 1278 Royal Crest Dr. San Jose, CA, 95131 United States of America

(hereinafter referred to as Assignors), have invented a certain invention entitled:

"Methods Of Forming Self-Aligned Vias"

for which application for Letters Patent in the United States was filed on September 28, 2017,

under Serial No. 15718148, executed on even date herewith; and

WHEREAS, Applied Materials, Inc., a corporation of the State of Delaware, having a place of business at 3050 Bowers Avenue, Santa Clara, CA, 95054 (hereinafter referred to as Assignee), is desirous of acquiring the entire right, title and interest in and to said application (hereinafter referred to as the Application), and the invention disclosed therein (hereinafter referred to as the Invention), and in and to all embodiments of the Invention, heretofore conceived, made or discovered by said Assignors, and in and to any and all patents, inventor's certificates and other forms of protection (hereinafter referred to as the Patents) thereon granted in any and all countries and groups of countries.

NOW, THEREFORE, in consideration of good and valuable consideration acknowledged by said Assignors to have been received in full from said Assignee:

1. Said Assignors hereby sell, assign, transfer and convey to Assignee the full and exclusive right, title and interest (a) in and to said Application and said Invention; (b) in and to all rights to apply for patents on said Invention in any and all countries pursuant to the International Convention for the

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Protection of Industrial Property or otherwise; (c) in and to any and all Applications filed and any and all Patents granted on said Invention in any and all countries and groups of countries, including each and every Application filed and each and every Patent granted on any application which is a division, substitution, or continuation of said Application; and (d) in and to each and every reissue or extension of any of said Patents.

- 2. Said Assigner hereby covenant and agree to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right, title and interest to said Invention herein conveyed in any and all countries and groups of countries. Such cooperation by said Assignors shall include prompt production of pertinent facts and documents, giving testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for prosecuting any of said applications; (c) for filing and prosecuting substitute, divisional, continuing or additional applications covering said Invention; (d) for filing and prosecuting applications for reissuance of any of said Patents; (e) for interference or other priority proceedings involving said Invention; and (f) for legal proceedings involving said Invention and any application therefore and any Patents granted thereon, including without limitation opposition proceedings, cancellation proceedings, priority contests, public use proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Assignors in providing such cooperation shall be paid for by said Assignee.
- 3. The term and covenants of this agreement shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Assignors, their respective heirs, legal representatives and assigns.
- 4. Said Assignors hereby warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHE Assignee on the dates indicently $1$ )	REOF, the said Assitated below.	igners have executed and delivered this instrument to said
"/ Anjanulininum		(INVENTOR) David Thompson
2) 125	, 2018	B_mL (INVENTOR) Benjamin Schmiege
3) 1/25		(INVENTOR) Jeffrey W. Anthis
4)	2018	

(INVENTOR) Abhijit Basu Mallick

Protection of Industrial Property or otherwise; (c) in and to any and all Applications filed and any and all Patents granted on said Invention in any and all countries and groups of countries, including each and every Application filed and each and every Patent granted on any application which is a division, substitution, or continuation of said Application; and (d) in and to each and every reissue or extension of any of said Patents.

- 2. Said Assignors hereby covenant and agree to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right, title and interest to said Invention herein conveyed in any and all countries and groups of countries. Such cooperation by said Assignors shall include prompt production of pertinent facts and documents, giving testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for prosecuting any of said applications; (c) for filing and prosecuting substitute, divisional, continuing or additional applications covering said Invention; (d) for filing and prosecuting applications for reissuance of any of said Patents; (e) for interference or other priority proceedings involving said Invention; and (f) for legal proceedings involving said Invention and any application therefore and any Patents granted thereon, including without limitation opposition proceedings, cancellation proceedings, priority contests, public use proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Assignors in providing such cooperation shall be paid for by said Assignee.
- 3. The term and covenants of this agreement shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Assignors, their respective heirs, legal representatives and assigns.
- 4. Said Assignors hereby warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOF, the said Assignors have executed and delivered this instrument to said Assignee on the dates indicated below.

1)	, 2019	
:•		(INVENTOR) David Thompson
2)	, 2019	(INVENTOR) Benjamin Schmiege
3)		(INVENTOR) Jeffrey W. Anthis
4)	<u>5 21</u> ,2019	(INVENTOR) Abhijit Basu Mallick

Attorney Docket No. 024287USA

5)	02/22 2018	James Grigha Loy
	***************************************	(INVENTOR) Susmit Singha Roy
6)		(INVENTOR) Ziging Duan

Attorney Docket No. 024287USA

5), 2018	WHATNITODY Commit Combat Day
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PATENT REEL: 049267 FRAME: 0923